

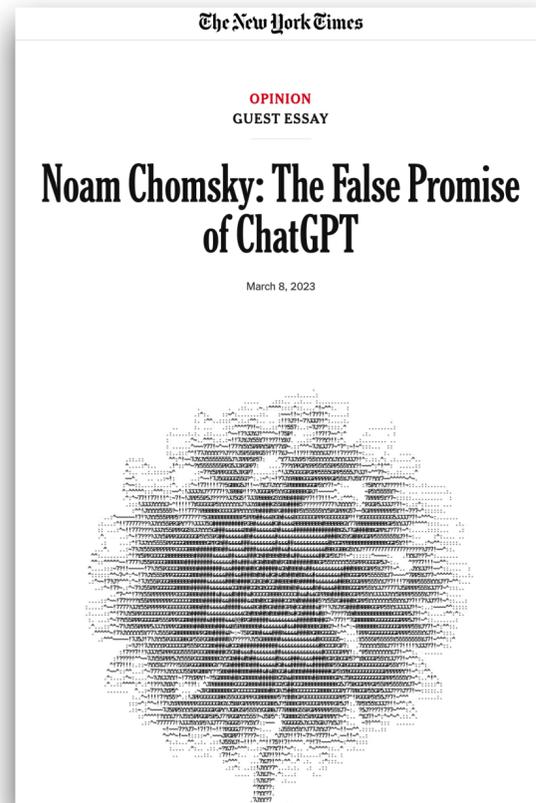


# **Generalised measures of predictive uncertainty in online language processing**

**Mario Giulianelli**

**Cambridge NLIP Seminar, 6 March 2026**

# What is the role for language models in linguistic theory?



“Unlike humans, for example, who are endowed with a universal grammar that limits the languages we can learn to those with a certain kind of almost mathematical elegance, these programs **learn humanly possible and humanly impossible languages with equal facility.**

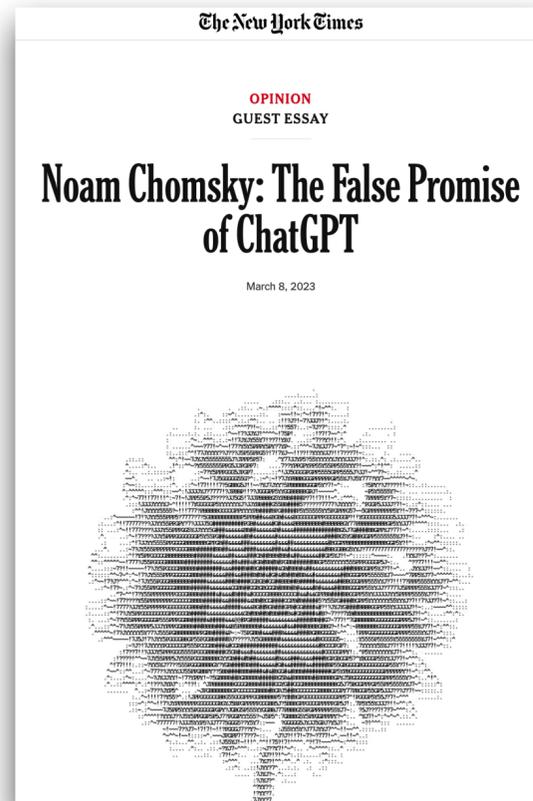
(Chomsky, Roberts, Watumull, 2023)

LM Skeptic



LMs won't contribute to linguistic theory

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“[...] their parameters come to **embody a theory of language**, including representations of latent state through a sentence and a discourse. The exact same logic of tuning parameters to formalize and then compare theories is found in other sciences, like modeling hurricanes or pandemics...”

(Piantadosi, 2023)

## Modern language models refute Chomsky’s approach to language

Steven T. Piantadosi  
UC Berkeley & Helen Wills Neuroscience Institute

Modern machine learning has subverted and bypassed the theoretical framework of Chomsky’s generative approach to linguistics, including its core claims to particular insights, principles, structures, and processes. I describe the sense in which modern language models implement genuine theories of language, and I highlight the links between these models and approaches to linguistics that are based on gradient computations and memorized constructions. I also describe why these models undermine strong claims for the innateness of language and respond to several critiques of large language models, including arguments that they can’t answer “why” questions and skepticism that they are informative about real life acquisition. Most notably, large language models have attained remarkable success at discovering grammar without using any of the methods that some in linguistics insisted were necessary for a science of language to progress.

### 1 Introduction

After decades of privilege and prominence in linguistics, Noam Chomsky’s approach to the science of language is experiencing a remarkable downfall. The story is, in part, a cautionary tale about what happens when an academic field isolates itself from what should be complementary endeavours. Chomsky’s approach and methods are often argued to be problematic (e.g. Harris 1993, Pullum 1989, Behme 2012, Postal 2012, Behme 2014), but it is yet to be widely recognized just how the underlying ideas have been undermined by recent computational advances.

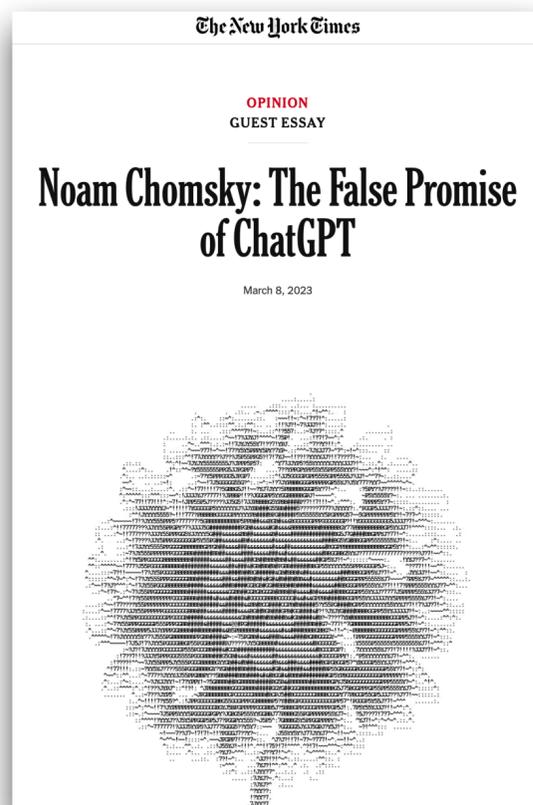
LM Enthusiast

LMs won’t contribute to linguistic theory

LMs represent a theoretical paradigm shift



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LM Optimist

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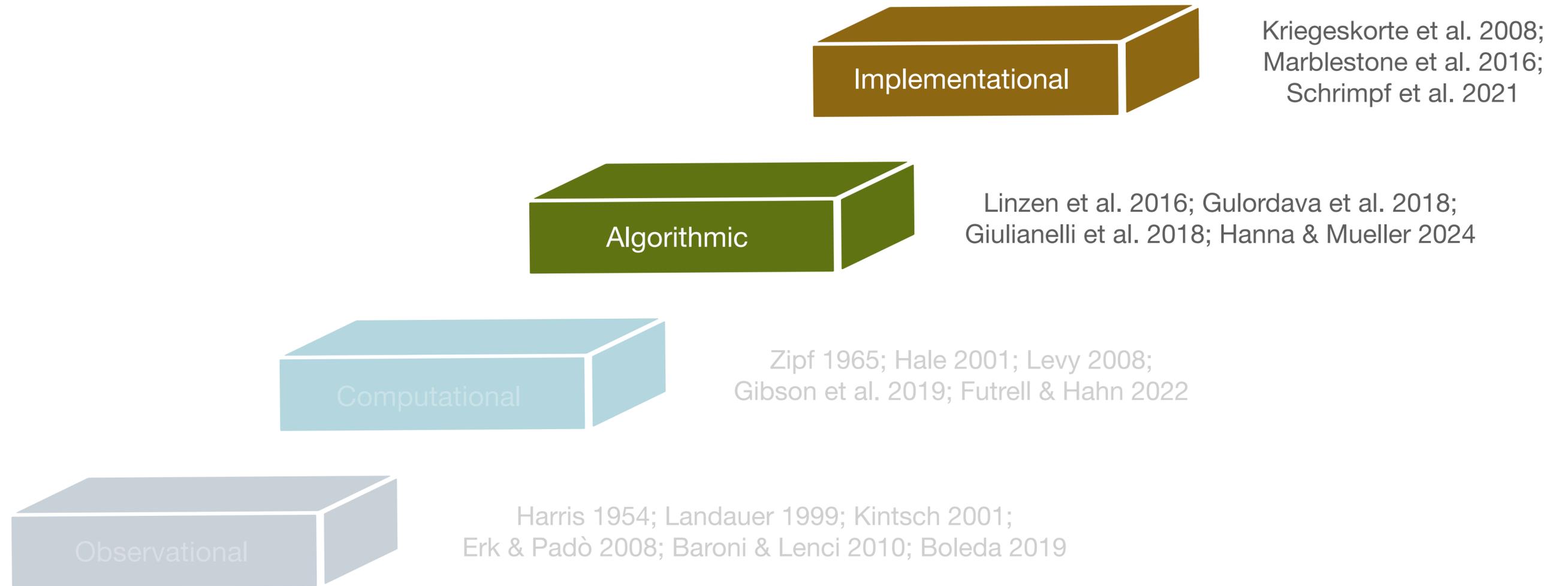


LMs won’t contribute to linguistic theory

Language models can be used to empirically test and refine theories of language.

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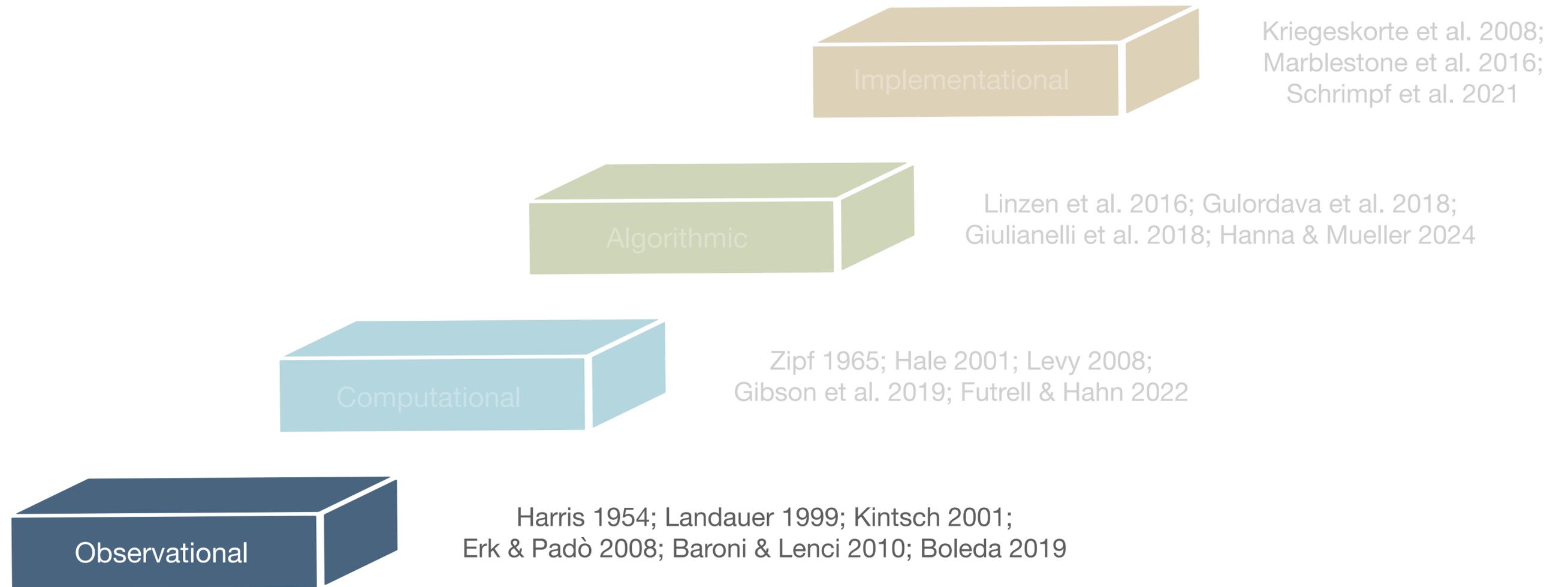


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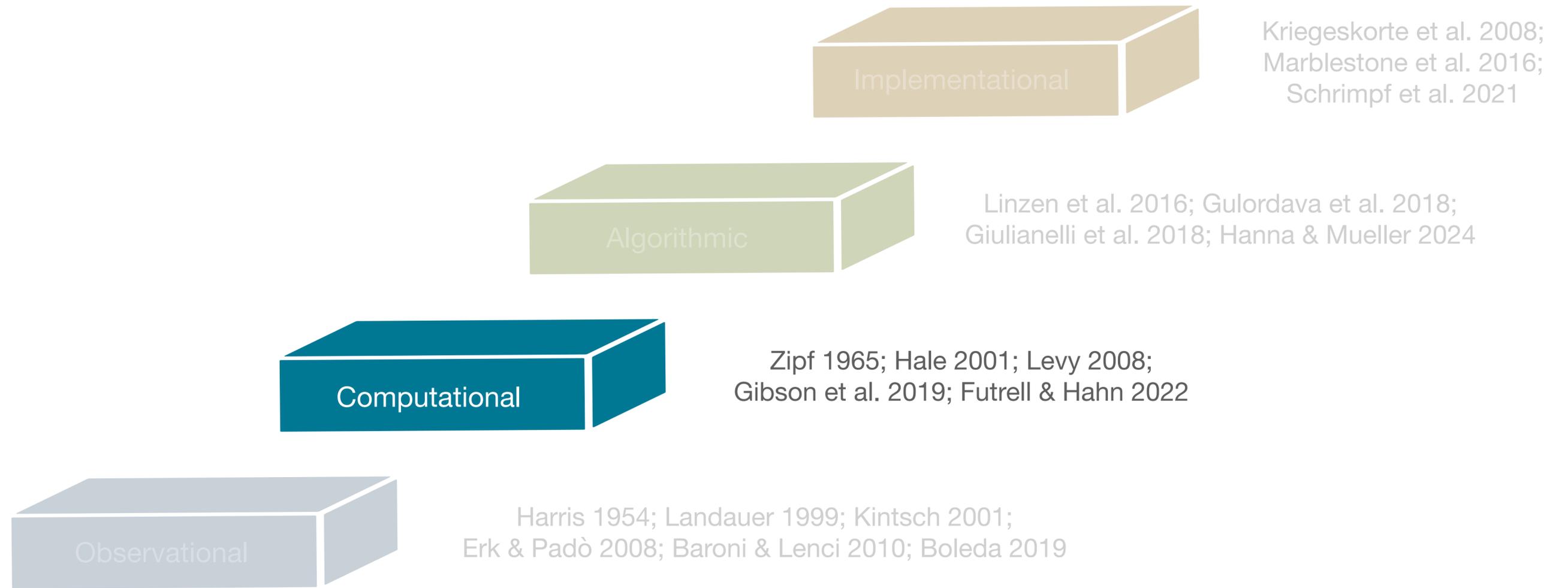


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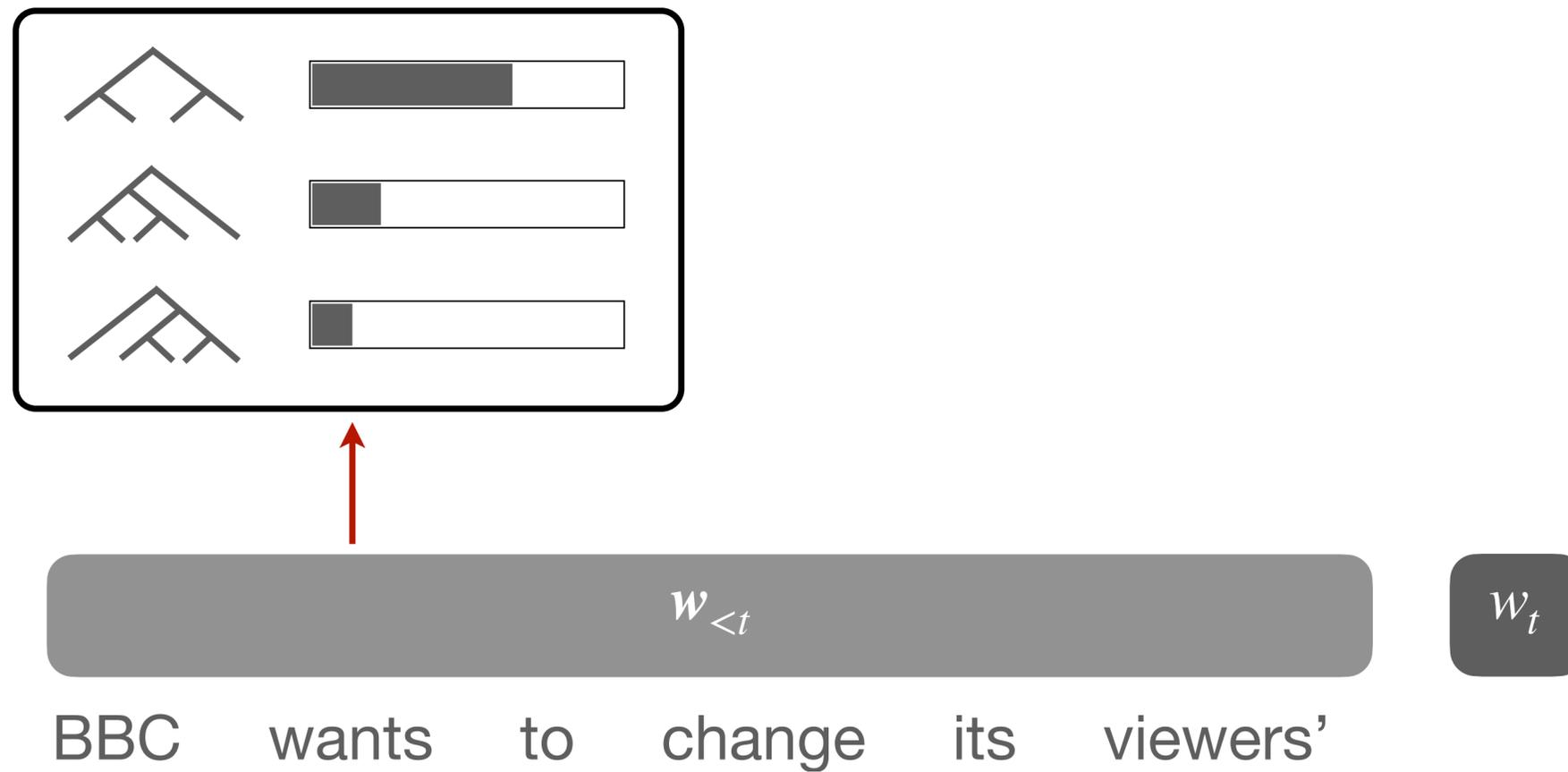


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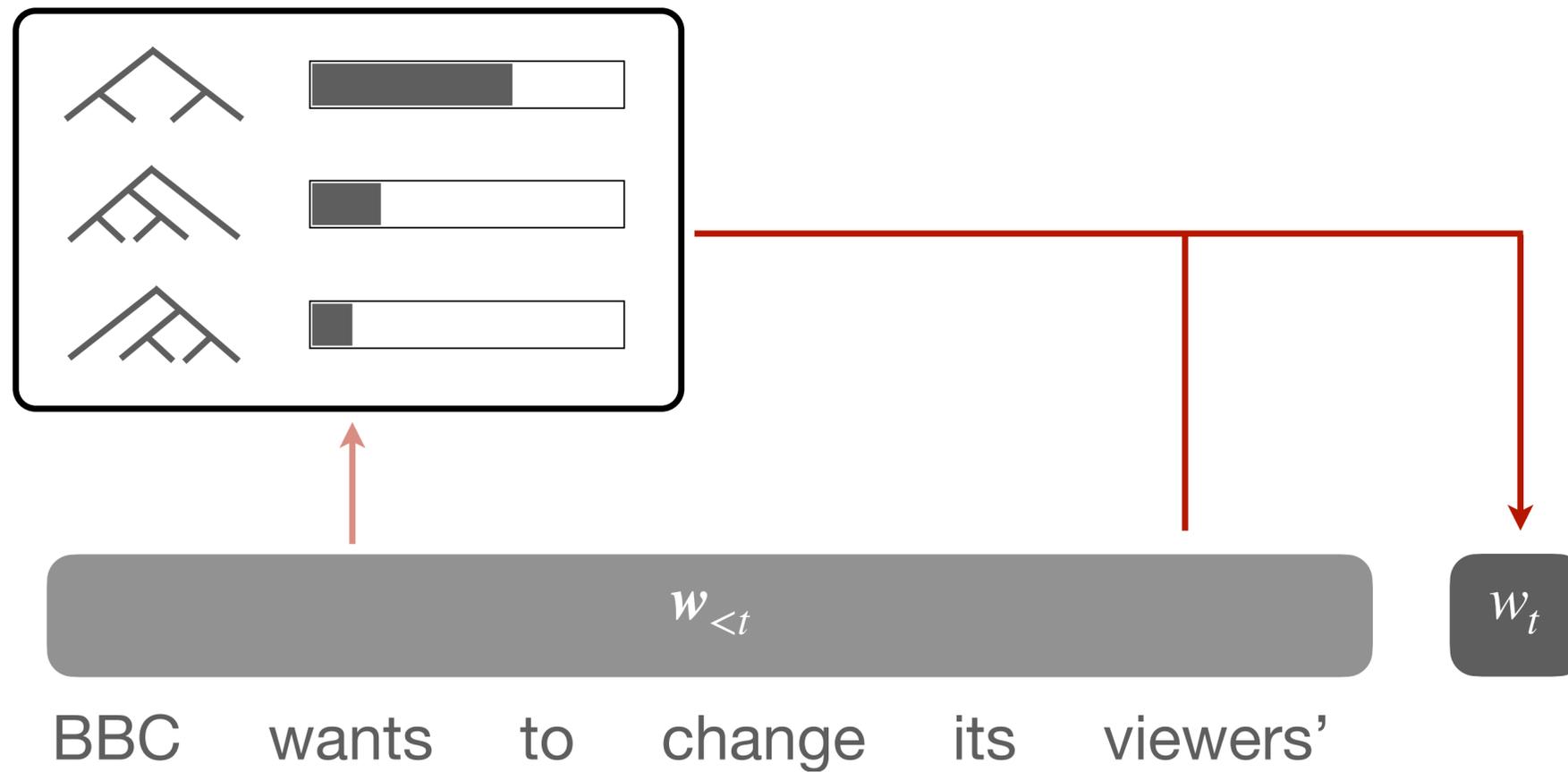
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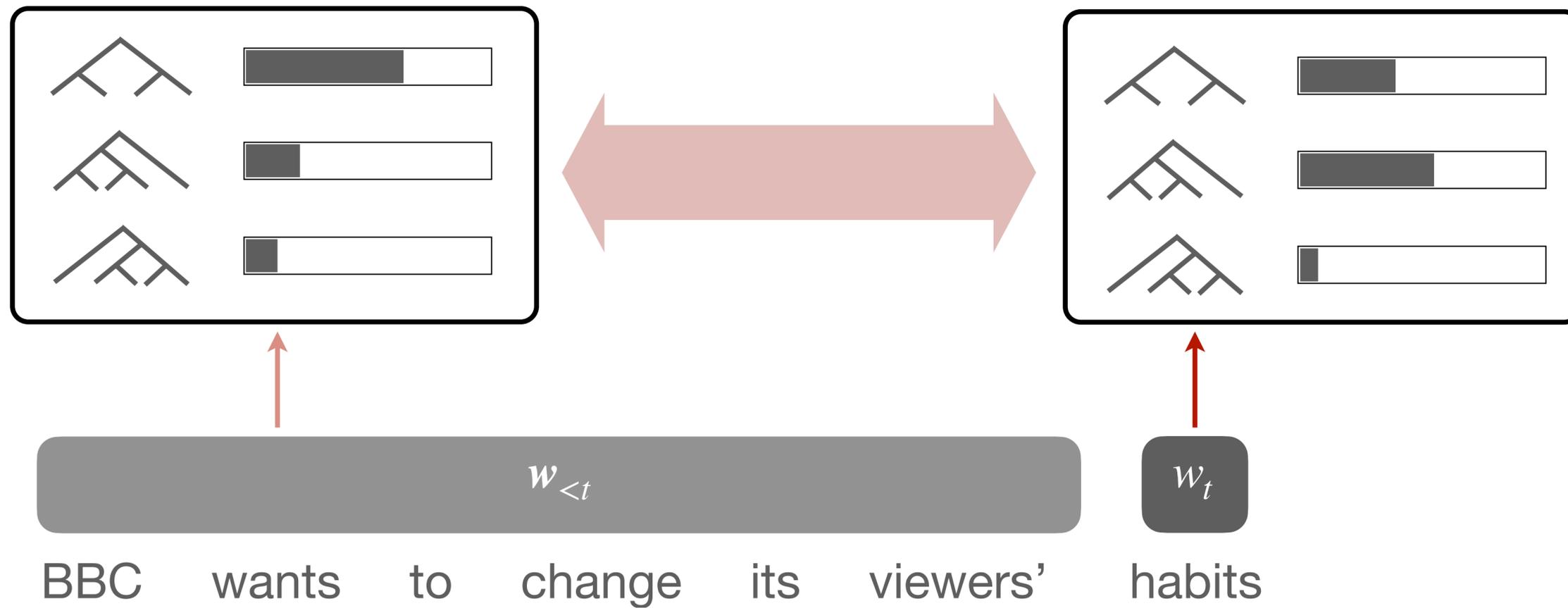
# A (computational-level) story of incremental comprehension



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# Incremental comprehension through reading experiments

BBC wants to change its viewers' metabolism.

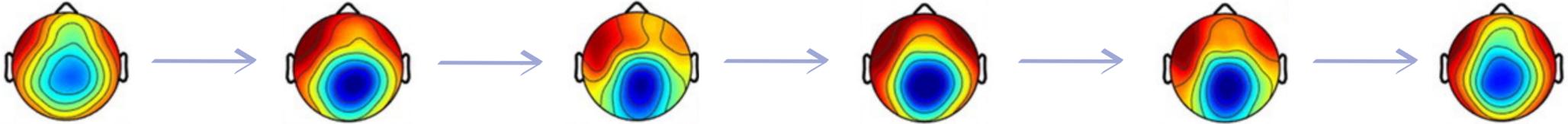
# Incremental comprehension through reading experiments

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The diagram shows the sentence "BBC wants to change its viewers' metabolism." with red dots placed above and below each word. Curved red arrows connect the dots in a sequence from left to right, illustrating the incremental processing of the sentence as it is read.

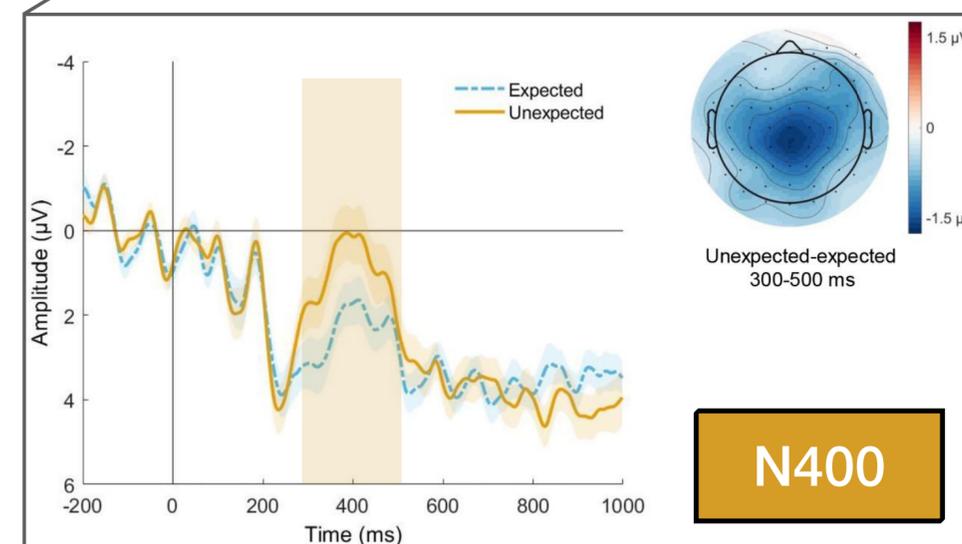
# Incremental comprehension through reading experiments

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**Behavioural and neural responses** to linguistic input provide a direct window into the **cognitive processes** underlying **language comprehension**.

BBC wants to change its viewers' **metabolism**.

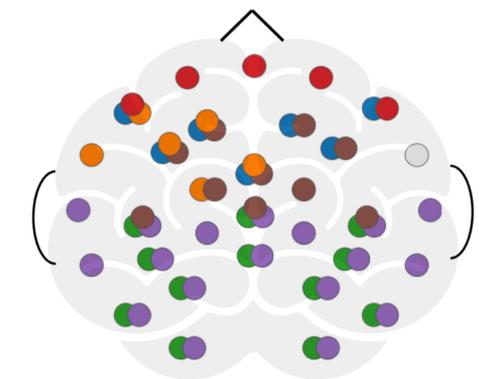
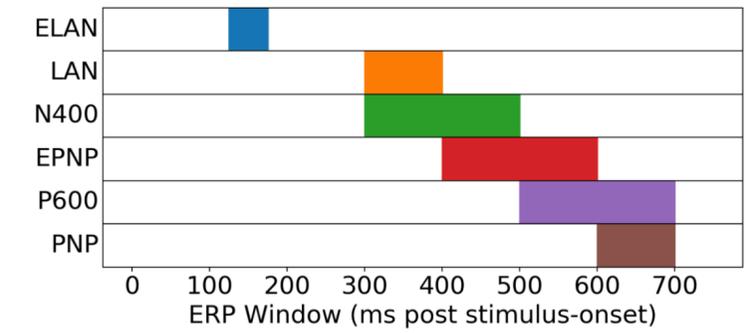


# Incremental comprehension data

## Incremental Stimuli



## Event-related Brain Potentials (ERPs)



Stimulus

$w_{<t}$

$w_t$

$t \in 1..T$

Response

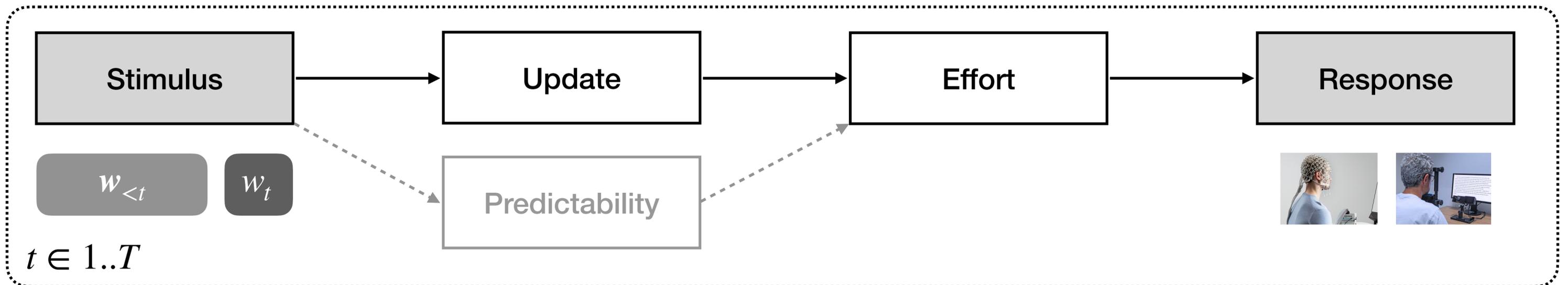
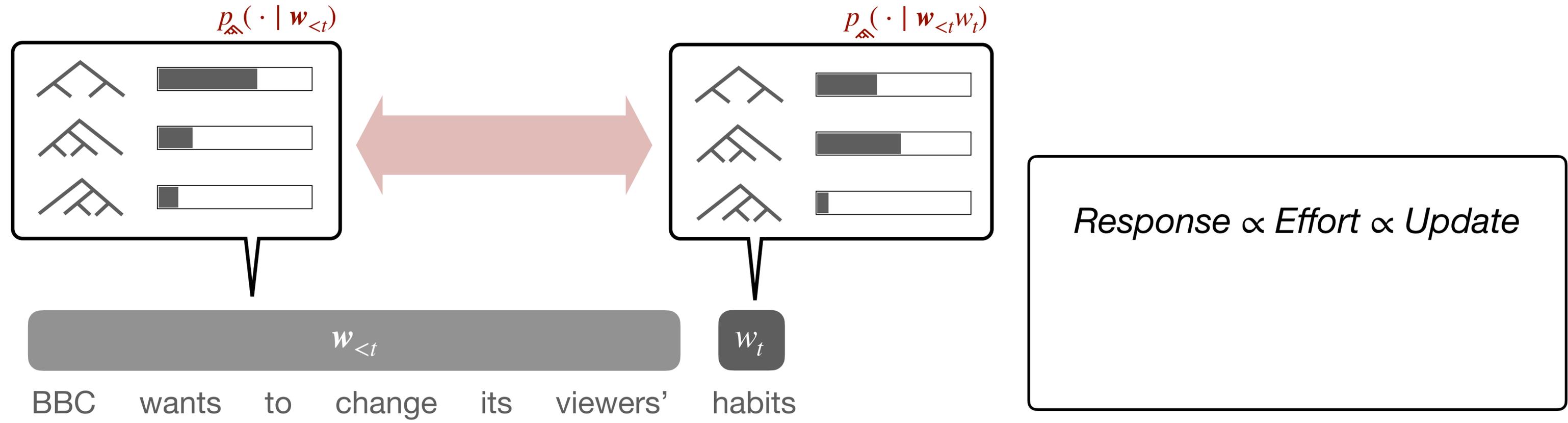


Brain imaging

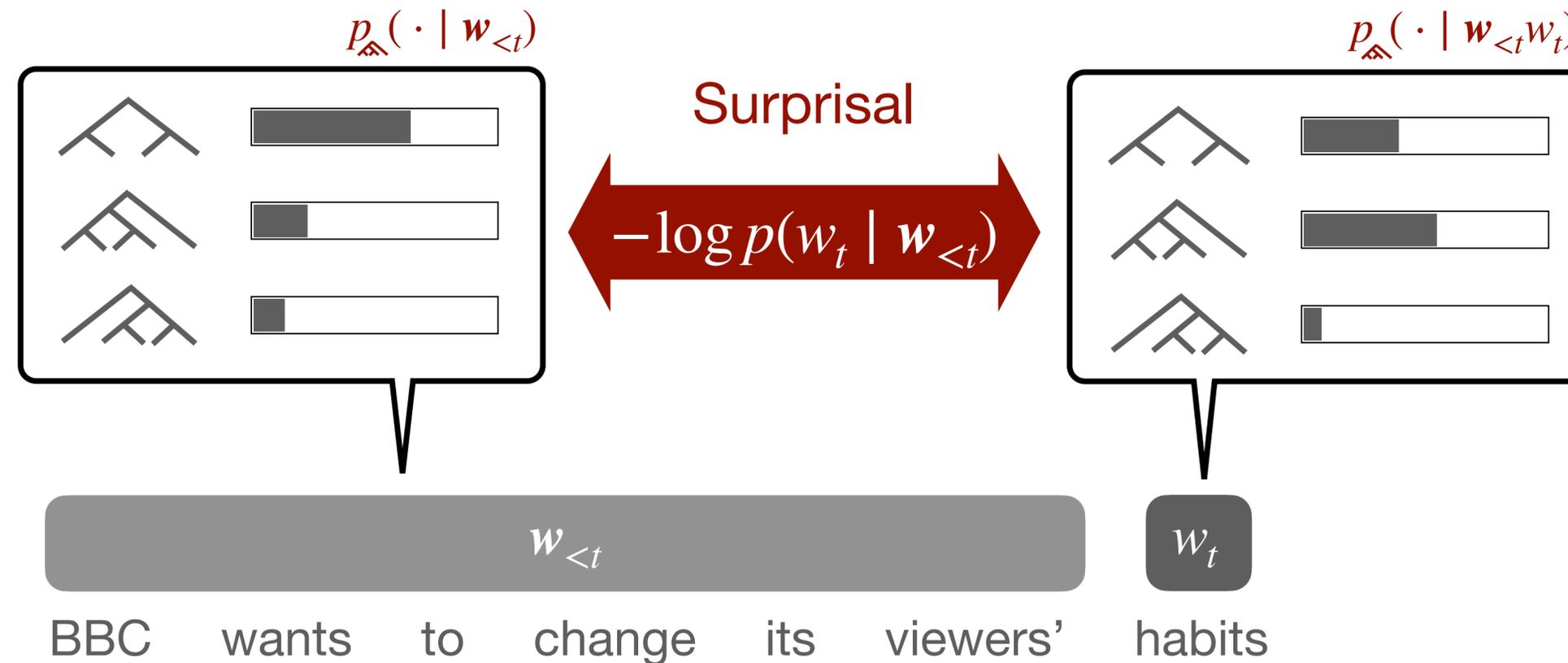


Eye tracking

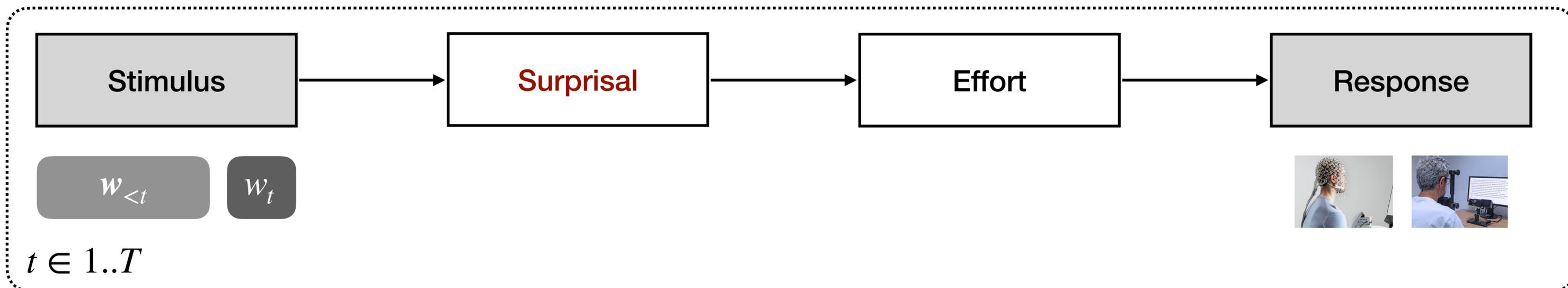
# The Surprisal model of incremental comprehension



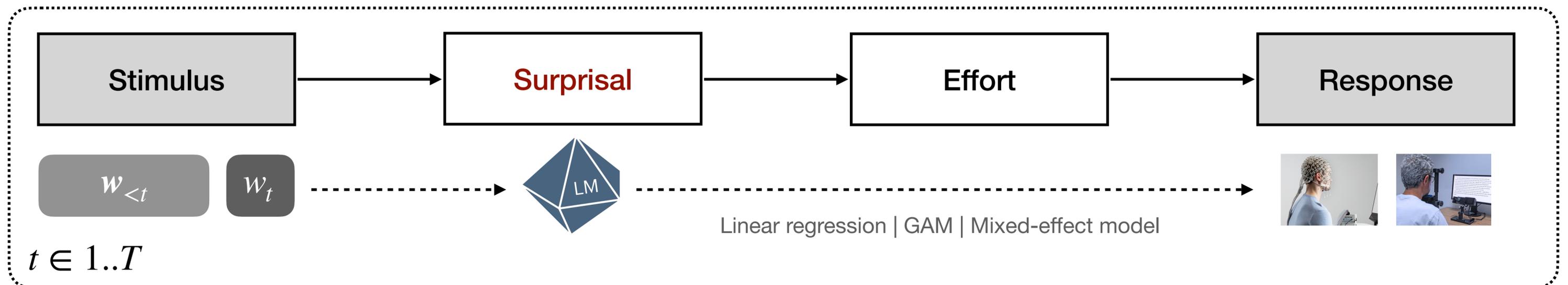
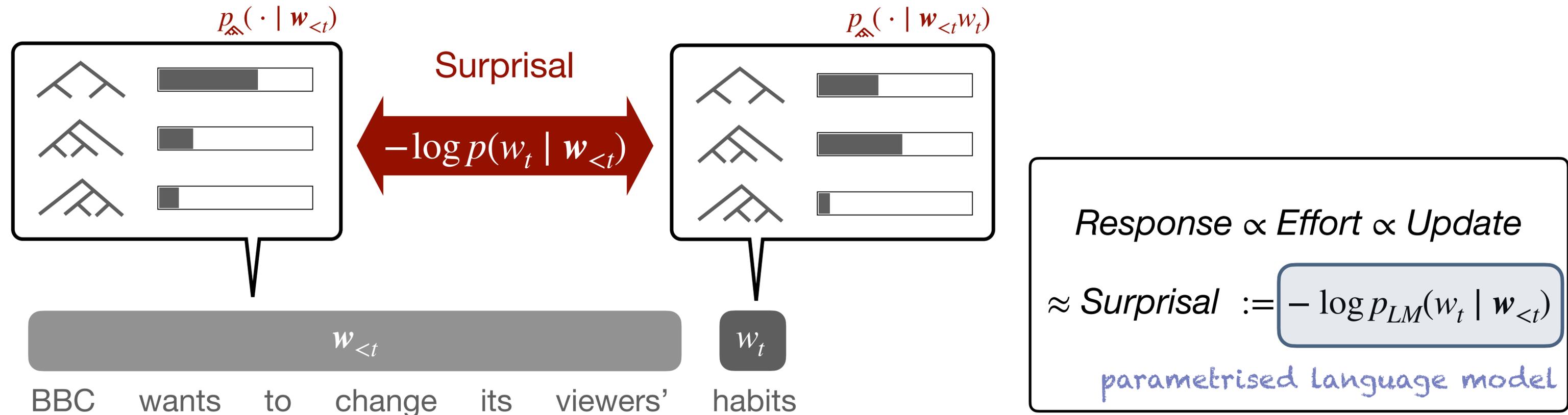
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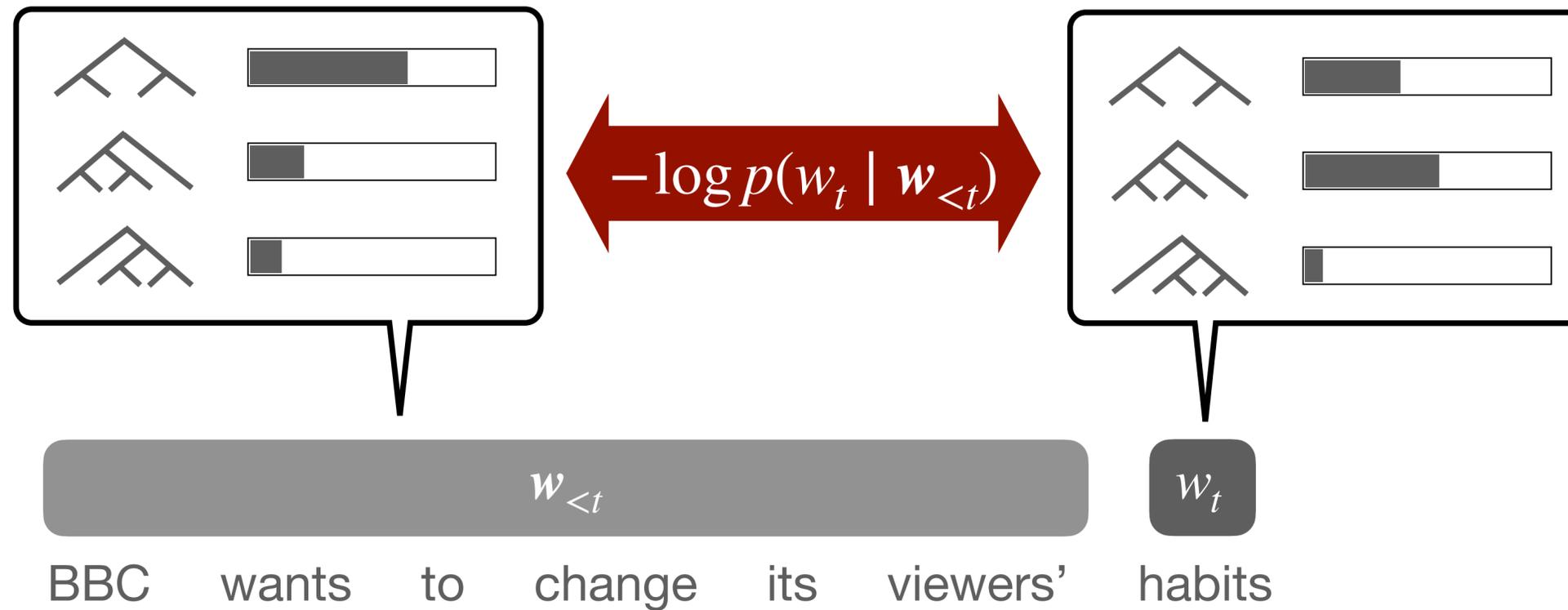
*Response*  $\propto$  *Effort*  $\propto$  *Update*  
 $\approx$  *Surprisal* :=  $-\log p(w_t | \mathbf{w}_{<t})$   
 "human language model"



# The Surprisal model of incremental comprehension



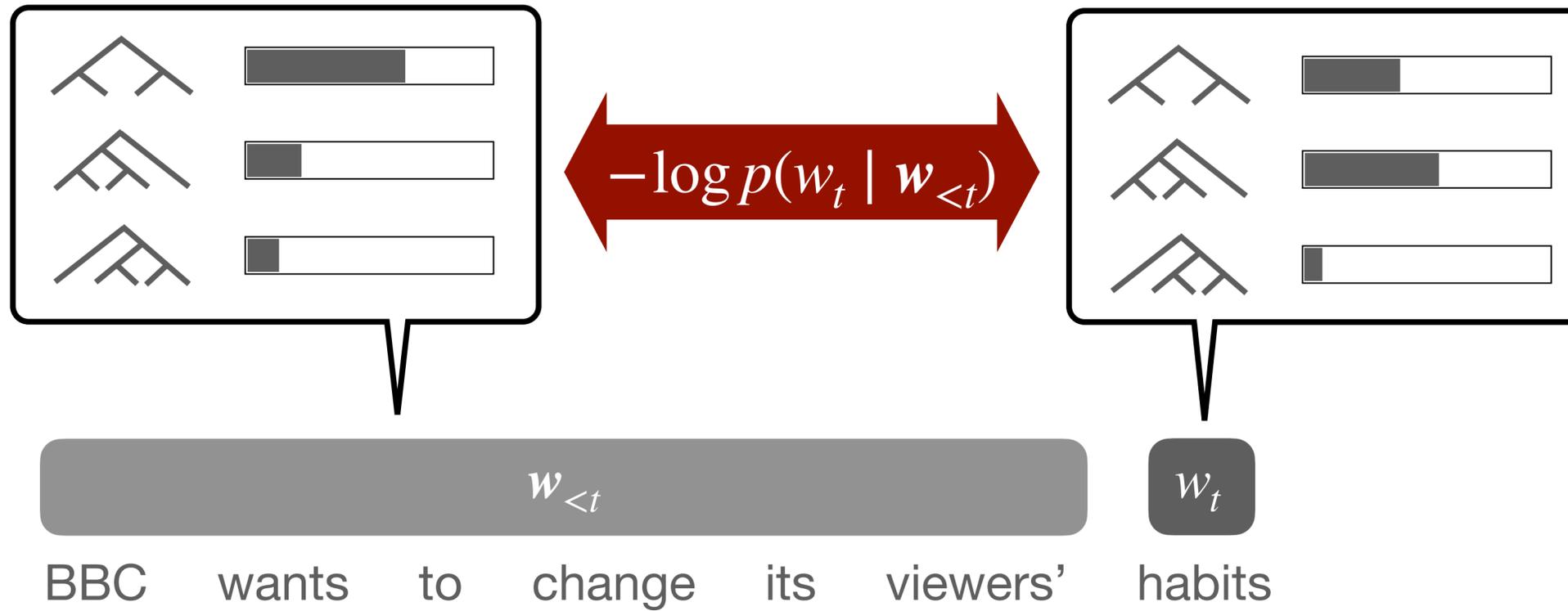
# Destructuring Surprisal theory



Giulianelli, Wallbridge, Fernández. EMNLP 2023.

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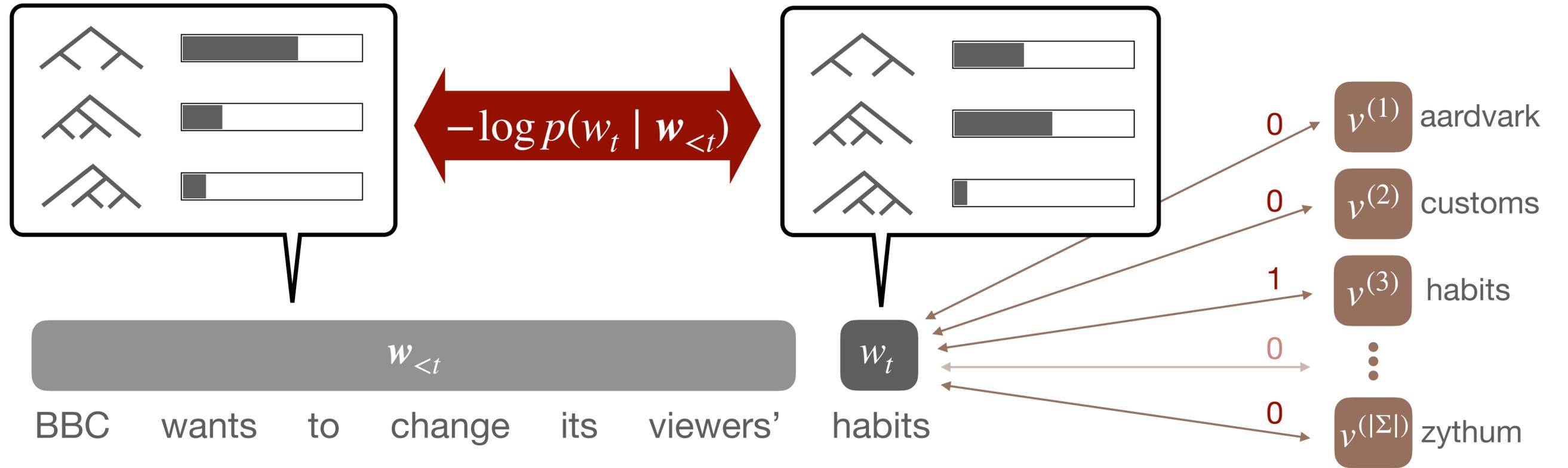
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Giulianelli, Wallbridge, Fernández. EMNLP 2023.

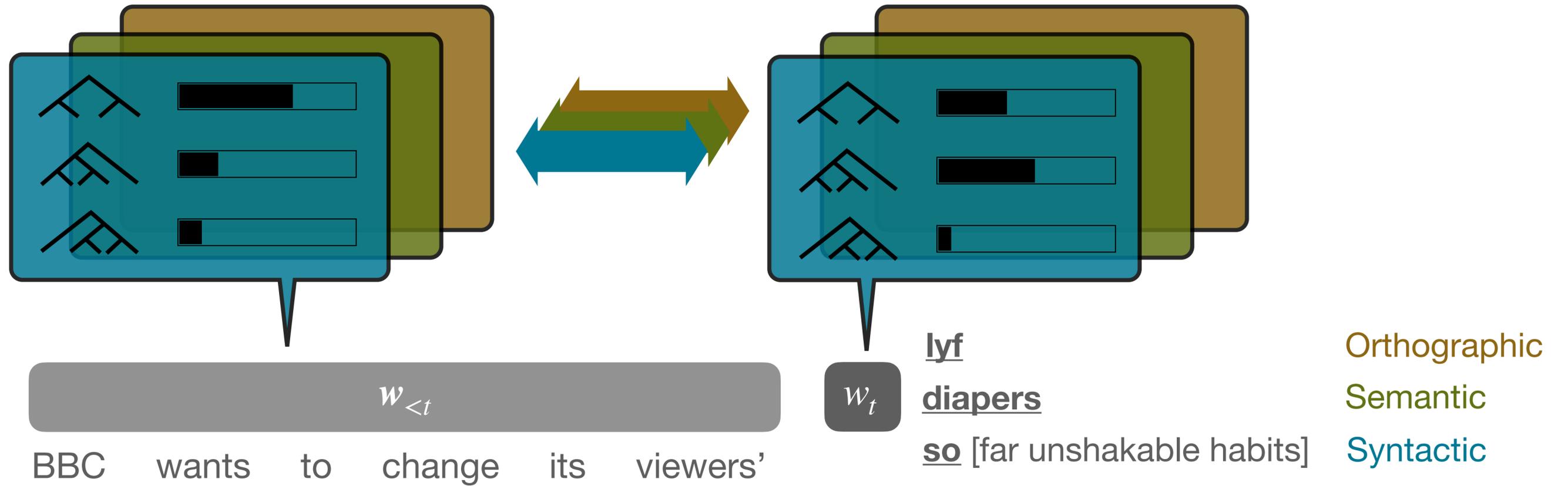
$$l^{\text{Surprisal}}(w_t; \mathbf{w}_{<t}) := -\log p(w_t | \mathbf{w}_{<t}) = -\log \sum_{v \in \Sigma} p(v | \mathbf{w}_{<t}) \mathbf{1}\{v = w_t\}$$

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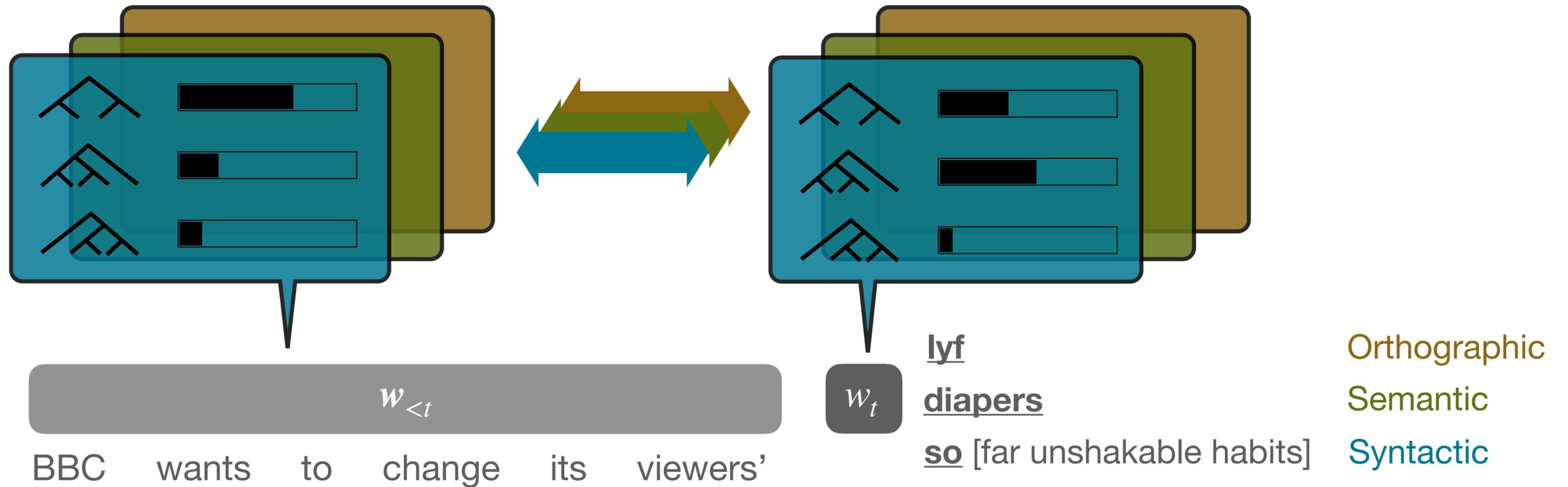
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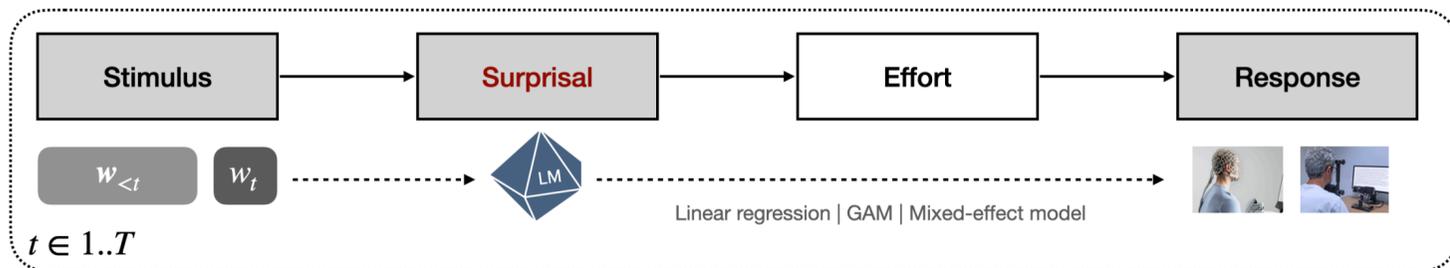
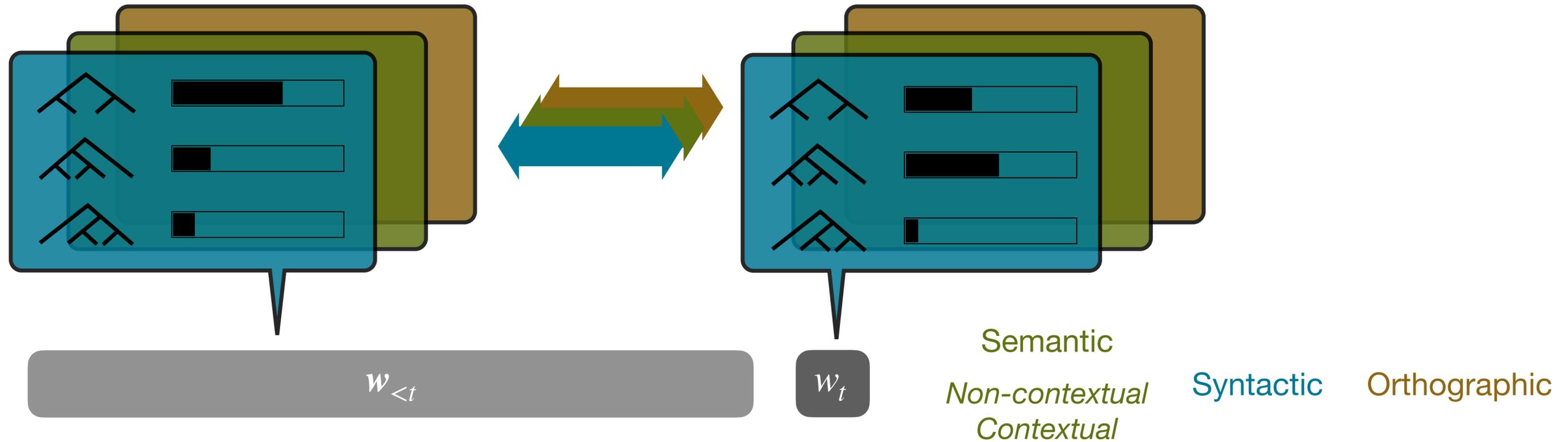
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# Similarity-adjusted Surprisal



$$\begin{aligned}
 l^{\text{Surprisal}}(w_t; \mathbf{w}_{<t}) &:= -\log p(w_t | \mathbf{w}_{<t}) = -\log \sum_{v \in \Sigma} p(v | \mathbf{w}_{<t}) \mathbf{1}\{v = w_t\} \\
 &= -\log \sum_{v \in \Sigma} p(v | \mathbf{w}_{<t}) s_{\mathbf{w}_{<t}}(v, w_t) =: l^{\text{Similarity-Adjusted Surprisal}}(w_t; \mathbf{w}_{<t})
 \end{aligned}$$

# Similarity-adjusted Surprisal



Language model: GPT-2 Small

Stimuli: English texts (Brown, Dundee, Natural Stories, Provo)

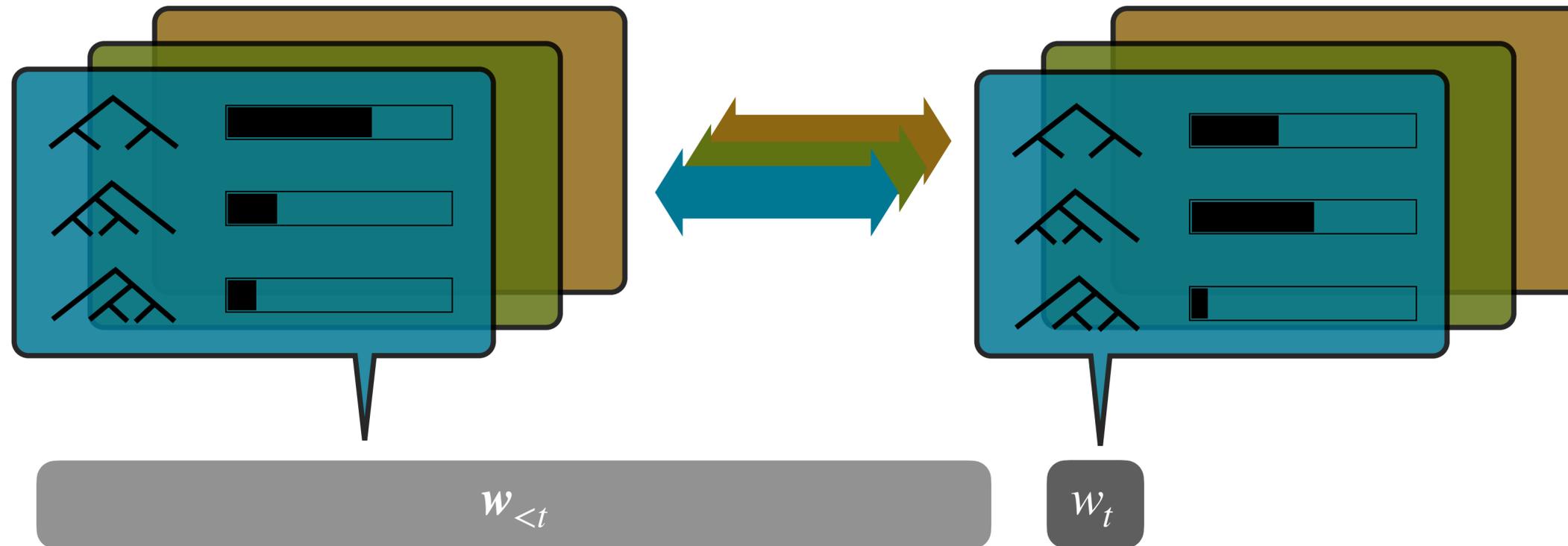
Responses: Eye-tracked and self-paced reading times (avg. across subjects)

	Similarity-adjusted surprisal			
	Non-contextual	Contextual	POS	Orthographic
Brown	2.78***	-0.02	2.22	1.29*
Dundee	1.44***	0.01	0.69	0.64*
Natural Stories	3.18***	0.31**	1.04*	0.79*
Provo	1.34***	0.05	1.49	0.82

Meister, Giulianelli, Pimentel. EMNLP 2024.

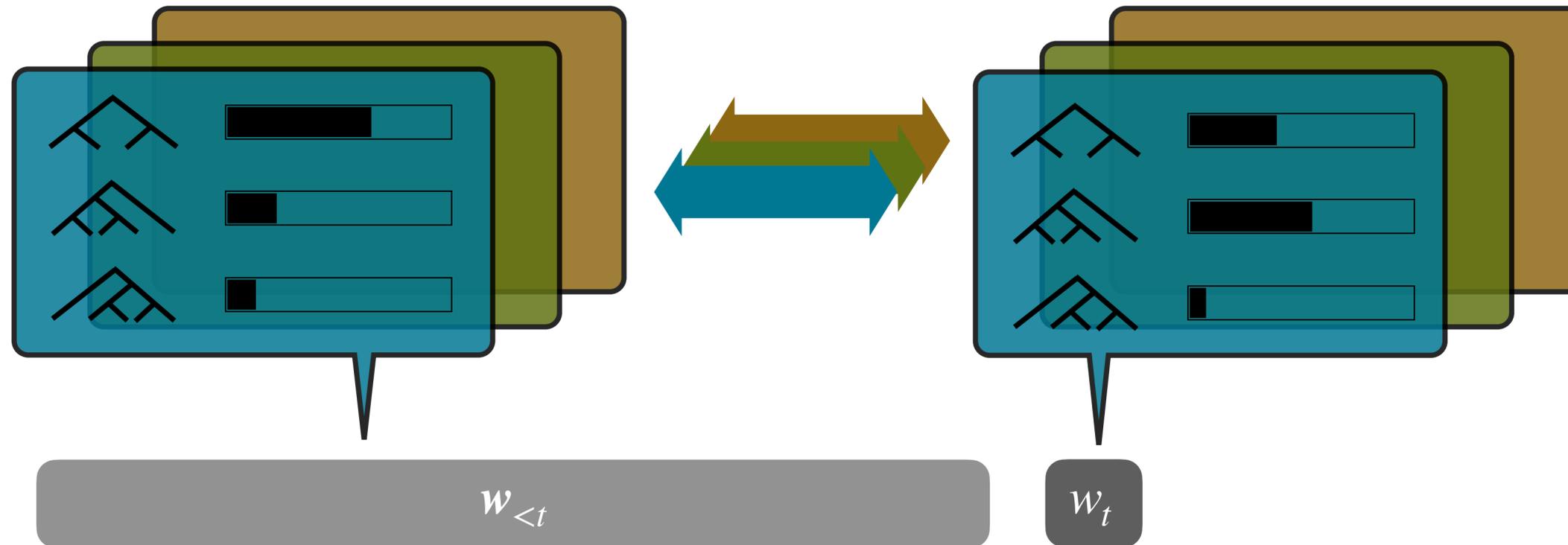
$\Delta_{LogLik}$  with respect to baseline model; predictors for current and previous three words.

# Generalising Surprisal



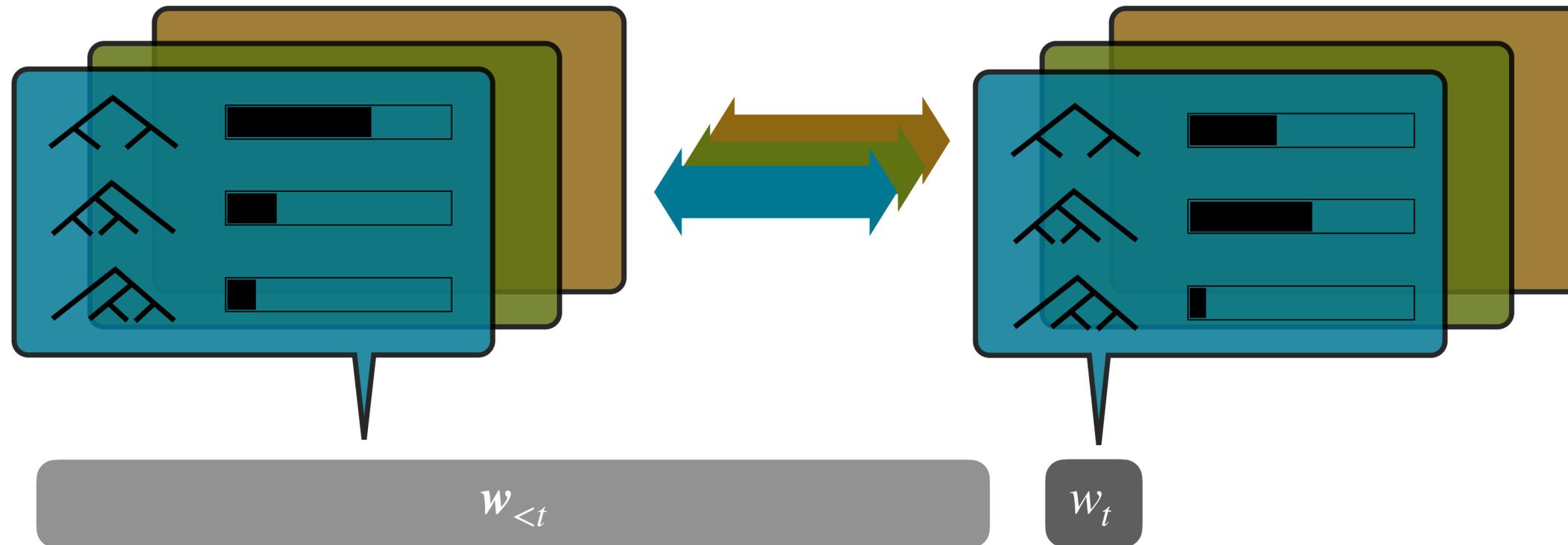
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# Generalising Surprisal



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$$f \left( \mathbb{E}_{v \sim p(\cdot | \mathbf{w}_{<t})} g(v, w_t, \mathbf{w}_{<t}) \right)$$

# Generalised Surprisal

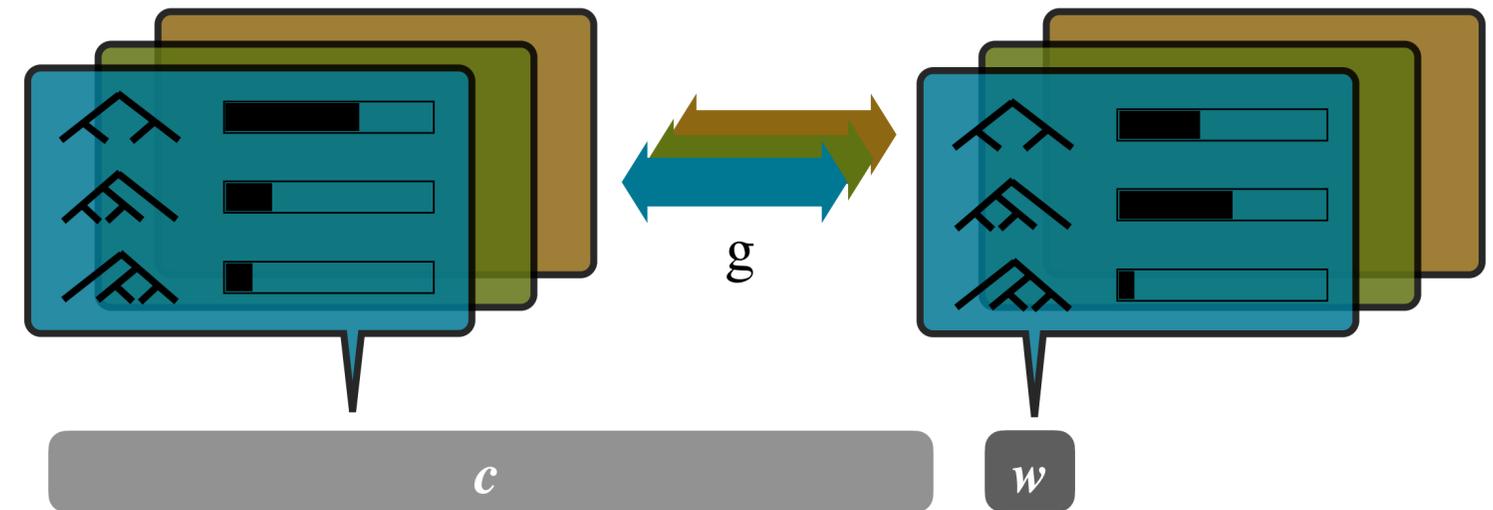
Giulianelli, Opedal, Cotterell. EMNLP 2024.

A generalised surprisal model is the pair  $(f, g)$  of

- ◆ a **warping function**  $f : \mathbb{R} \rightarrow \mathbb{R}$
- ◆ and a **scoring function**  $g : \Sigma^* \times \Sigma^* \times \Sigma^* \rightarrow \mathbb{R}$

Under a model  $(f, g)$ , the **generalised surprisal** of a string  $w$  in a context  $c$  is

$$l_p^{(f,g)}(w; c) := f \left( \mathbb{E}_{v \sim p(\cdot|c)} g(v, w, c) \right)$$



# Generalised Surprisal

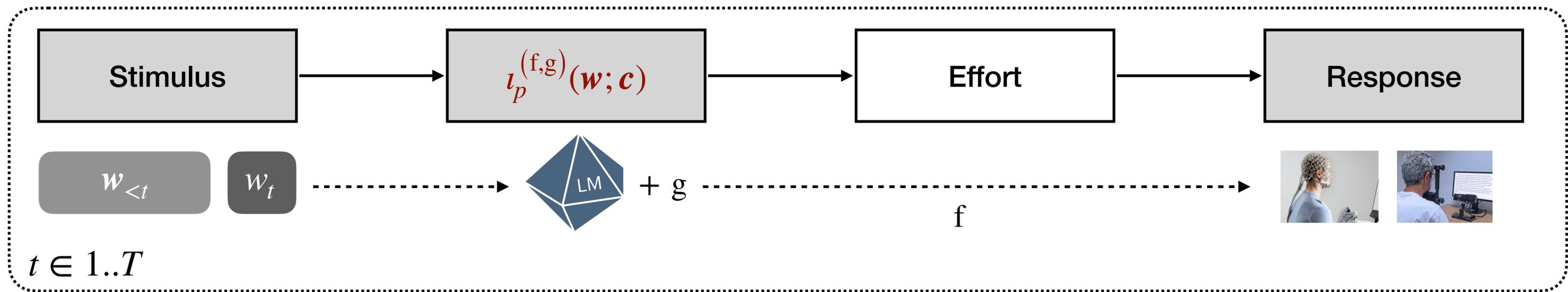
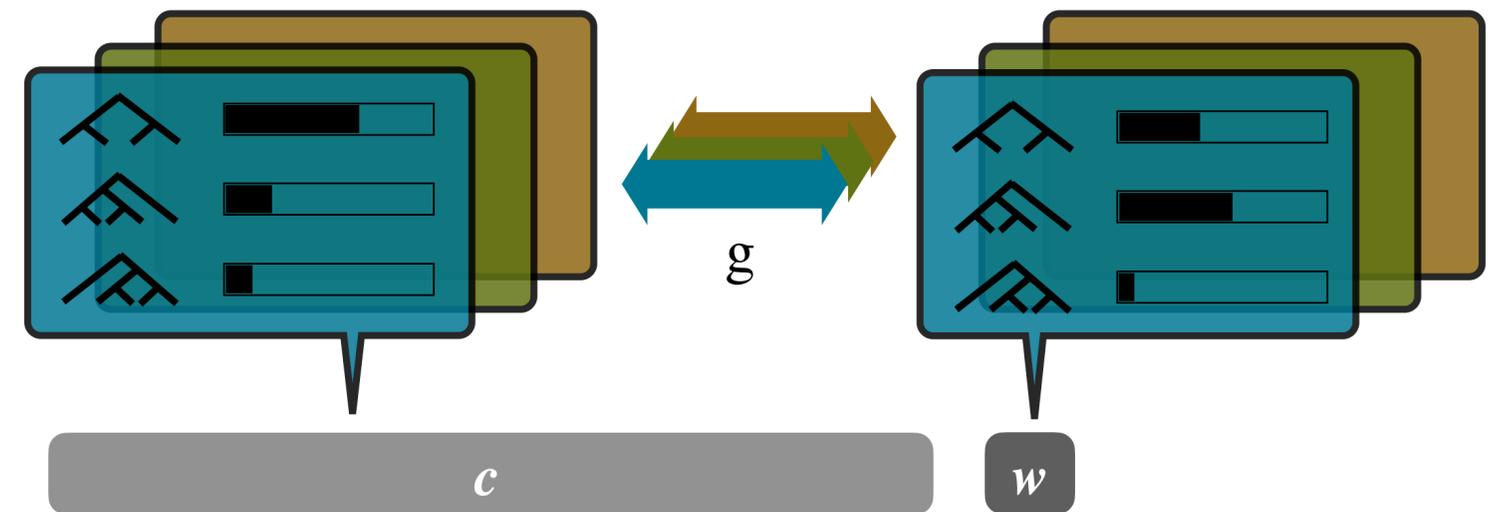
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$t \in 1..T$

# Generalised Surprisal

## *Warping Functions: Surprisal v. Probability*

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### Next-word Surprisal

$$f(x) = -\log(x)$$

$$g(v, w_t, \mathbf{w}_{<t}) = \mathbf{1}\{v = w_t\}$$

### Next-word Probability

$$f(x) = x$$

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# Generalised Surprisal

## Warping Functions: Surprisal v. Probability

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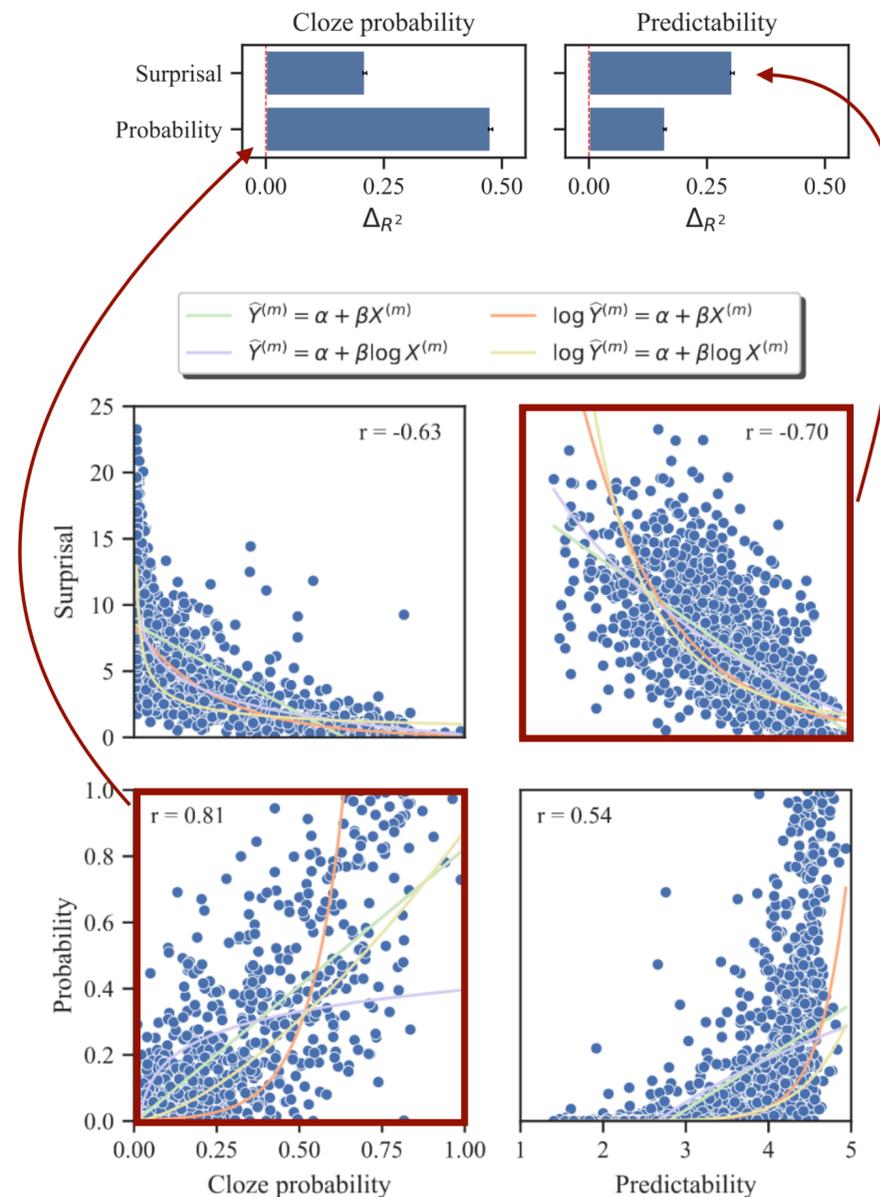
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Language model: GPT-2 Small

Stimuli: M = 1726 target-context pairs from English novels (de Varda et al. 2023)

Responses: Cloze probability (smoothed); predictability ratings (1 to 5)

# Generalised Surprisal

## Scoring Functions: Information Value

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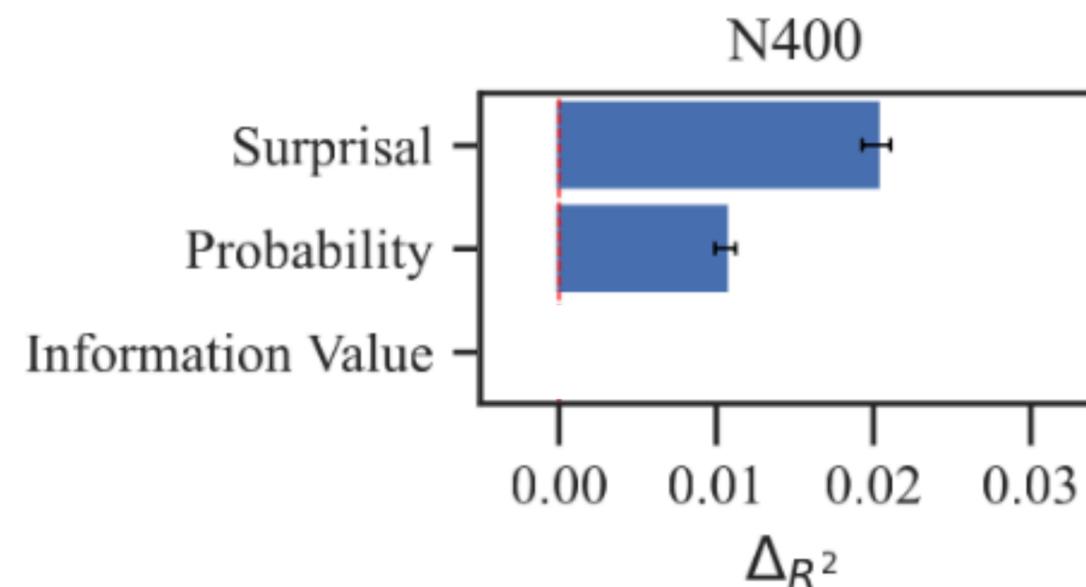
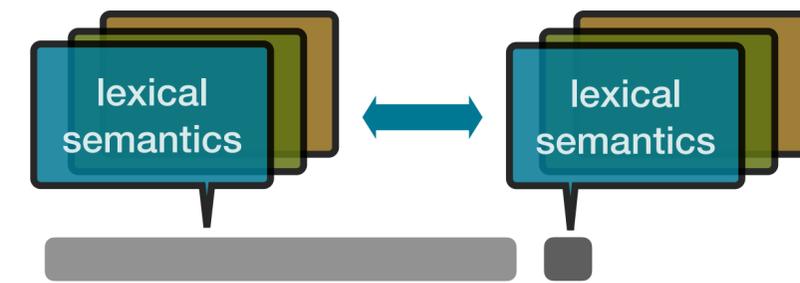
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Next-word Information Value

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$$g(v, w_t, w_{<t}) = d_{w_{<t}}(v, w_t)$$

Giulianelli, Wallbridge, Fernández. EMNLP 2023.



Language model: GPT-2 Small

$d_{w_{<t}}(v, w_t) \rightarrow$  cosine between contextualised word embeddings

Stimuli: M = 1726 target-context pairs from English novels (de Varda et al. 2023)

Response: N400 (avg. across participants)

Giulianelli, Opedal, Cotterell. EMNLP 2024.

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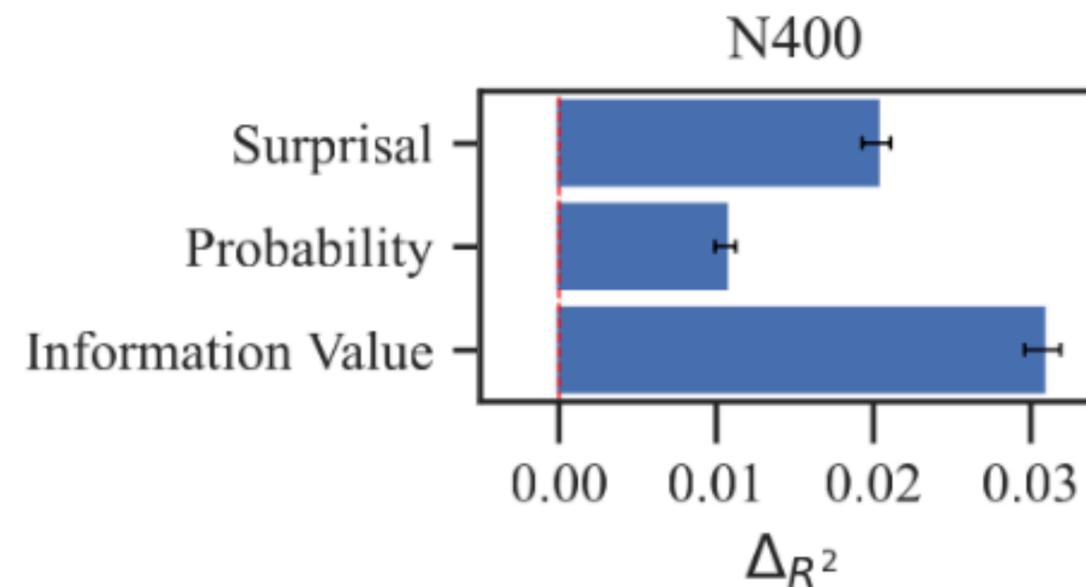
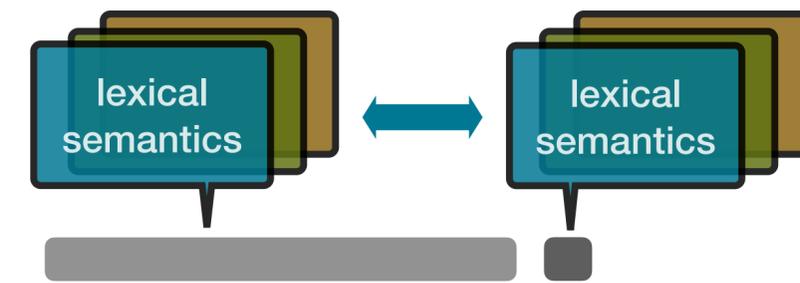
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# Generalised Surprisal

## Responsive Uncertainty

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### Surprisal

$$f(x) = -\log(x)$$

$$g(v, w_t, \mathbf{w}_{<t}) = \mathbf{1}\{v = w_t\}$$

### Probability

$$f(x) = x$$

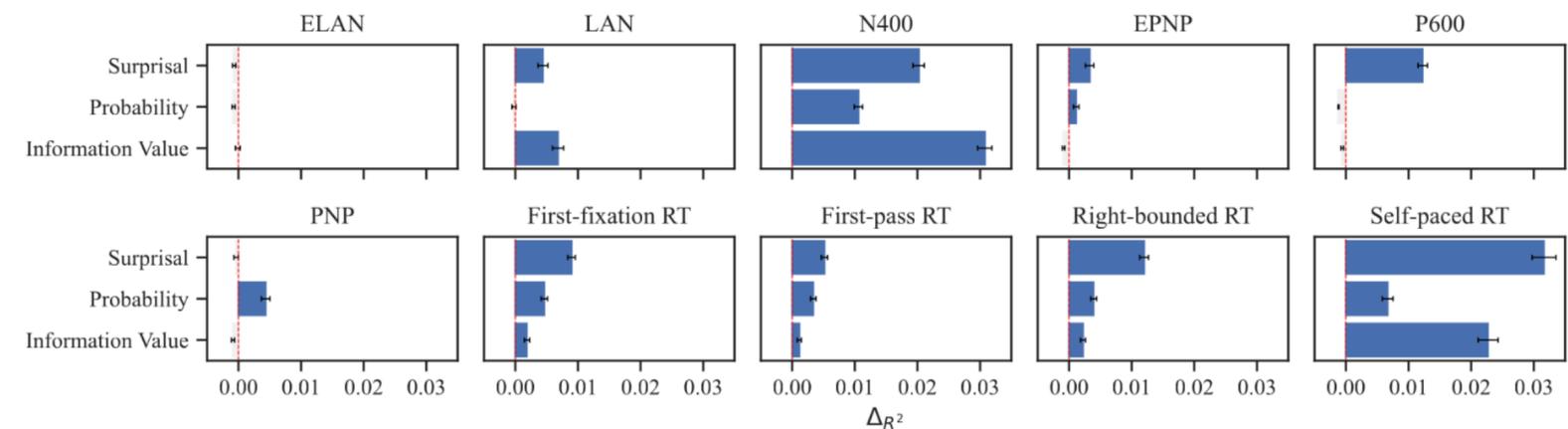
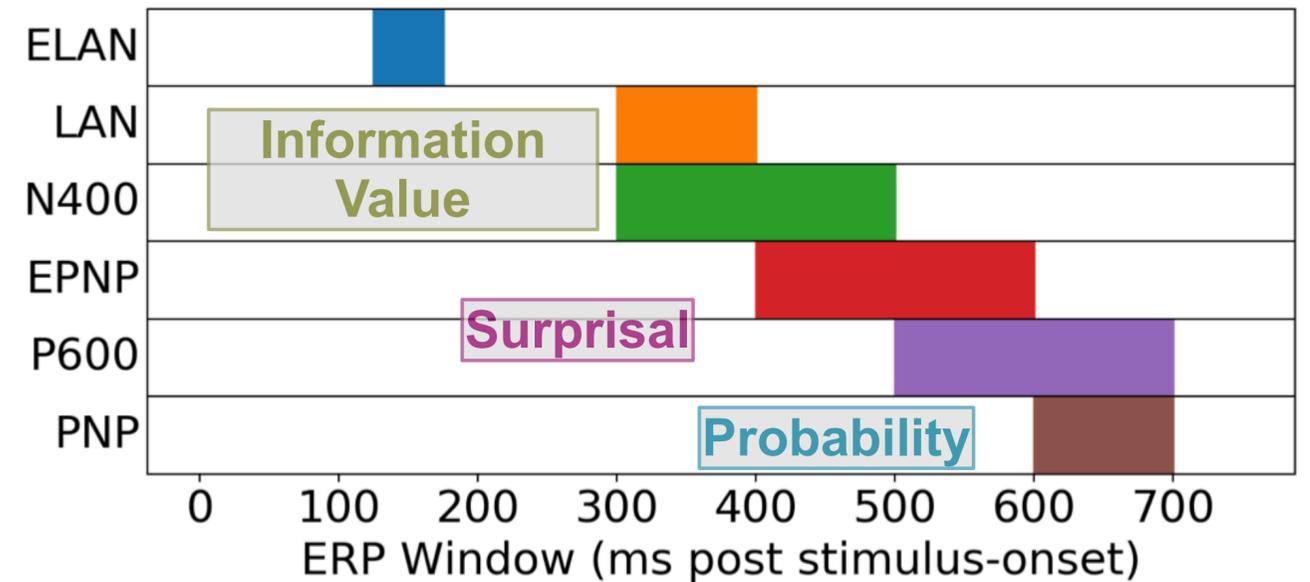
$$g(v, w_t, \mathbf{w}_{<t}) = \mathbf{1}\{v = w_t\}$$

### Information Value

$$f(x) = x$$

$$g(v, w_t, \mathbf{w}_{<t}) = d_{\mathbf{w}_{<t}}(v, w_t)$$

$d_{\mathbf{w}_{<t}}(v, w_t) \rightarrow$  cosine between contextualised word embeddings



Language model: GPT-2 Small

Stimuli: M = 1726 target-context pairs from English novels (de Varda et al. 2023)

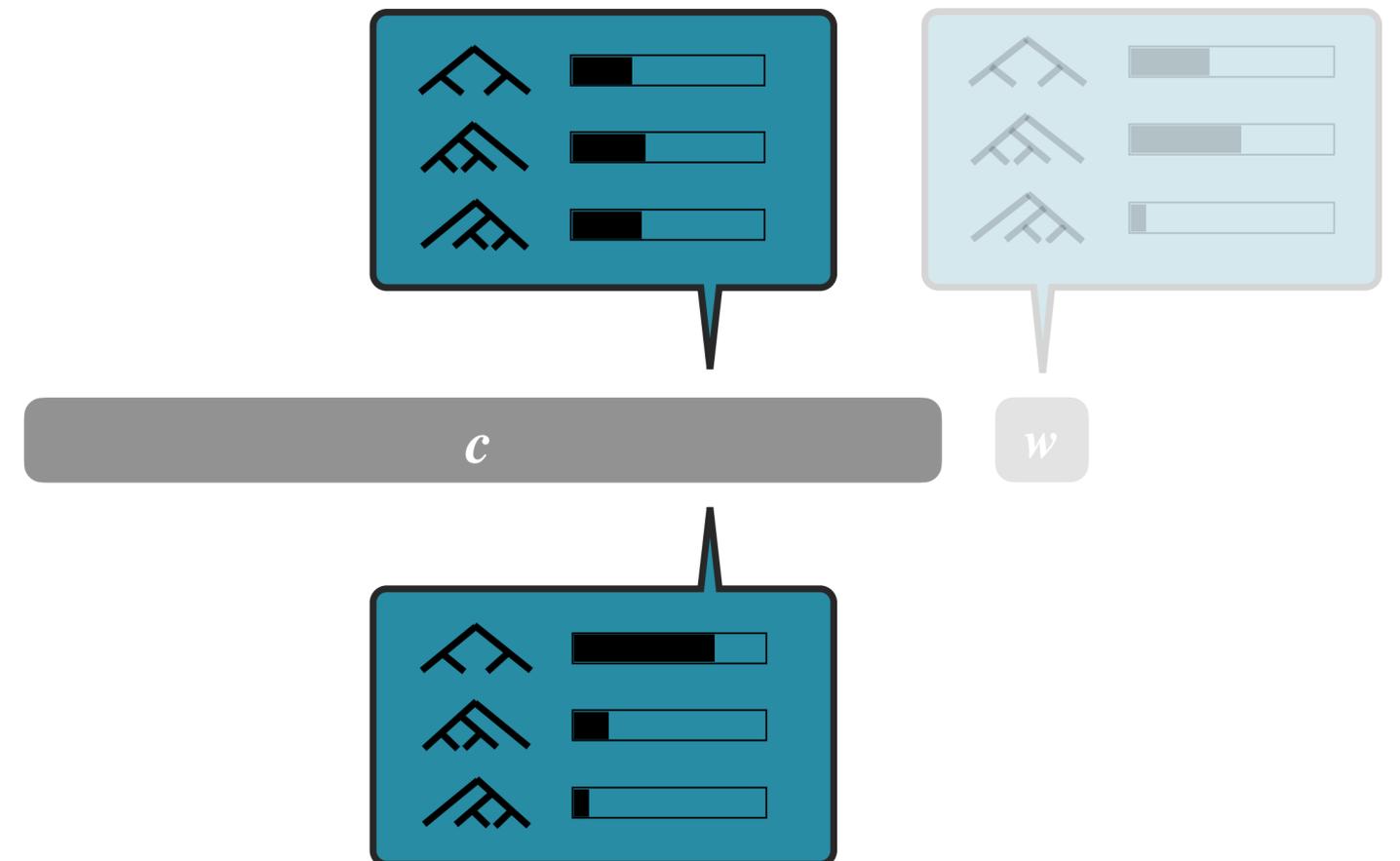
Response: ELAN, LAN, N400, EPNP, P600, PNP (avg. across participants)

# Generalised Surprisal *Anticipatory Uncertainty*

$$l_p^{(f,g)}(\mathbf{w}; \mathbf{c}) := f \left( \mathbb{E}_{\mathbf{v} \sim p(\cdot | \mathbf{c})} g(\mathbf{v}, \mathbf{w}, \mathbf{c}) \right)$$

We call a generalised surprisal model  $(f, g)$  **anticipatory** if the scoring function  $g$  is constant in  $\mathbf{w}$ , i.e., if  $\forall \mathbf{v}, \mathbf{w}, \mathbf{w}', \mathbf{c} \in \Sigma^* : g(\mathbf{v}, \mathbf{w}, \mathbf{c}) = g(\mathbf{v}, \mathbf{w}', \mathbf{c})$ .

Otherwise, we call  $(f, g)$  **responsive**.



# Generalised Surprisal Anticipatory Uncertainty

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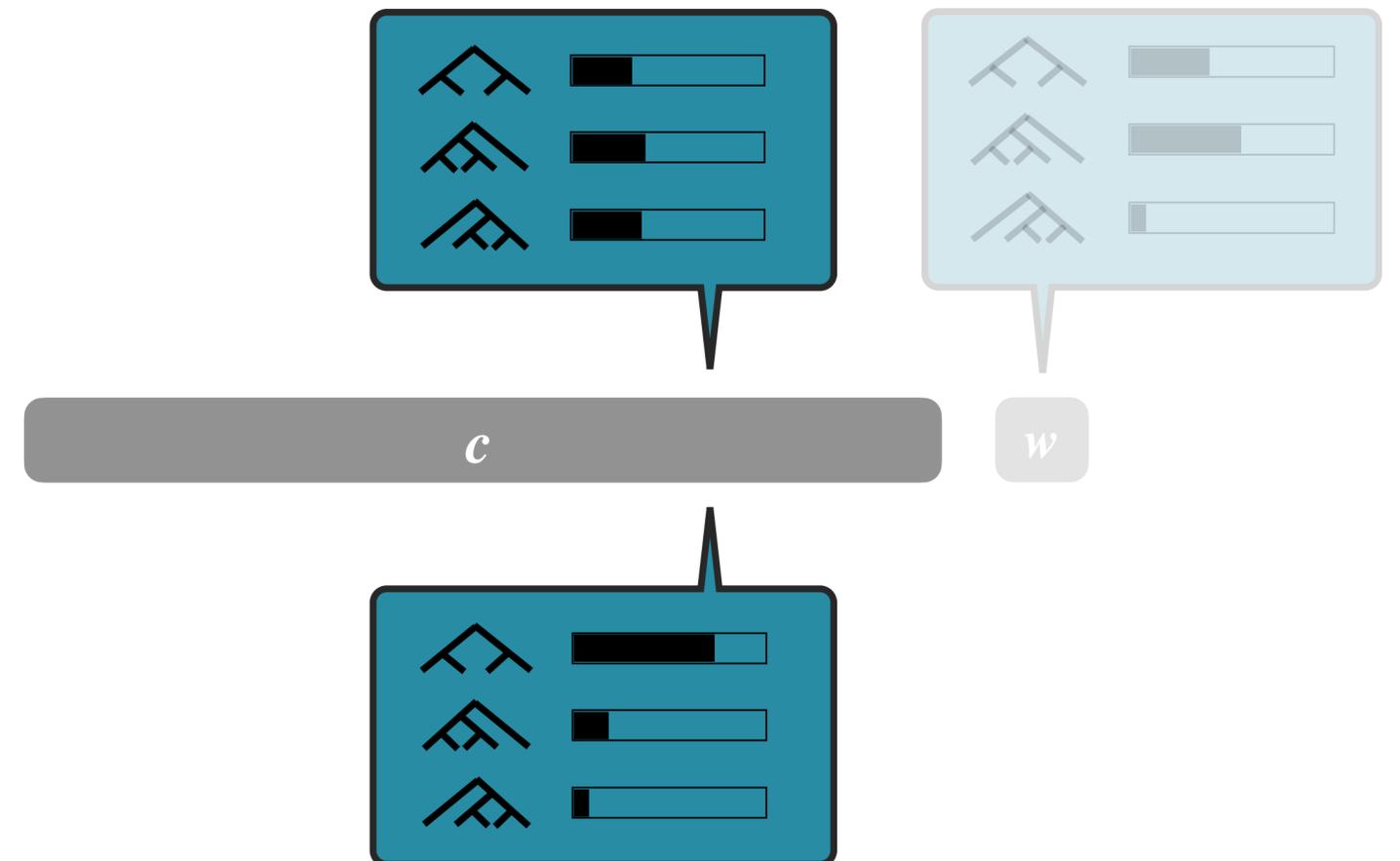
Otherwise, we call  $(f, g)$  **responsive**.

## Next-word Entropy

$$f(x) = x \quad g(\mathbf{v}, \mathbf{w}, \mathbf{c}) = - \sum_{u \in \Sigma} \mathbf{1}\{u \leq \mathbf{v}\} \log p(u | \mathbf{c})$$

## Sequence Entropy

$$f(x) = x \quad g(\mathbf{v}, \mathbf{w}, \mathbf{c}) = - \log p(\mathbf{v} | \mathbf{c})$$



# Generalised Surprisal Anticipatory Uncertainty

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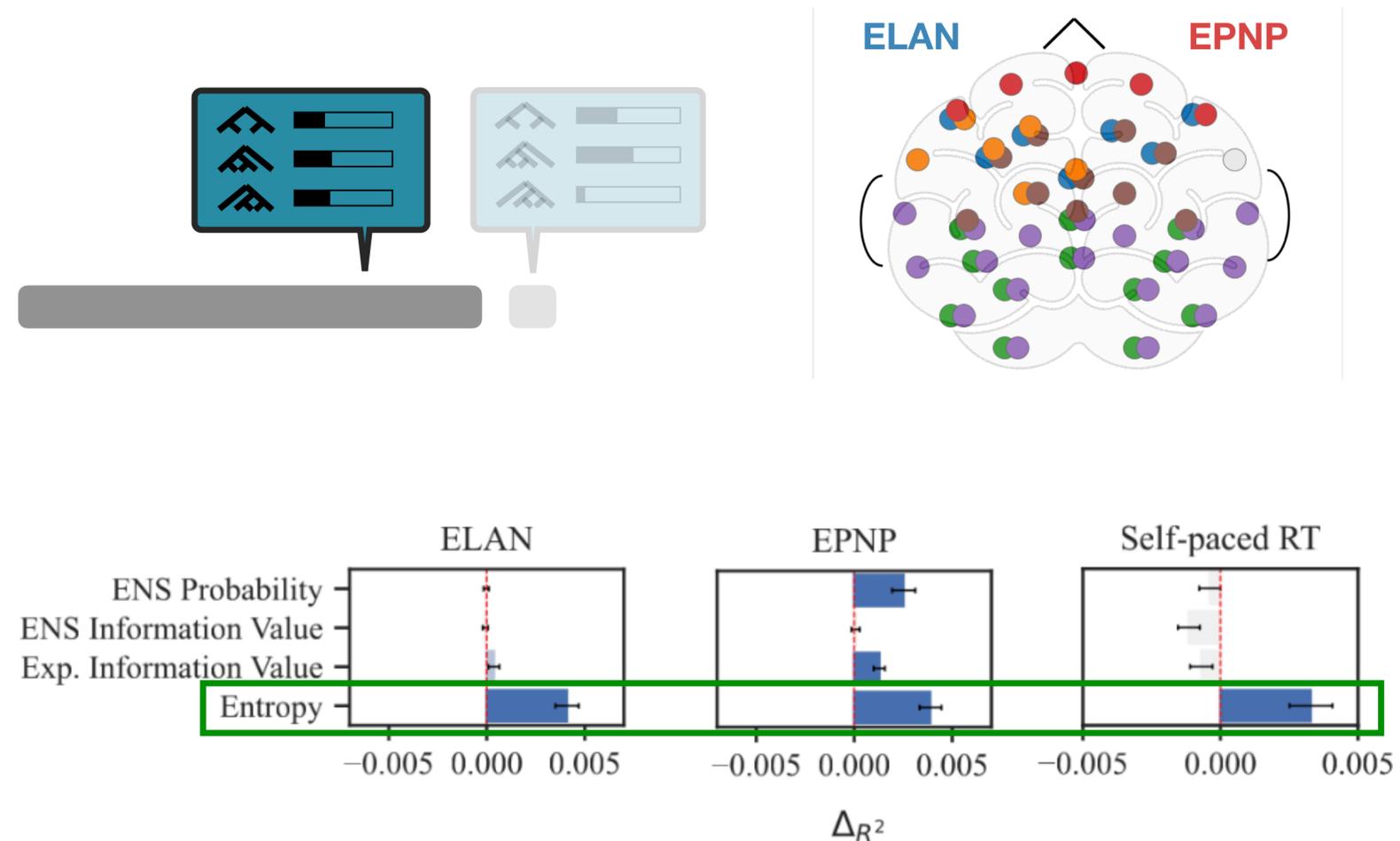
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## Sequence Entropy

$$f(x) = x \quad g(\mathbf{v}, \mathbf{w}, \mathbf{c}) = - \log p(\mathbf{v} | \mathbf{c})$$



Increase in predictive power,  $\Delta R^2$ , over next-word entropy baseline.

Language model: GPT-2 Small

Stimuli:  $M = 1726$  target-context pairs from English novels (de Varda et al. 2023)

Response: ELAN, EPNP; self-paced reading times

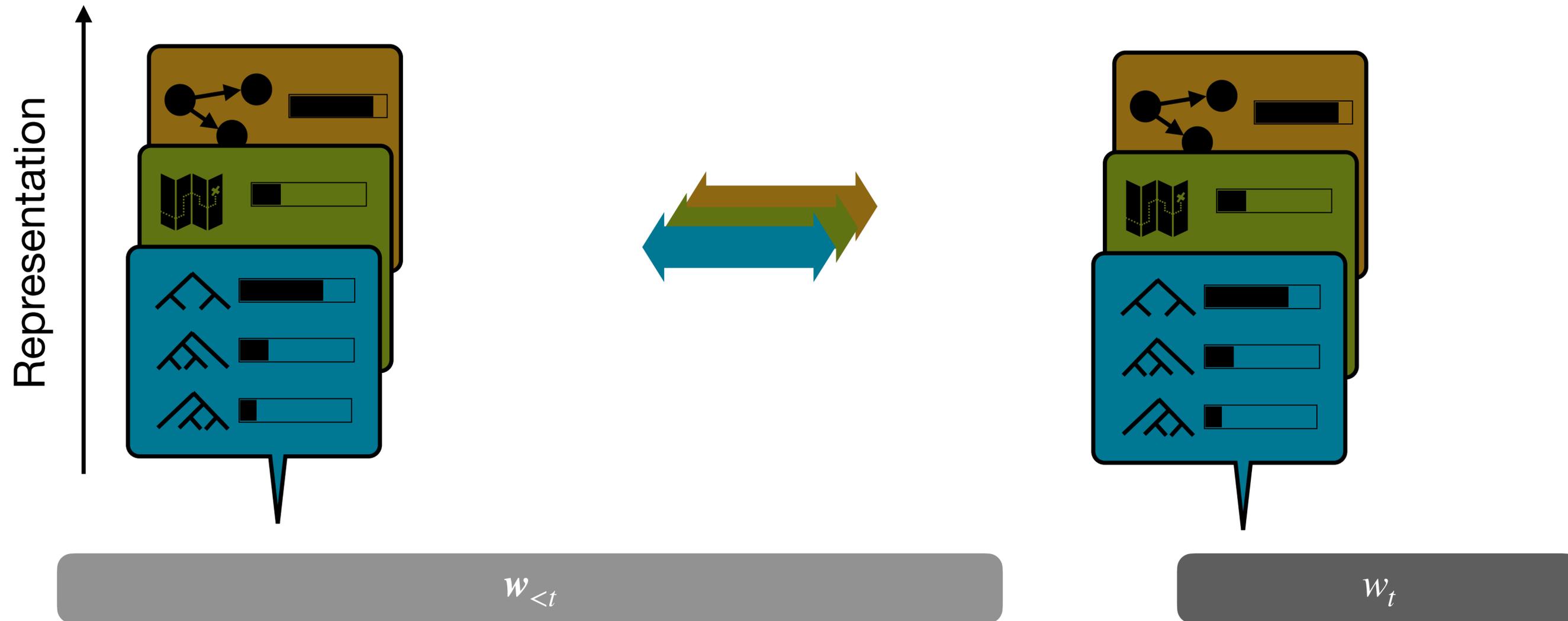
# Generalised Surprisal

***Summary: Most predictive uncertainty measure by response type***

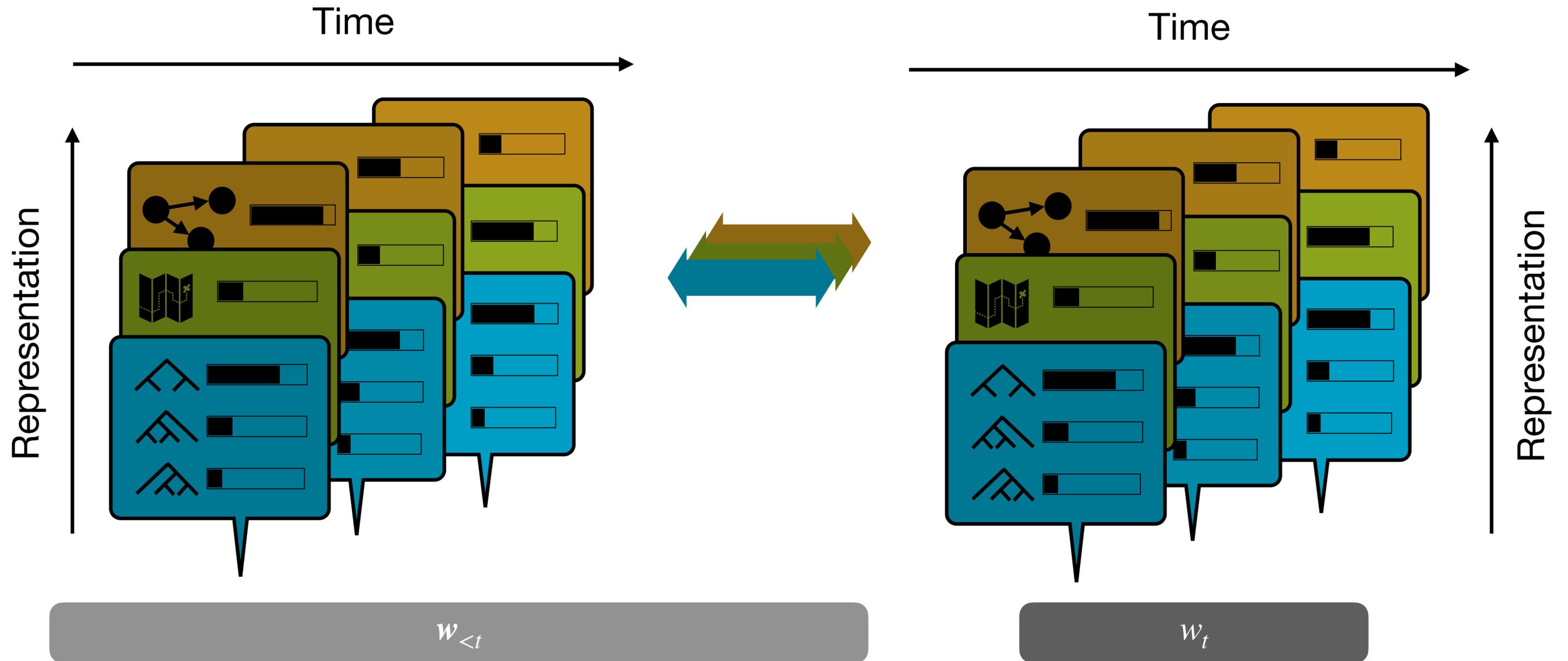
	ELAN	LAN	N400	EPNP	P600	PNP	First-fixation RT	First-pass RT	Right-bounded RT	Self-paced RT
<b>Responsive</b>		Information value	Information value	Surprisal	Surprisal	Probability	Surprisal	Surprisal	Surprisal	Surprisal
<b>Anticipatory</b>	(Sequence) Entropy	Information value	Information value	(Sequence) Entropy	(Sequence) Entropy	Exp. Next-symbol Probability	Exp. Next-symbol Information Value	Exp. Next-symbol Information Value	Exp. Next-symbol Information Value	(Sequence) Entropy

$\mathcal{M} = (p_{LM}, \text{ sampling procedure, } \underline{\text{warping function}}, \underline{\text{scoring function}}, \underline{\text{anticipatory/responsive}})$

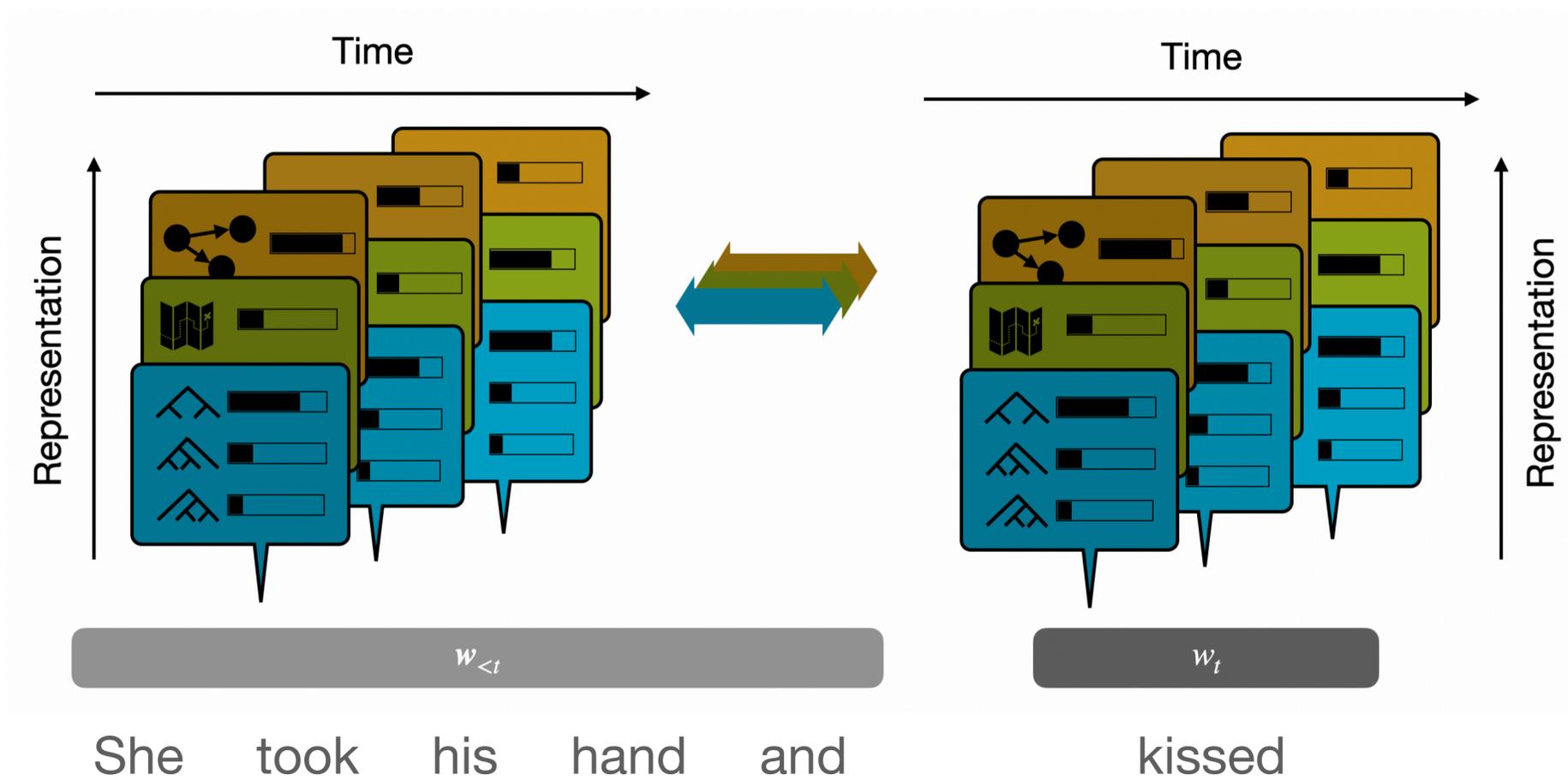
# Incremental alternative sampling



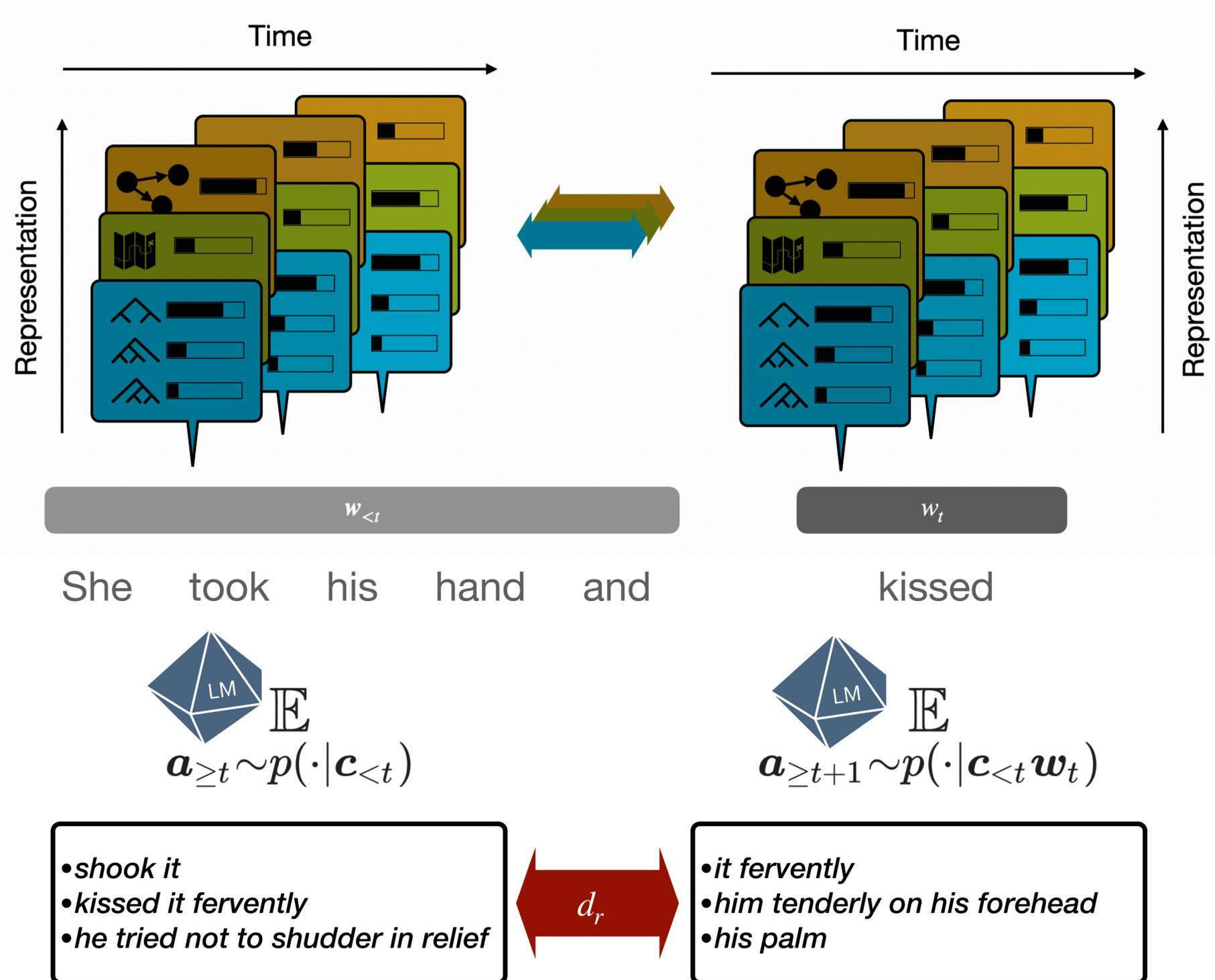
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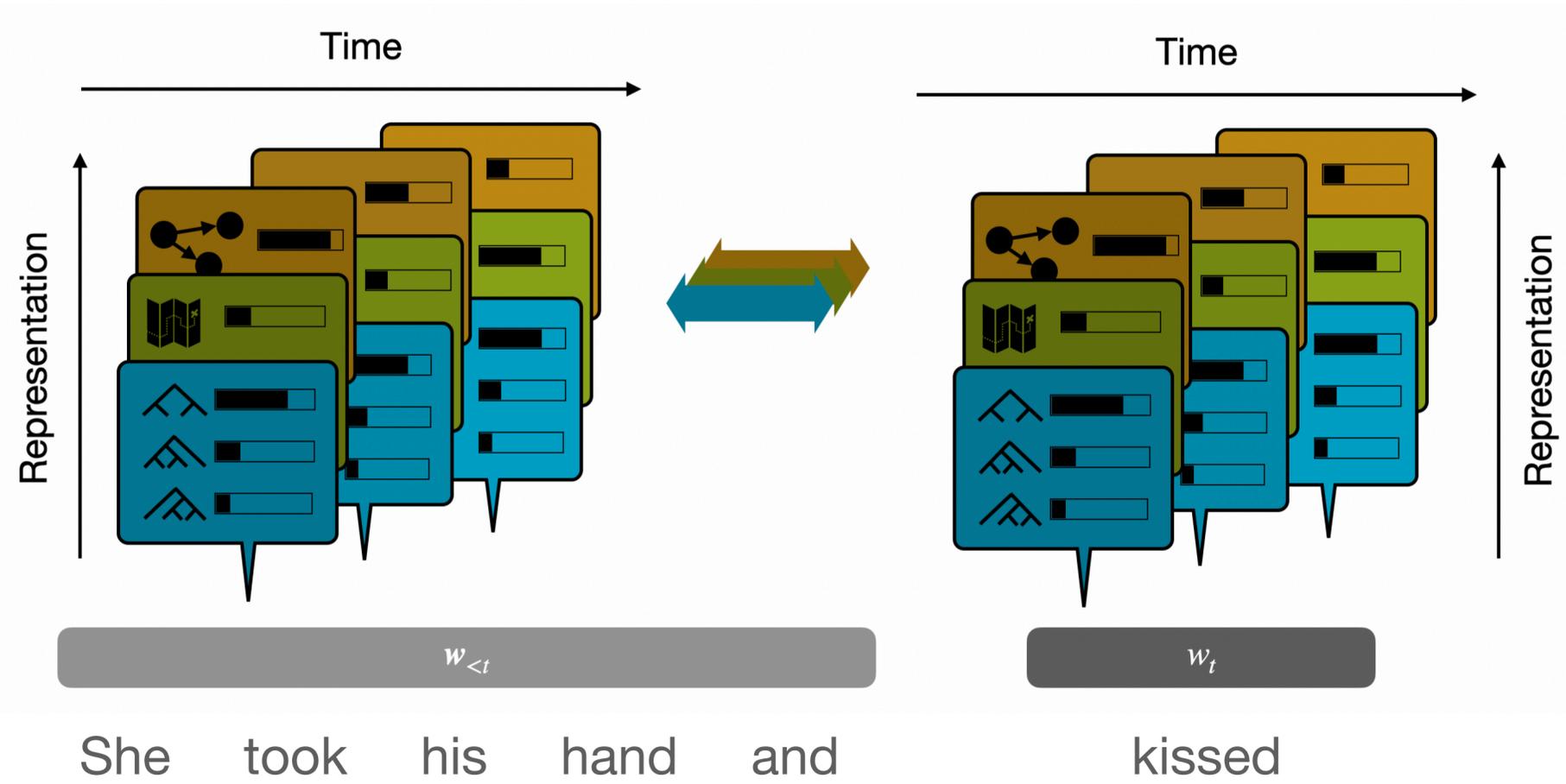
# Incremental alternative sampling



# Incremental alternative sampling



# Incremental alternative sampling



LM  $\mathbb{E}$   
 $\mathbf{a}_{\geq t} \sim p(\cdot | \mathbf{c}_{<t})$

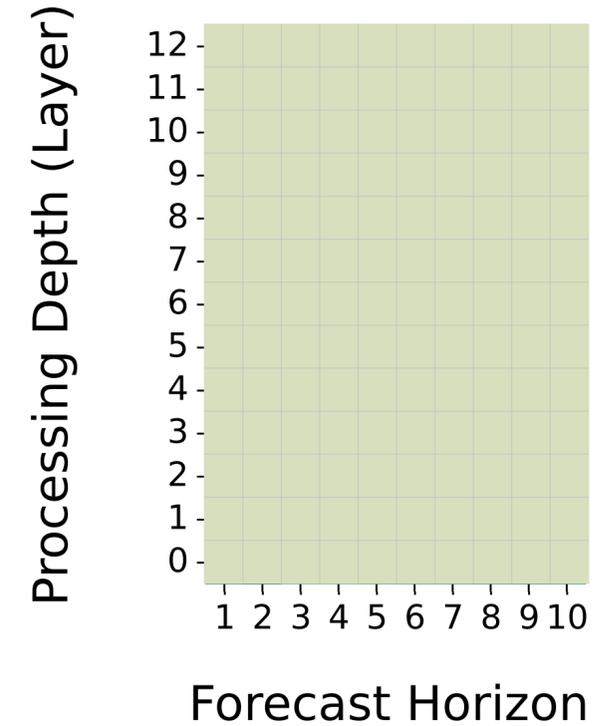
LM  $\mathbb{E}$   
 $\mathbf{a}_{\geq t+1} \sim p(\cdot | \mathbf{c}_{<t} \mathbf{w}_t)$

LM  $d_r (\mathbf{a}_{\geq t}, \mathbf{w}_t \mathbf{a}_{\geq t+1})$

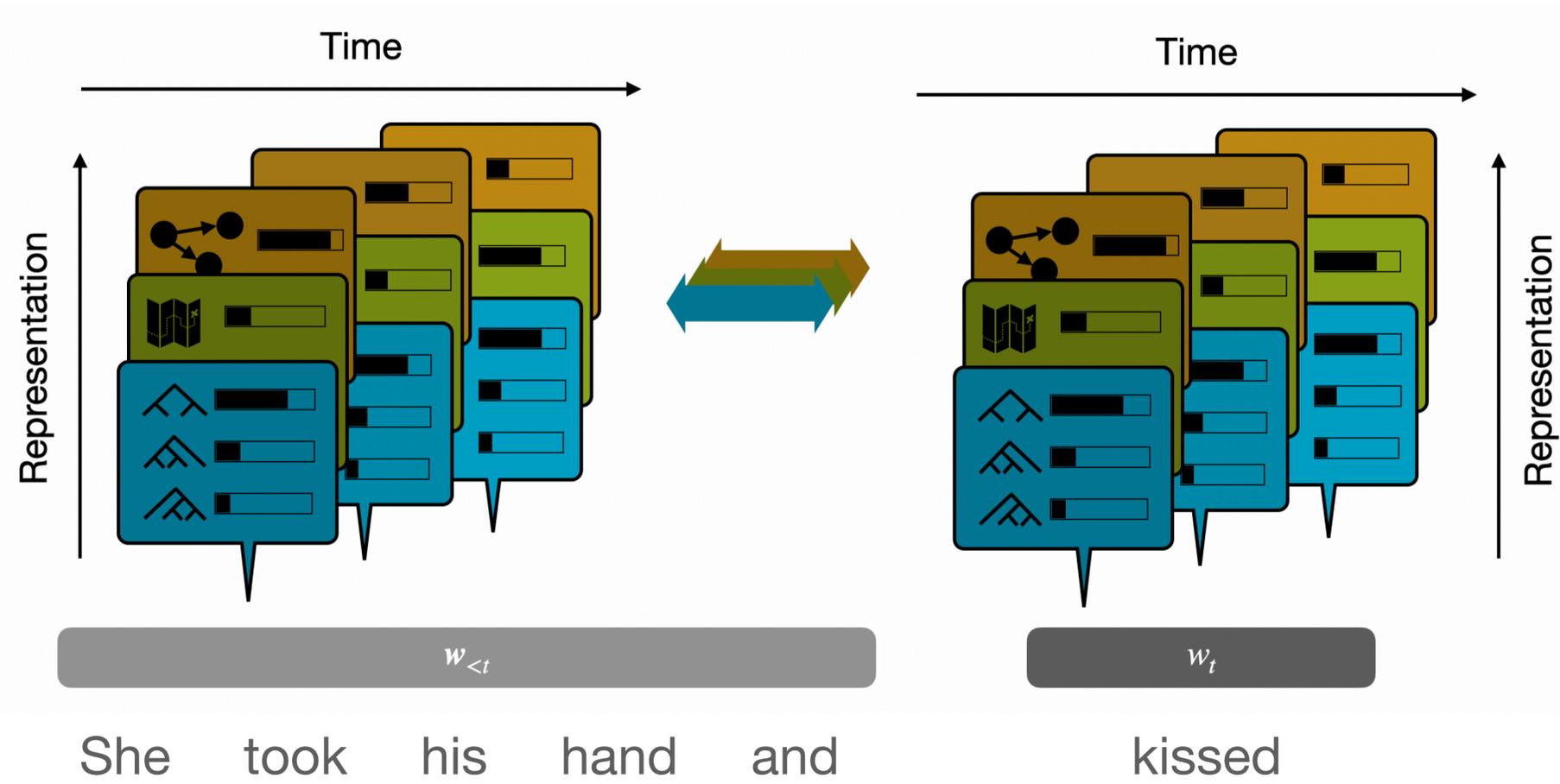
- *shook it*
- *kissed it fervently*
- *he tried not to shudder in relief*



- *it fervently*
- *him tenderly on his forehead*
- *his palm*



# Incremental alternative sampling



LM  $\mathbb{E}$   
 $\mathbf{a}_{\geq t} \sim p(\cdot | \mathbf{c}_{<t})$

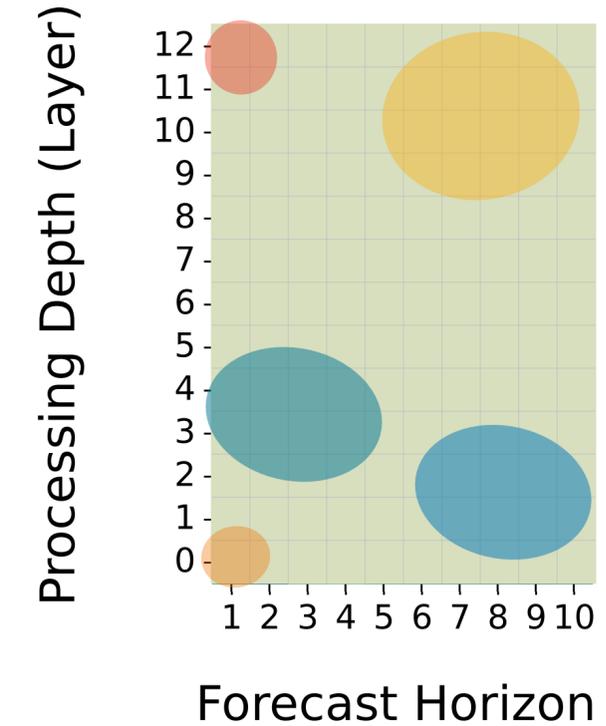
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LM  $d_r (\mathbf{a}_{\geq t}, \mathbf{w}_t \mathbf{a}_{\geq t+1})$

- *shook it*
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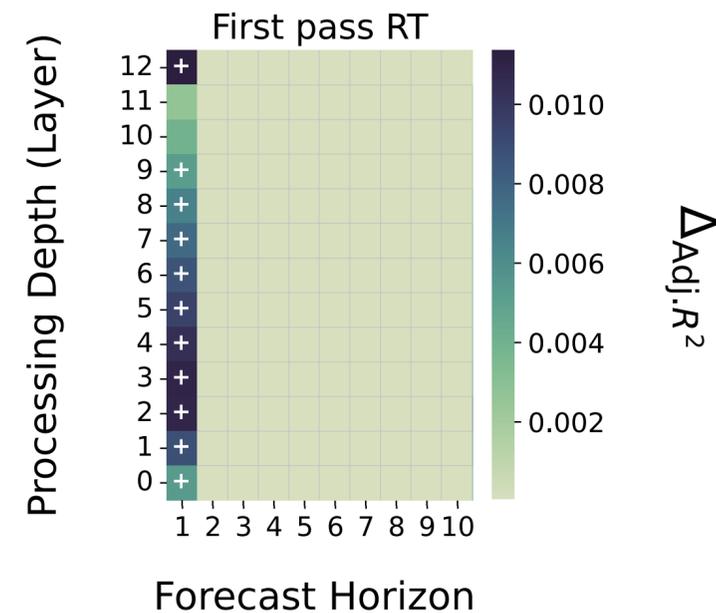


- *it fervently*
- *him tenderly on his forehead*
- *his palm*



# Incremental alternative sampling

Temporal resolution: forecast horizon of 1...10 words  
Representational resolution: LM layers from 0-th to last



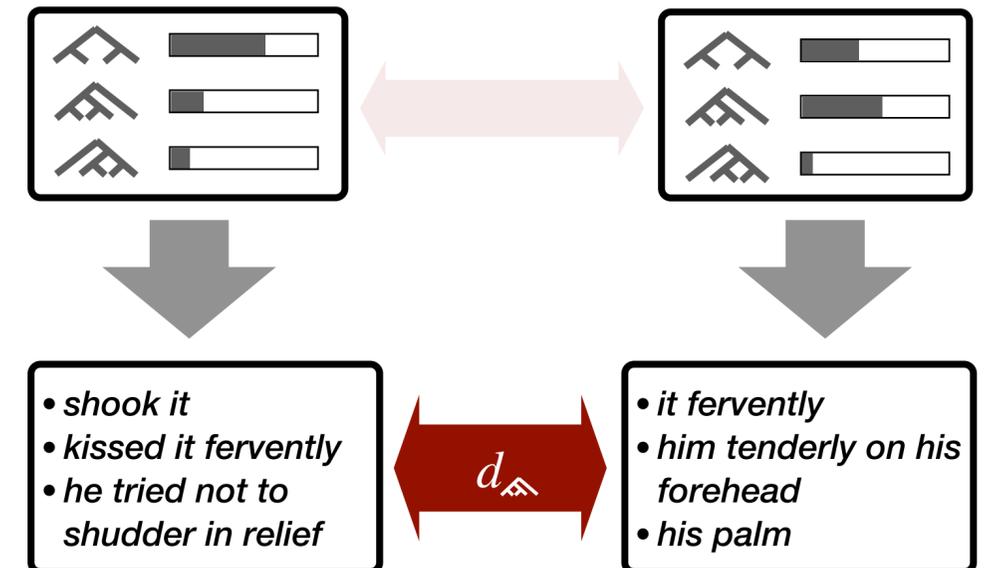
Language model: GPT-2 Small

Stimuli: M = 1726 target-context pairs from English novels (de Varda et al. 2023)

Response: First-pass reading time (gaze duration)

Giulianelli, Wallbridge, Cotterell, Fernández. *Journal of Memory and Language*. 2026.

$$\mathbb{E}_{\mathbf{a}_t \sim p(\cdot | \mathbf{w}_{<t})} \mathbb{E}_{\mathbf{a}_{t+1} \sim p(\cdot | \mathbf{w}_{<t})} d_{\text{KL}}(\mathbf{a}_t, w_t \mathbf{a}_{t+1})$$

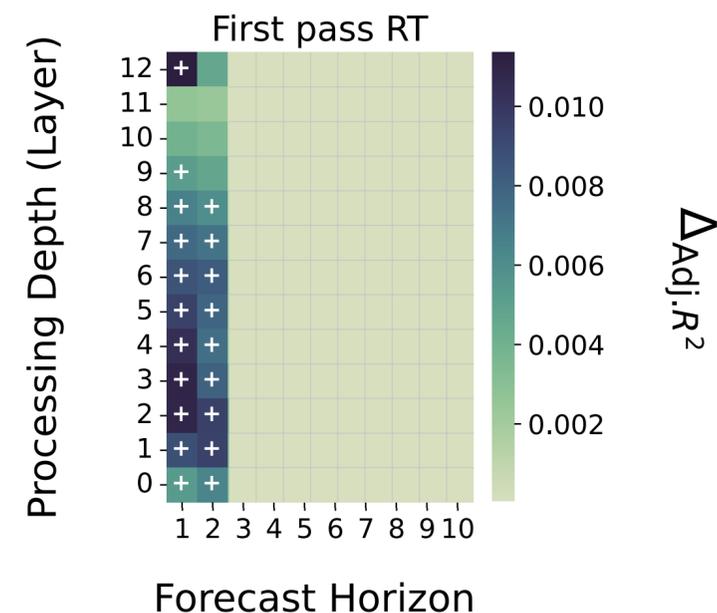


Allows explicitly manipulating expectations'

- **temporal** resolution (*forecast horizon*)
- **representational** resolution (*processing depth*)

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Representational resolution: LM layers from 0-th to last



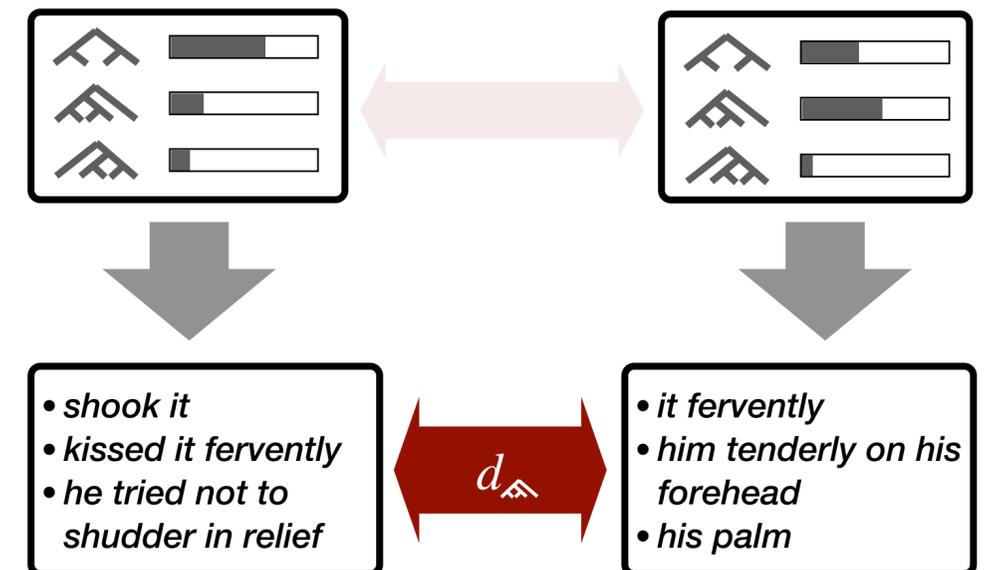
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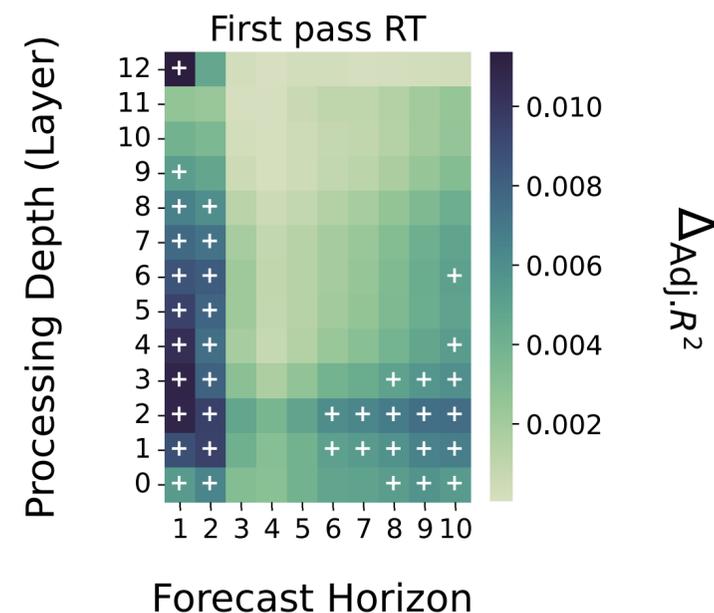


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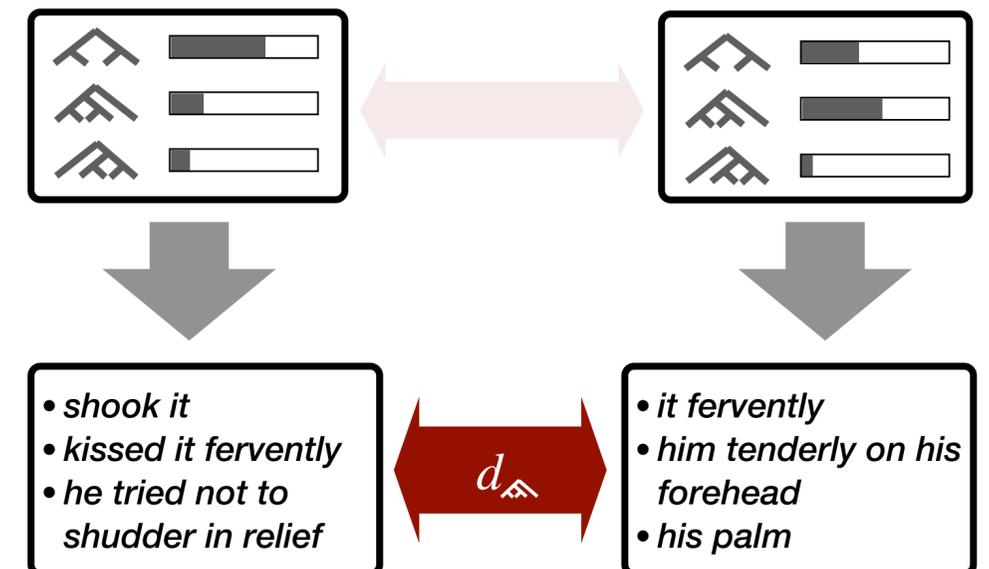
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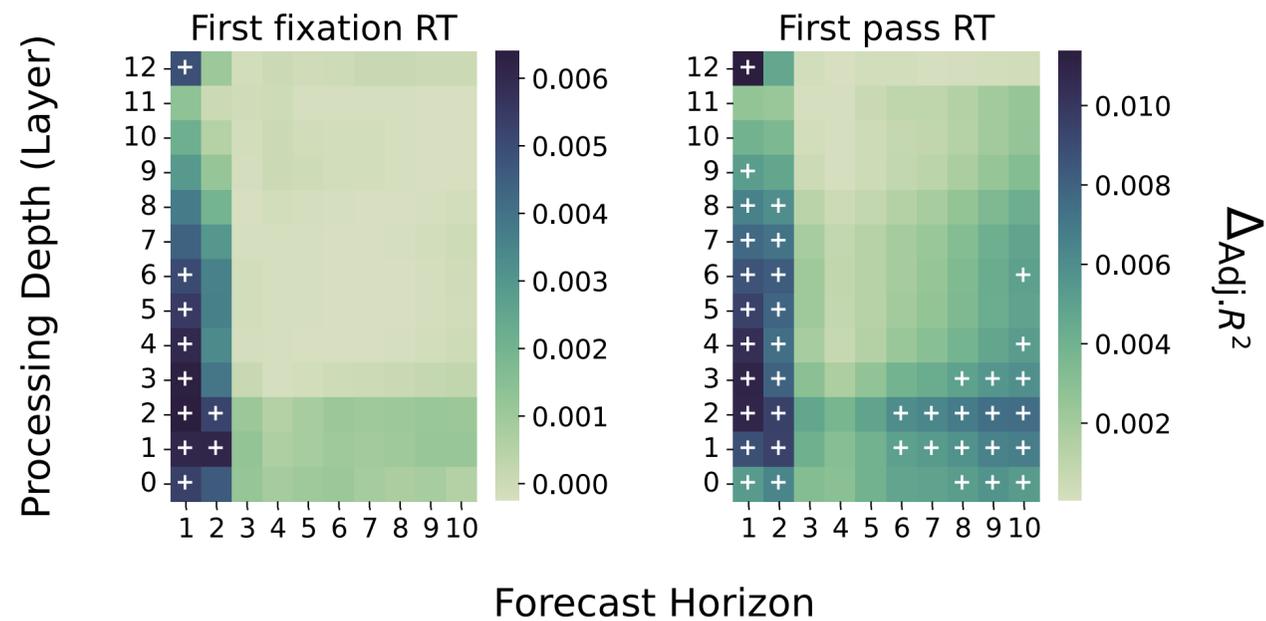


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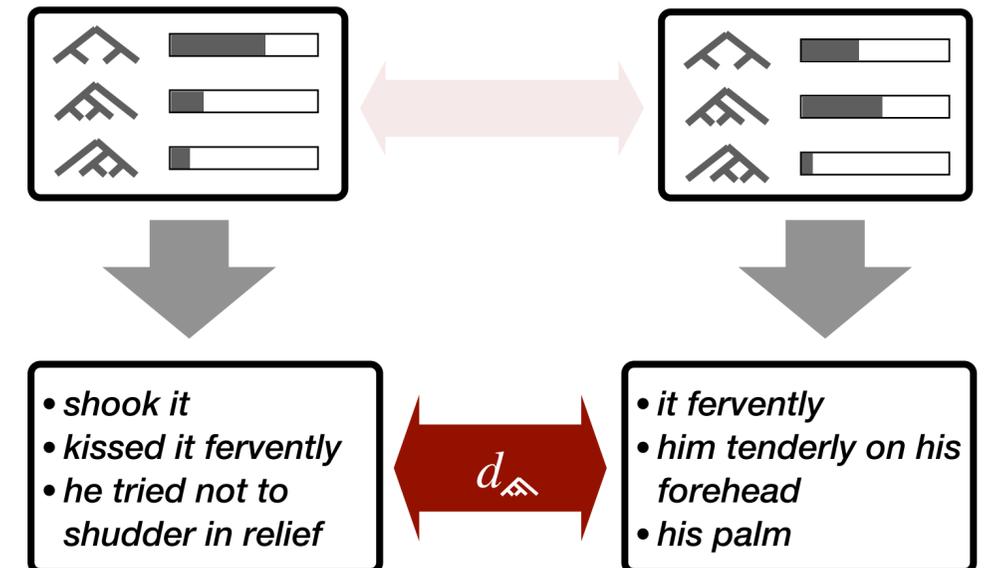
Temporal resolution: forecast horizon of 1...10 words  
Representational resolution: LM layers from 0-th to last



Language model: GPT-2 Small  
Stimuli: M = 1726 target-context pairs from English novels (de Varda et al. 2023)  
Response: First-fixation and first-pass reading time; N400

Giulianelli, Wallbridge, Cotterell, Fernández. *Journal of Memory and Language*. 2026.

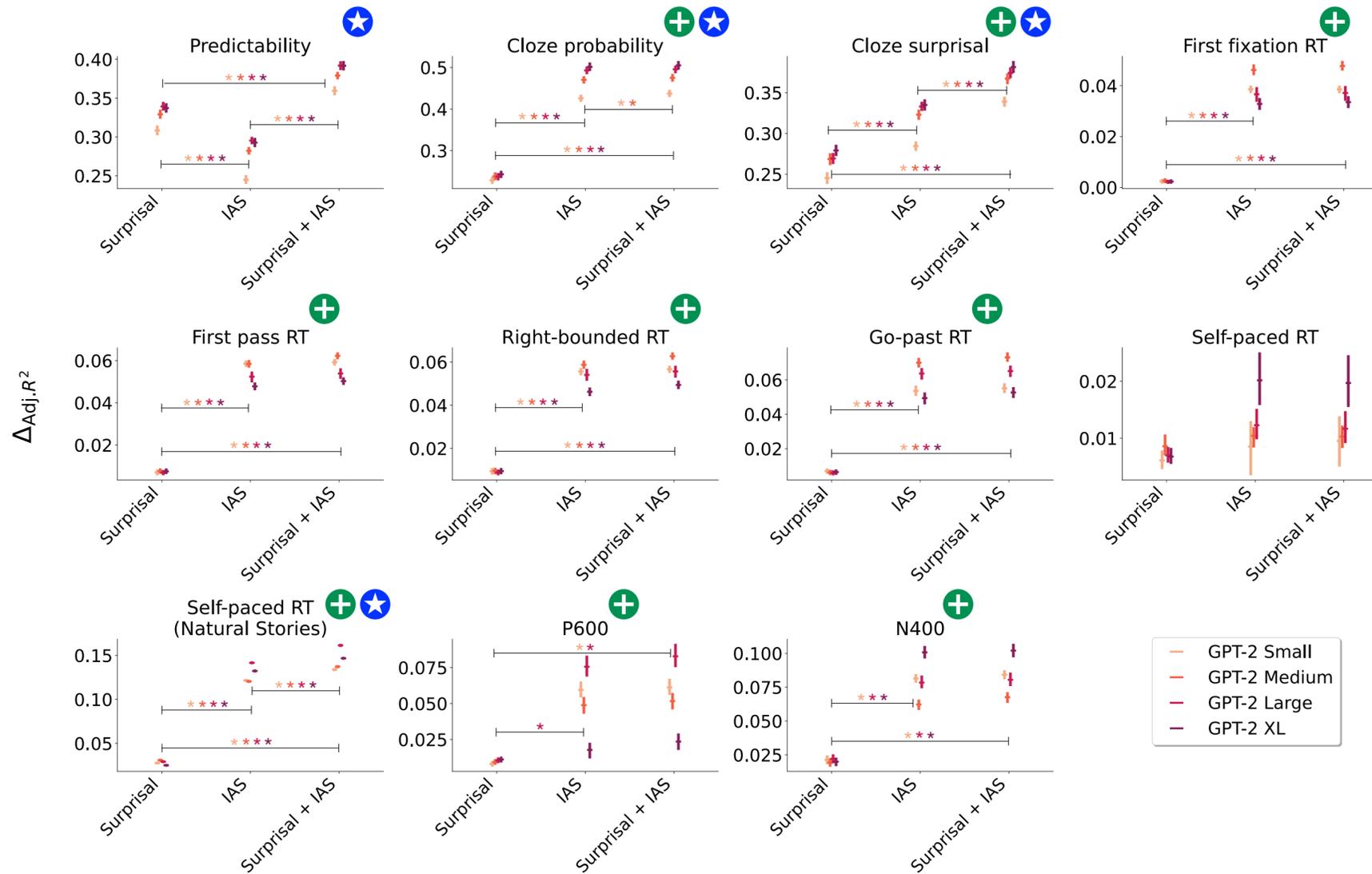
$$\mathbb{E}_{\mathbf{a}_t \sim p(\cdot | \mathbf{w}_{<t})} \mathbb{E}_{\mathbf{a}_{t+1} \sim p(\cdot | \mathbf{w}_{<t})} d_{\text{Adj}}(\mathbf{a}_t, \mathbf{w}_t \mathbf{a}_{t+1})$$



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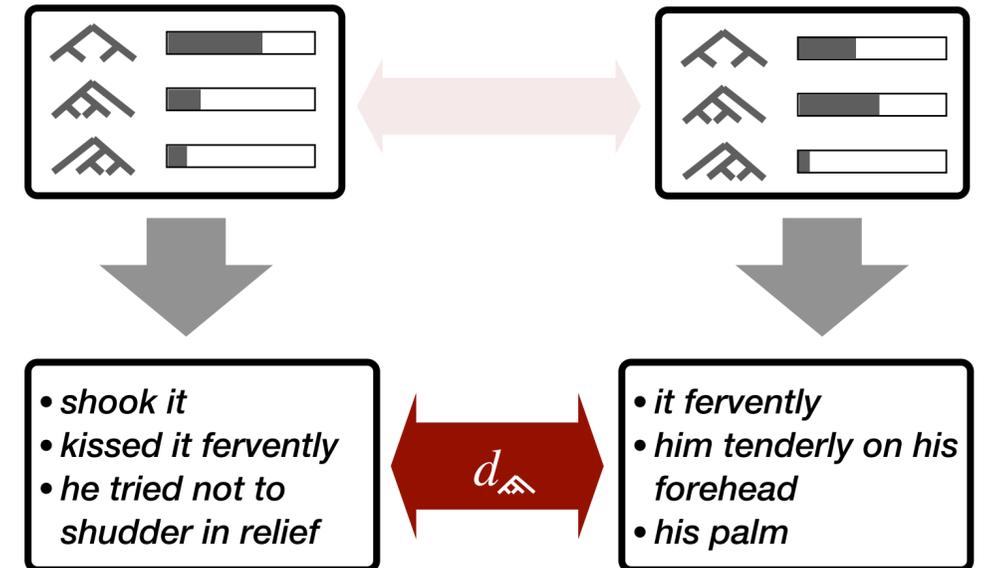
Language model: GPT-2 Small, Medium, Large, XL

Stimuli: M = 1726 target–context pairs from English novels (de Varda et al. 2023)

Response: predictability ratings, cloze (log) probability, ERPs, reading times

Giulianelli, Wallbridge, Cotterell, Fernández. *Journal of Memory and Language*. 2026.

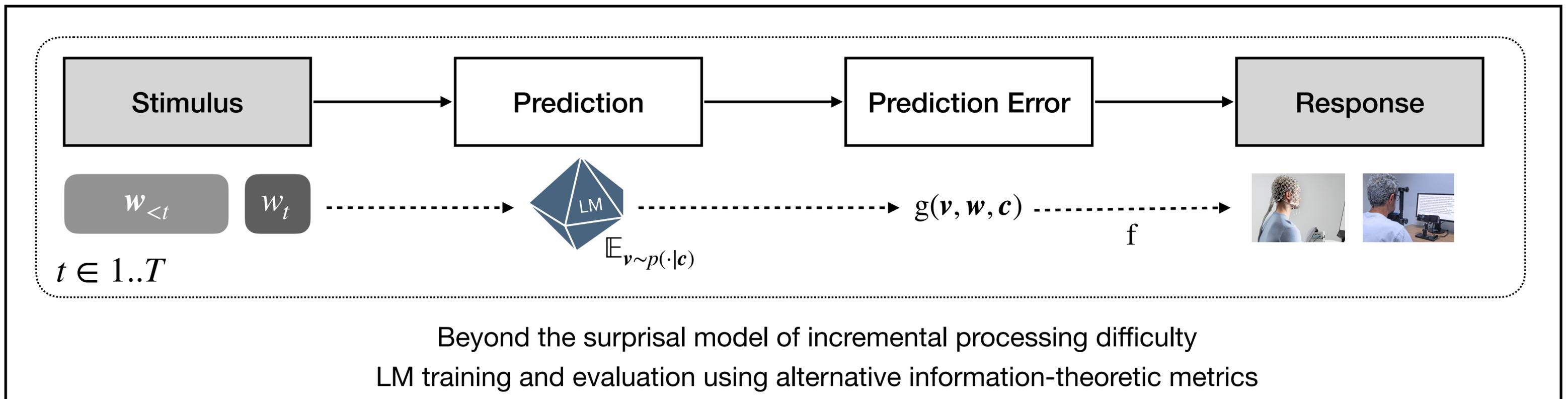
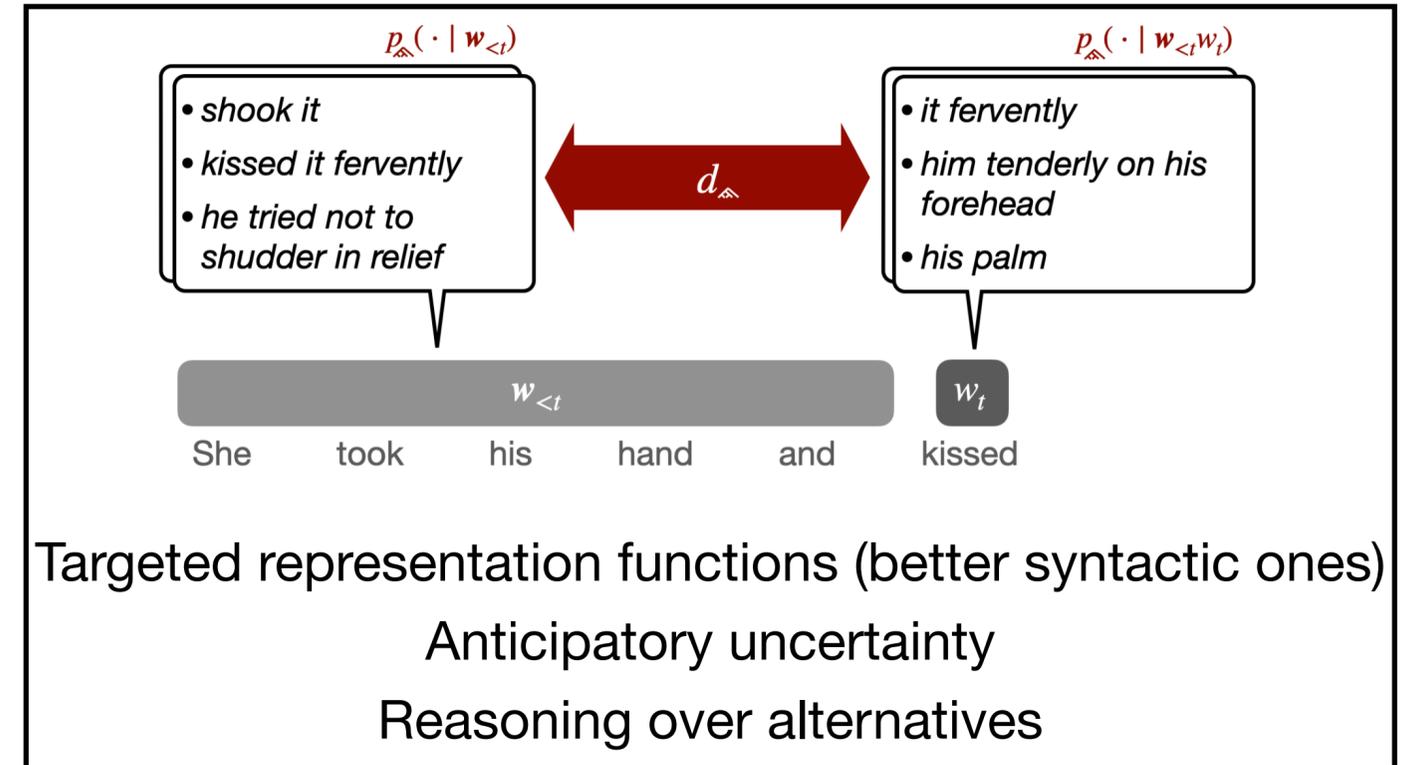
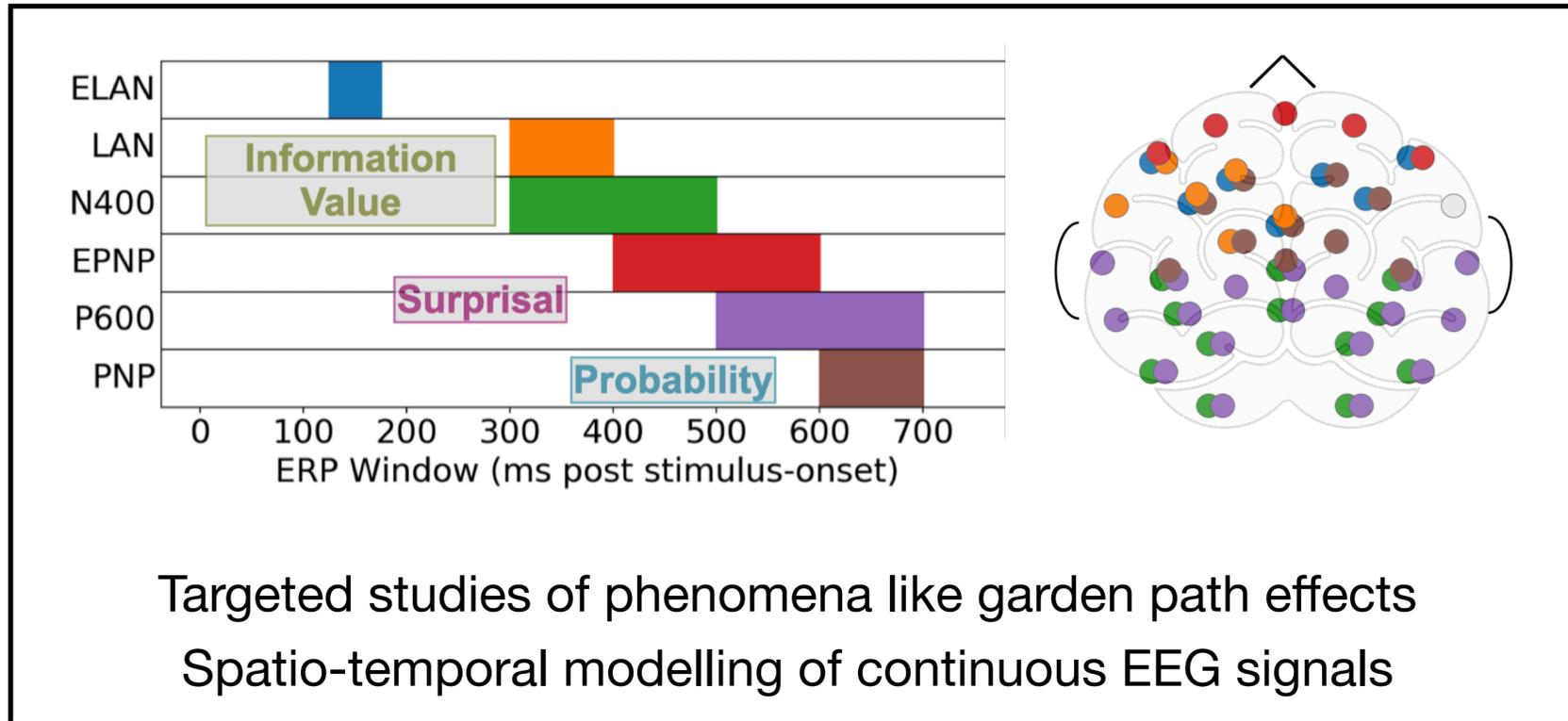
$$\mathbb{E}_{a_t \sim p(\cdot | w_{<t})} \mathbb{E}_{a_{t+1} \sim p(\cdot | w_{<t})} d_{\text{KL}}(a_t, w_t a_{t+1})$$



Allows explicitly manipulating expectations'

- **temporal** resolution (*forecast horizon*)
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# What's next?



# Destructuring Surprisal Theory

## Theoretical issues

- ◆ conflates levels of linguistic processing  
Giulianelli, Wallbridge, Fernández. EMNLP 2023;  
Meister, Giulianelli, Pimentel. EMNLP 2024.
- ◆ conflates expectations at varying temporal resolutions  
Giulianelli, Wallbridge, Cotterell, Fernández. JML 2026.
- ◆ classical derivation requires strong assumptions  
Giulianelli, Baan, Aziz, Fernández, Plank. EMNLP 2023.  
Giulianelli, Wallbridge, Cotterell, Fernández. JML 2026.
- ◆ considers only responsive uncertainty  
Giulianelli, Opedal, Cotterell. Findings of EMNLP 2024.
- ◆ is a special case of a more general information-theoretic model  
Giulianelli, Opedal, Cotterell. Findings of EMNLP 2024.

## Issues of the methodological paradigm

- ◆ word- or character-level stimuli vs. token-level LMs  
Giulianelli, Malagutti, Gastaldi, DuSell, Vieira, Cotterell. EMNLP 2024.  
Vieira, LeBrun, Giulianelli, Gastaldi, DuSell, Terilla, O'Donnell, Cotterell. ICML 2025.
- ◆ word-level aggregations of continuous data  
Re, Opedal, Manaiev, Giulianelli, Cotterell. EMNLP 2025.



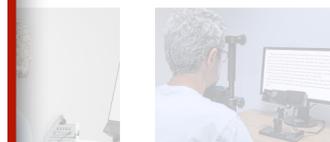
BBC wants to

Stimulus

$w_{<t}$

$t \in 1..T$

Response



# Token-level LMs for character-level problems

Anne\_ lost\_ control\_ and\_ laughed.

Stimulus

**Skip Rate** (control\_ | Anne\_ lost\_)

Measurement

$-\log p(\text{con} | \text{Anne\_lost\_})$

Predictor

Token-level LM



*realphabetization*



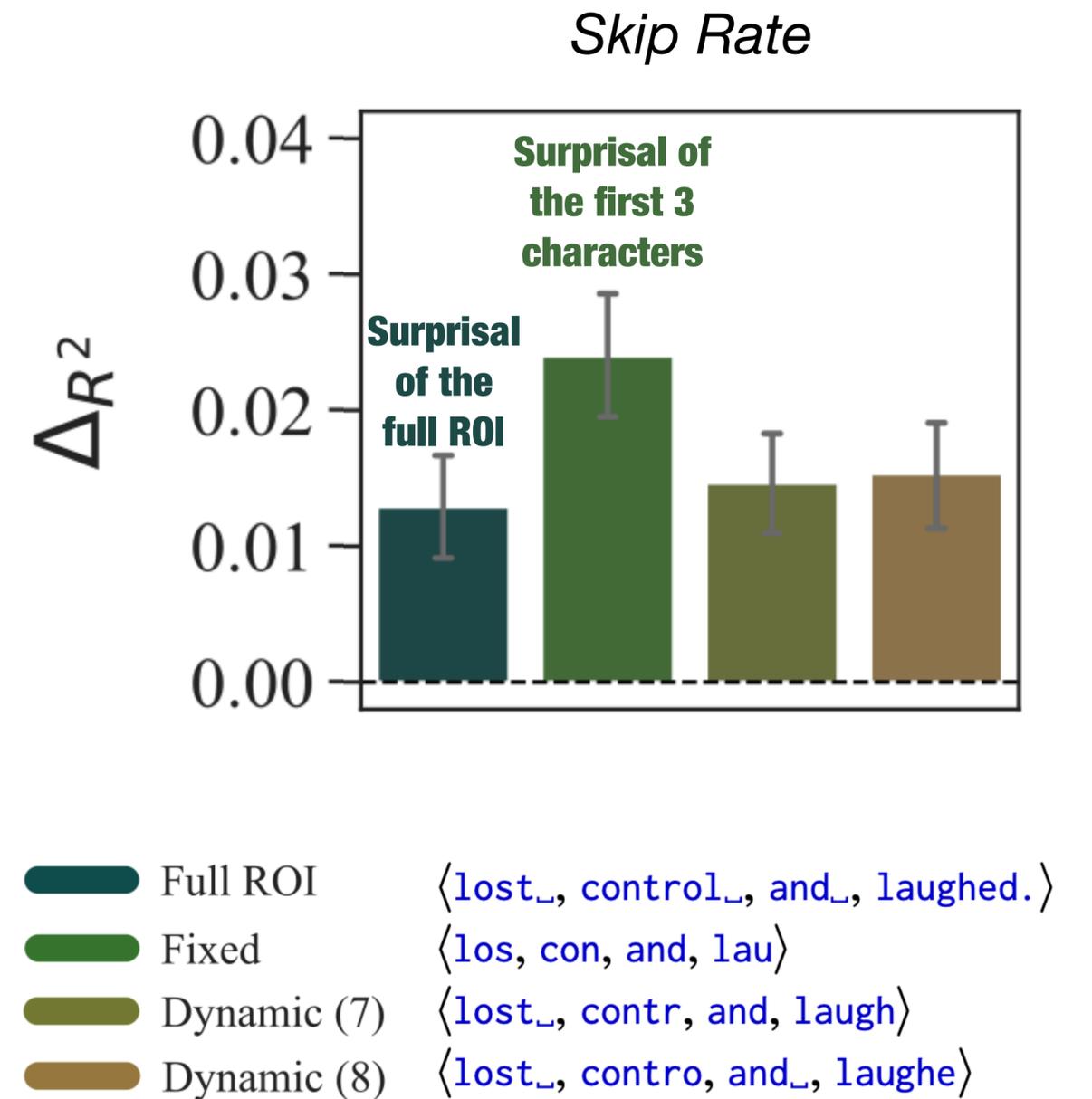
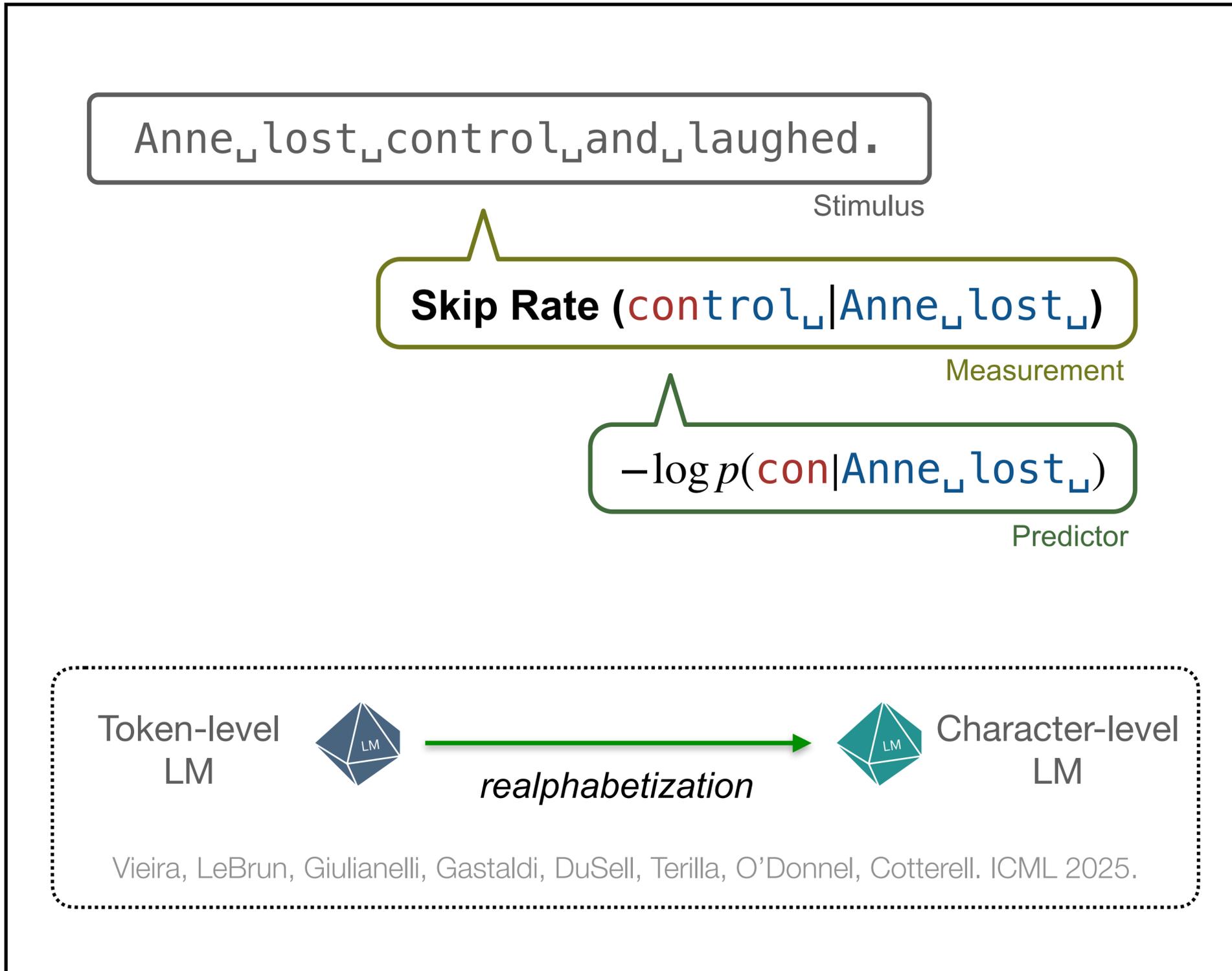
Character-level LM

Vieira, LeBrun, Giulianelli, Gastaldi, DuSell, Terilla, O'Donnel, Cotterell. ICML 2025.

-  Full ROI ⟨lost\_, control\_, and\_, laughed.⟩
-  Fixed ⟨los, con, and, lau⟩
-  Dynamic (7) ⟨lost\_, contr, and, laugh⟩
-  Dynamic (8) ⟨lost\_, contro, and\_, laughe⟩

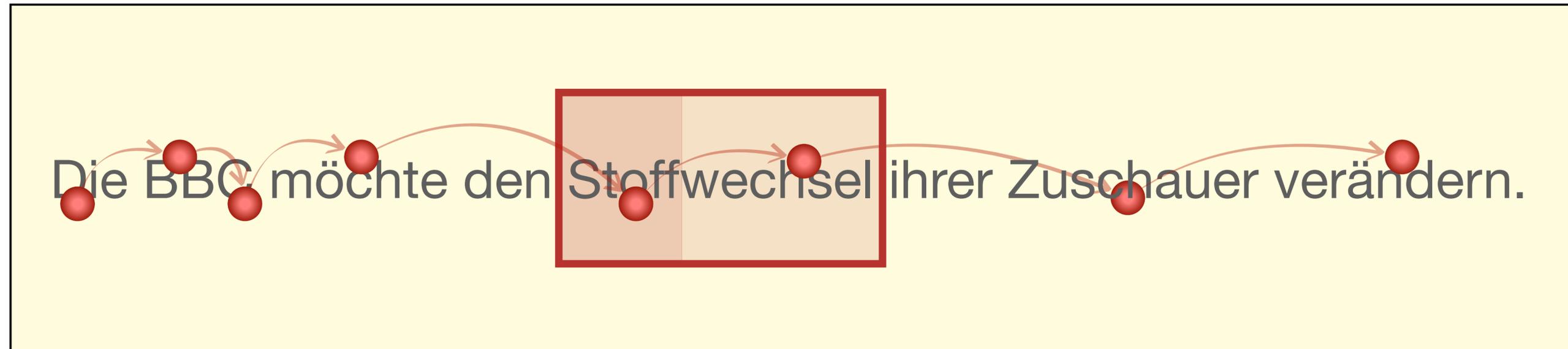
Giulianelli, Malagutti, Gastaldi, DuSell,  
Vieira, Cotterell. EMNLP 2024.

# Token-level LMs for character-level problems



Giulianelli, Malagutti, Gastaldi, DuSell, Vieira, Cotterell. EMNLP 2024.

# Lossy treatment of spatio-temporal data

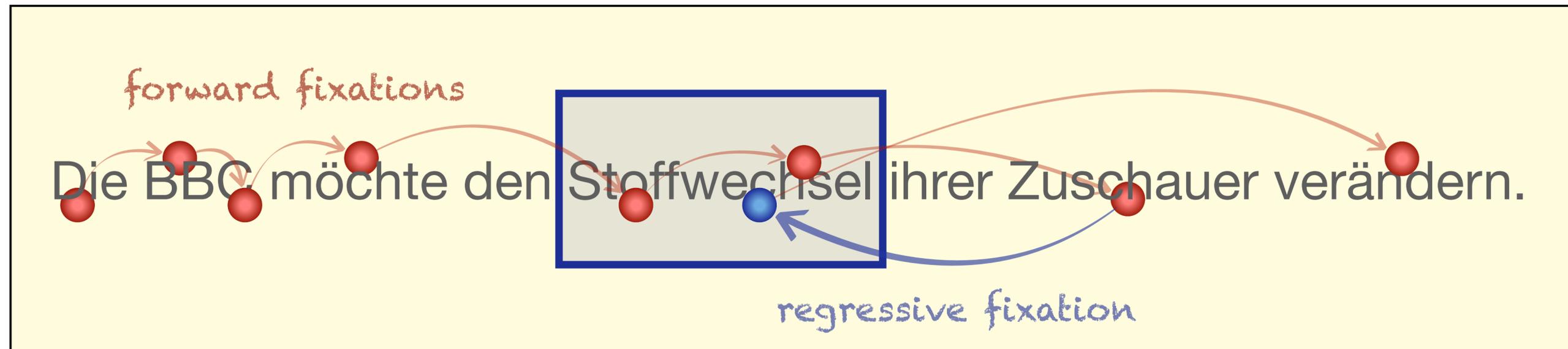


**Words** assumed as the fundamental unit of incremental processing

➔ Language models defined over arbitrary vocabulary of **“tokens”**

➔ Ignores **other plausible regions of interest** (e.g., *characters or morphemes*)

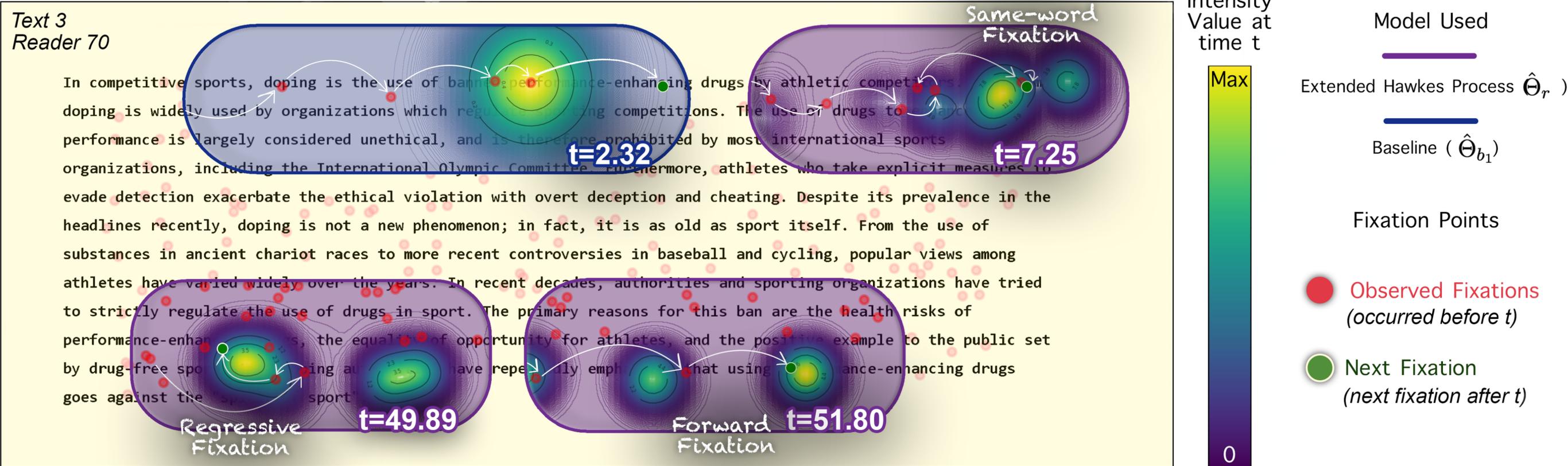
# Lossy treatment of spatio-temporal data



Multiple **raw data points** (e.g., *individual fixations*) **aggregated** into a single measurement (e.g., *total fixation time*)

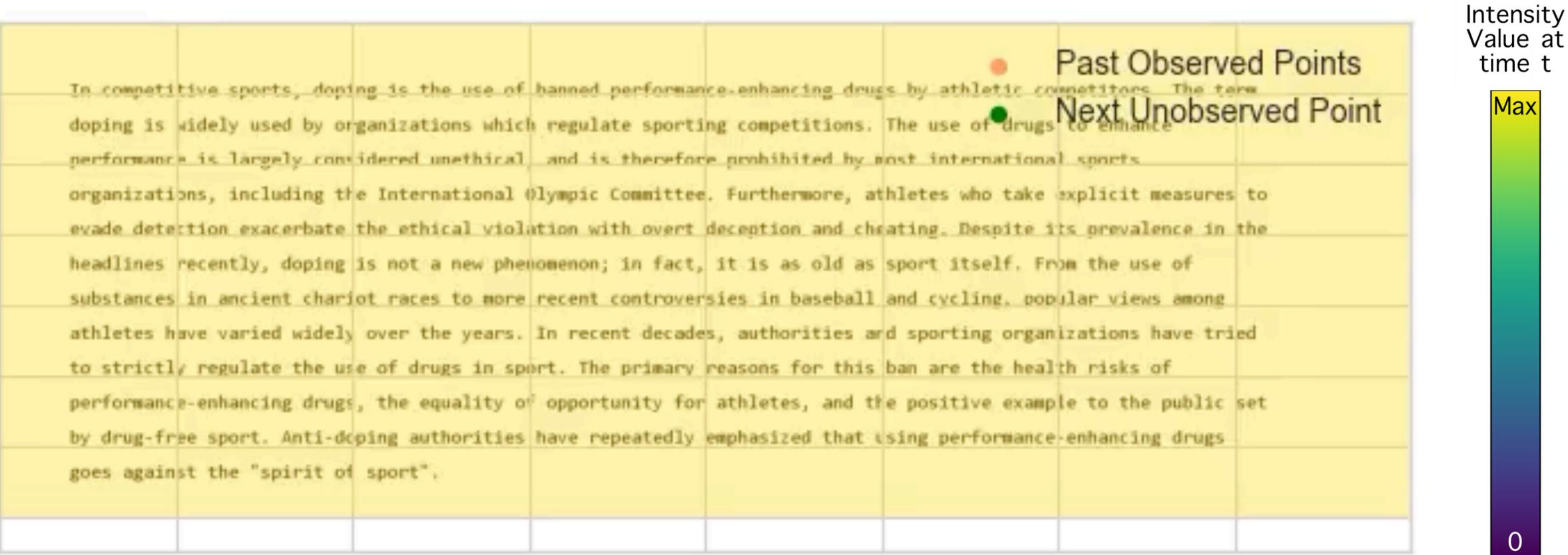
➔ Obscures **distinct underlying cognitive processes**

# Fine-grained spatio-temporal modeling of reading behaviour



Predicted Gaze Intensity map of a **spatio-temporal Hawkes process** with type-writer effect, previous fixation surprisal, and reader-specific coefficients.

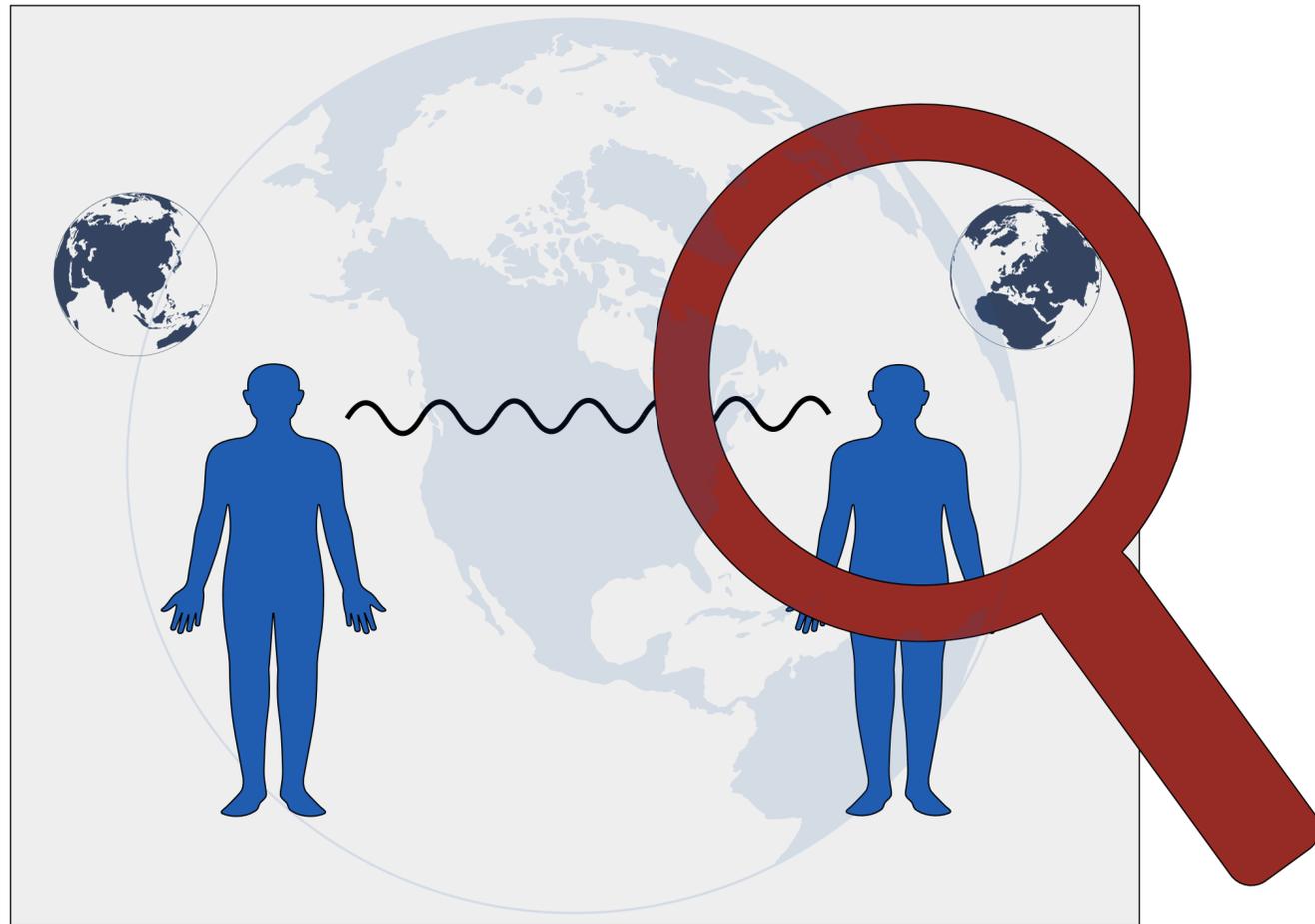
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Re, Opedal, Manaiev, Giulianelli, Cotterell. *ACL 2025*.

## Language comprehension

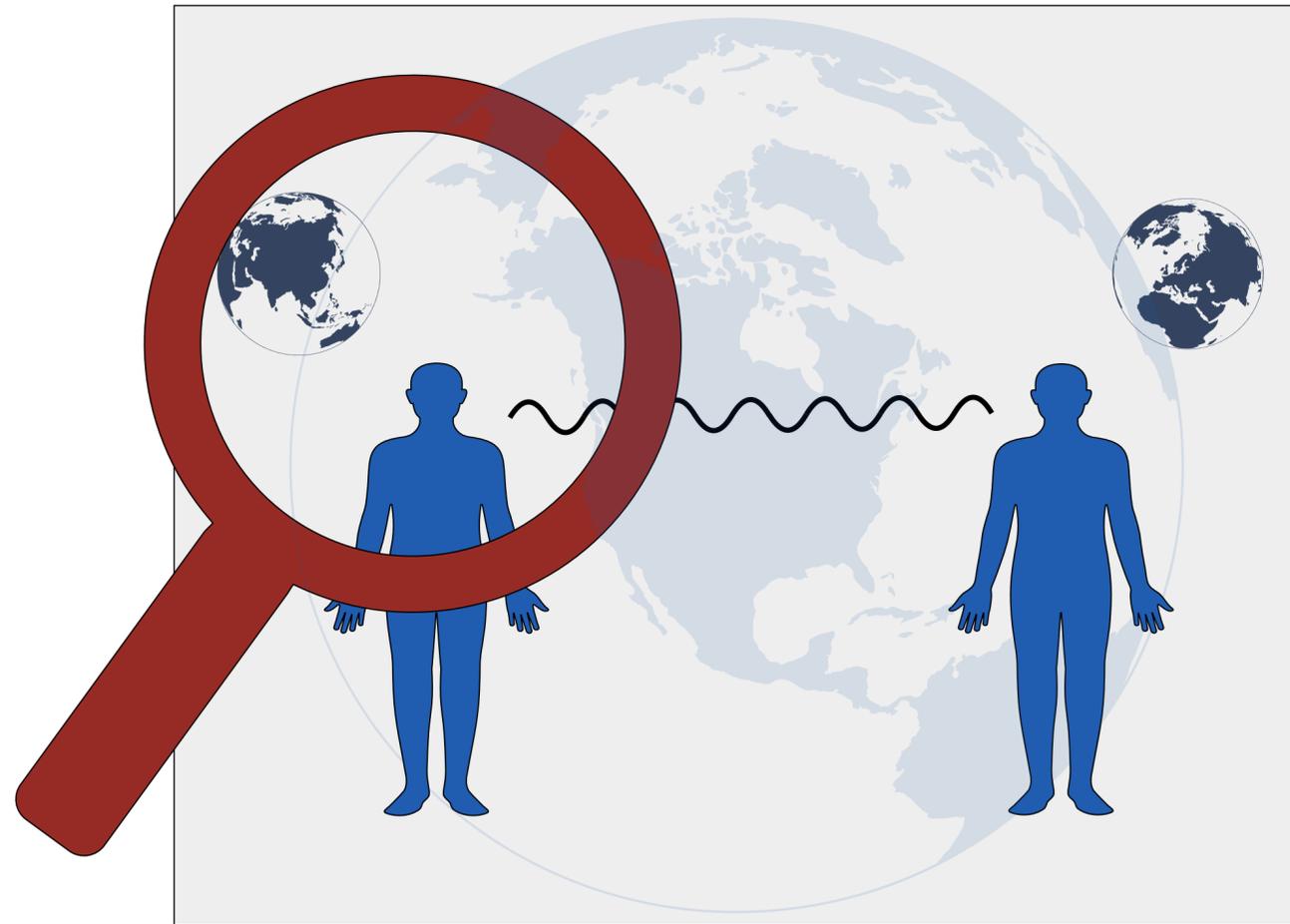


What is the role of prediction in language processing?

At which representational and temporal resolution does prediction take place?

Can behavioural and neural responses to language input be explained in terms of the input's information profile?

## Language production

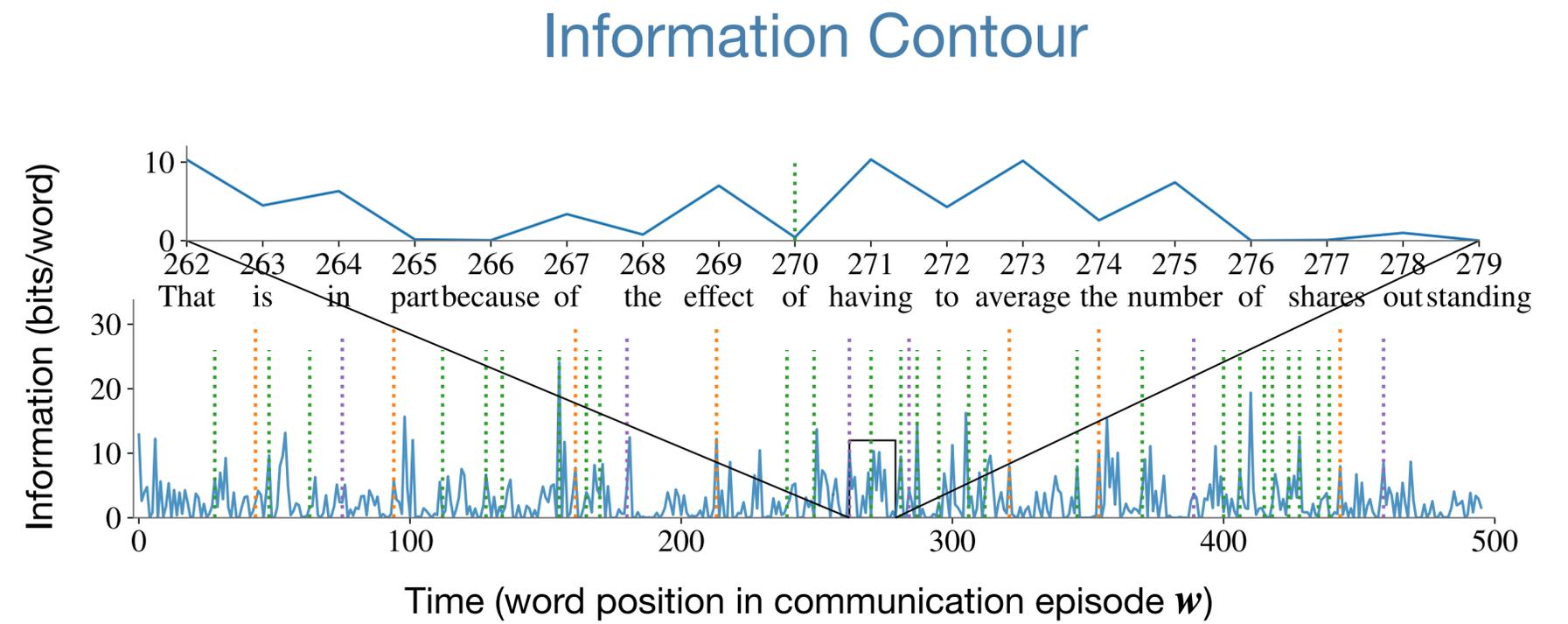
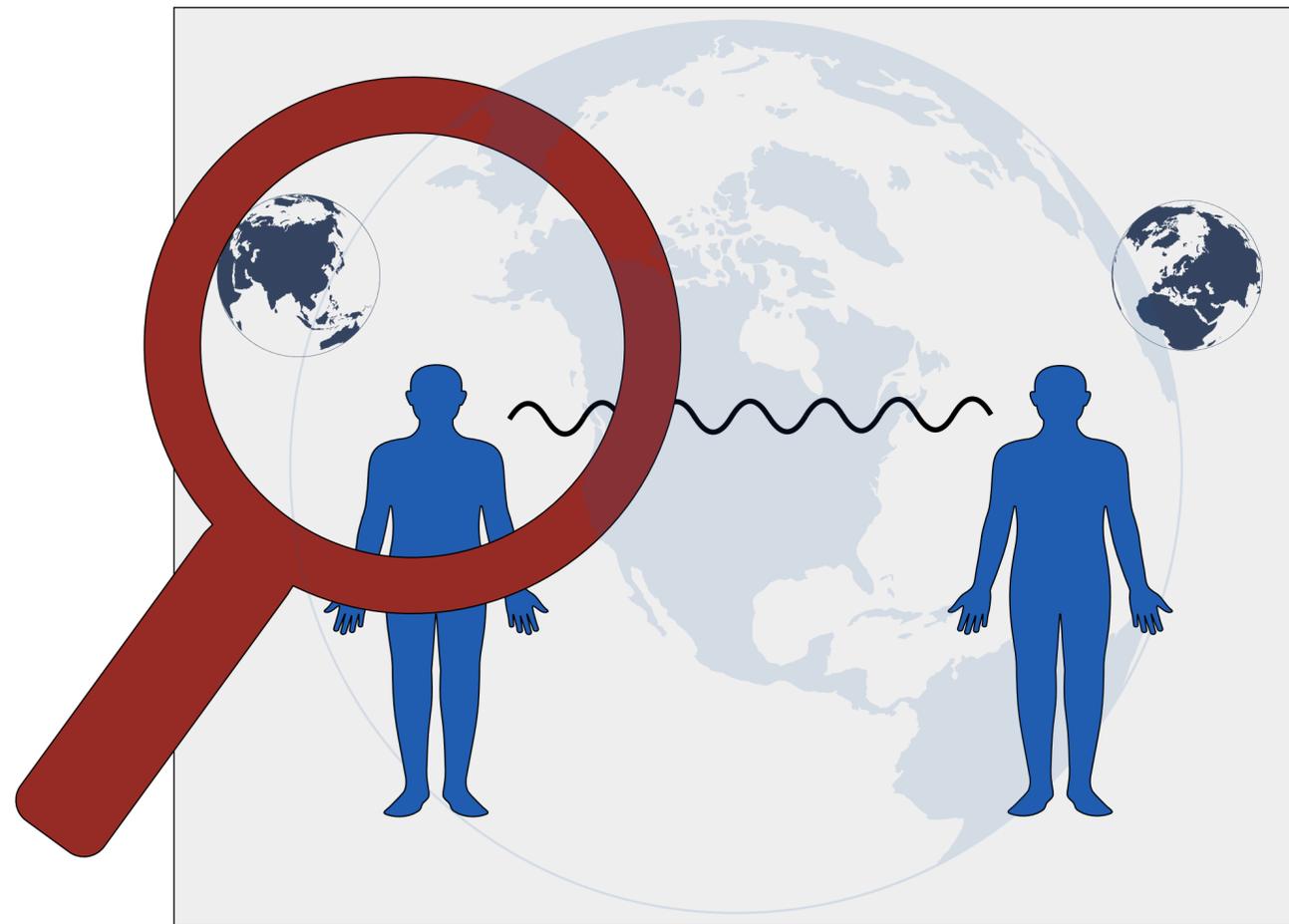


What is the rate at which producers transmit information?

Do producers make rational use of the communication channel?

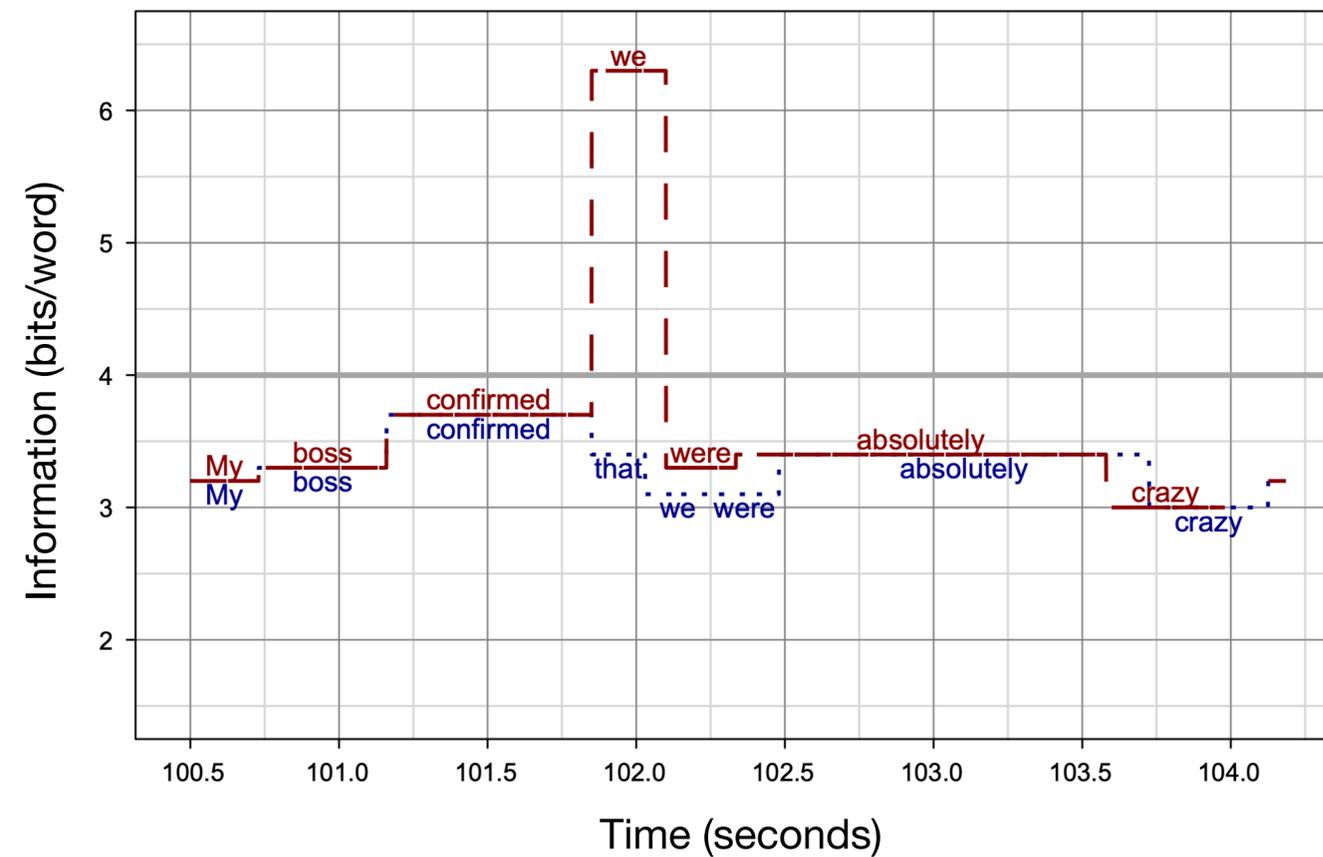
How does context (linguistic and non-linguistic) modulate information rate?

# Information contours in texts and dialogues



$$-\log p(w_t | w_{<t})$$

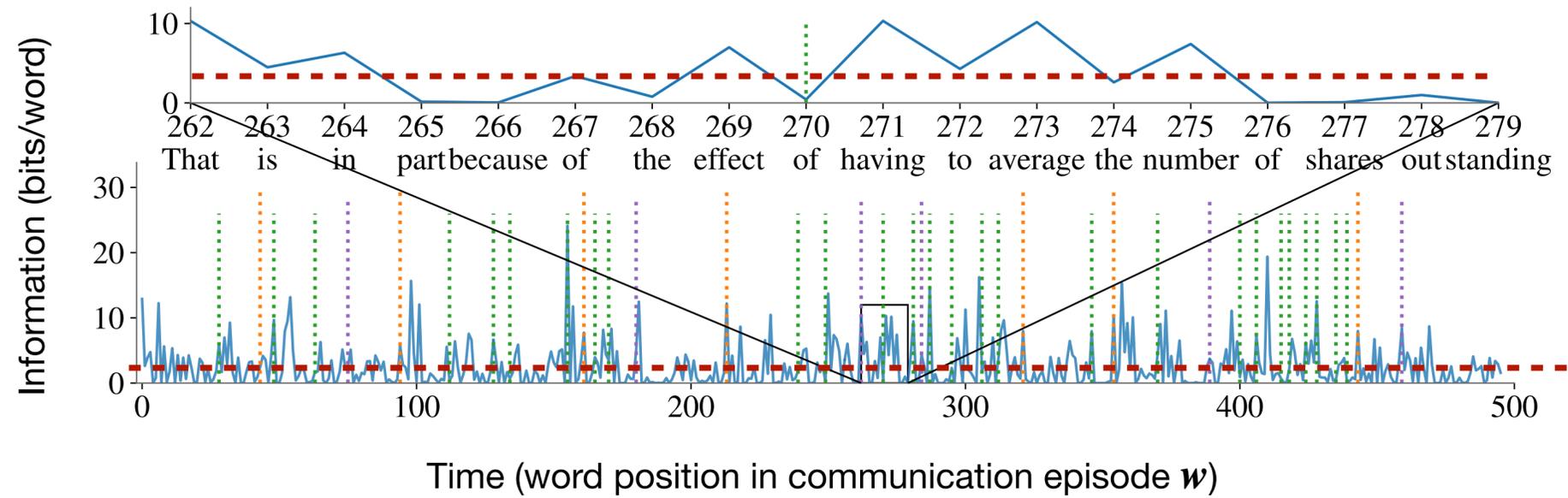
# Information contours in texts and dialogues



## Hypothesis 1: Uniform Information Density

Subject to the constraints of the grammar, speakers optimise their linguistic signals such that the surprisals  $l_w$  are distributed as uniformly as possible throughout a communication episode  $w$ .

# Information contours in texts and dialogues

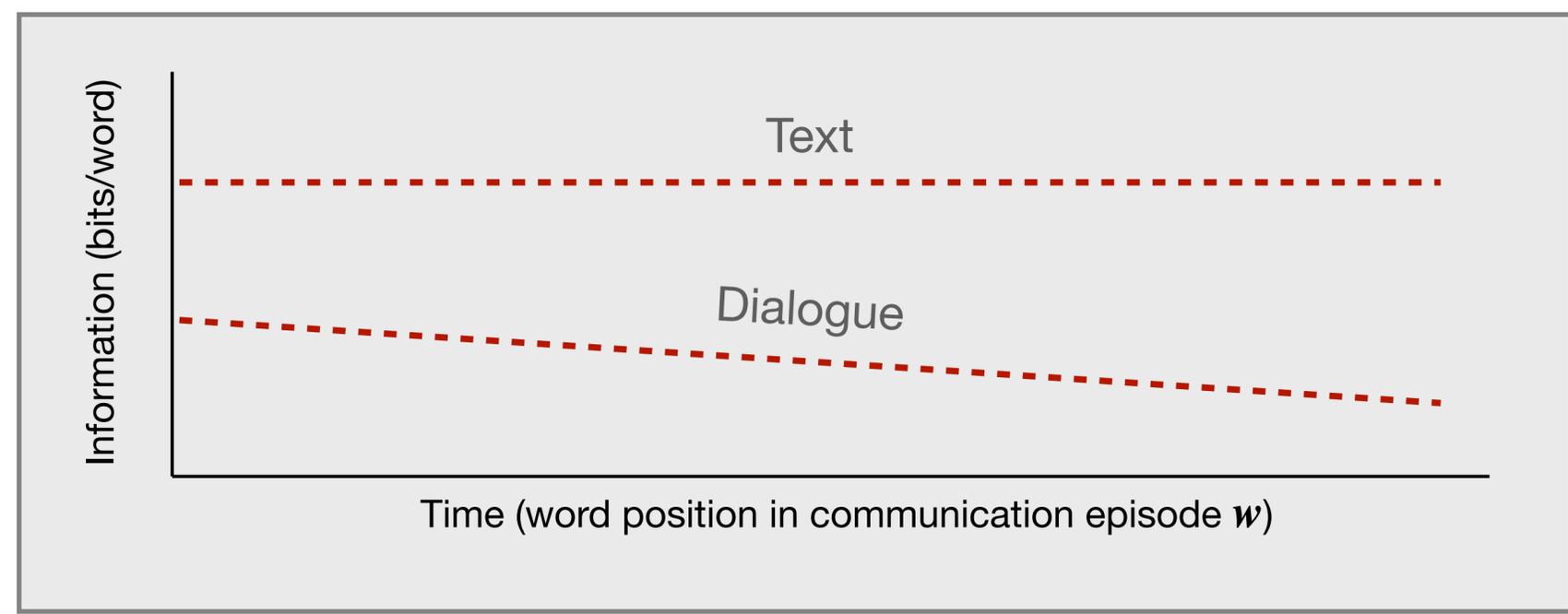


## Hypothesis 1: Uniform Information Density

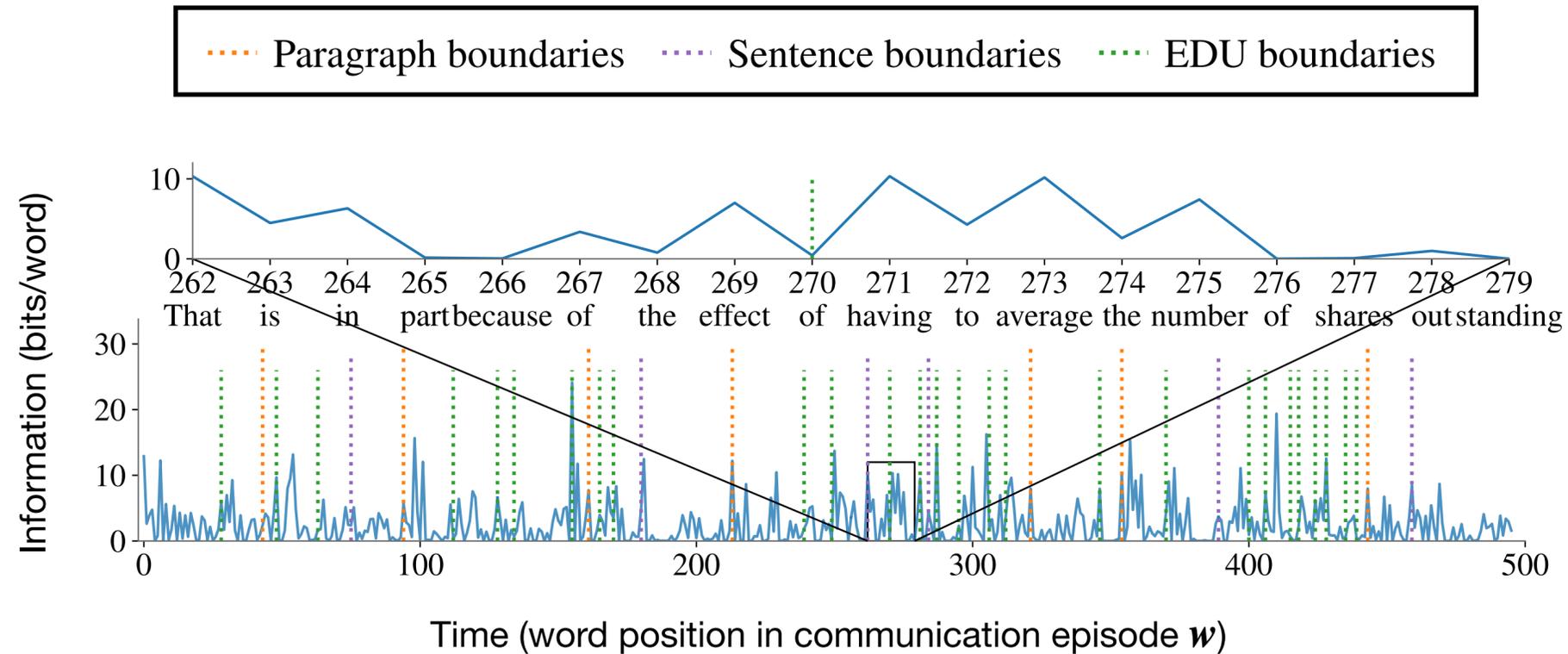
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- no evidence of local uniformity, pressure toward a global mean
- information rate decreases in dialogues

Giulianelli & Fernández. CoNLL 2021.  
Giulianelli, Sinclair, Fernández. ACL 2021.



# Information contours in texts and dialogues



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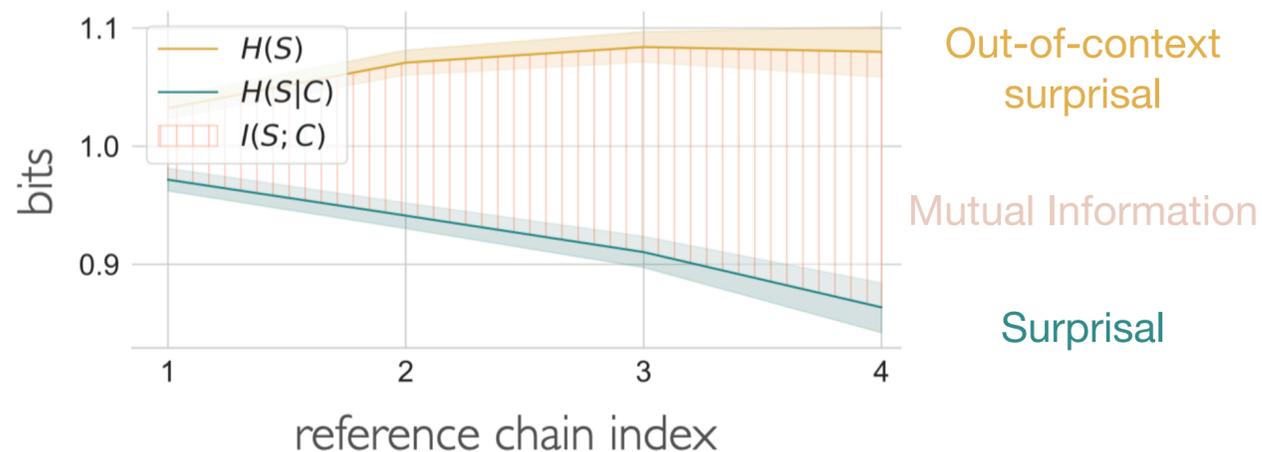
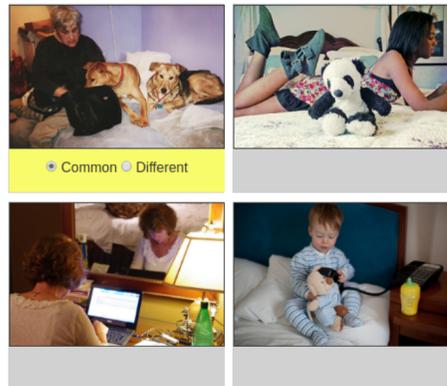
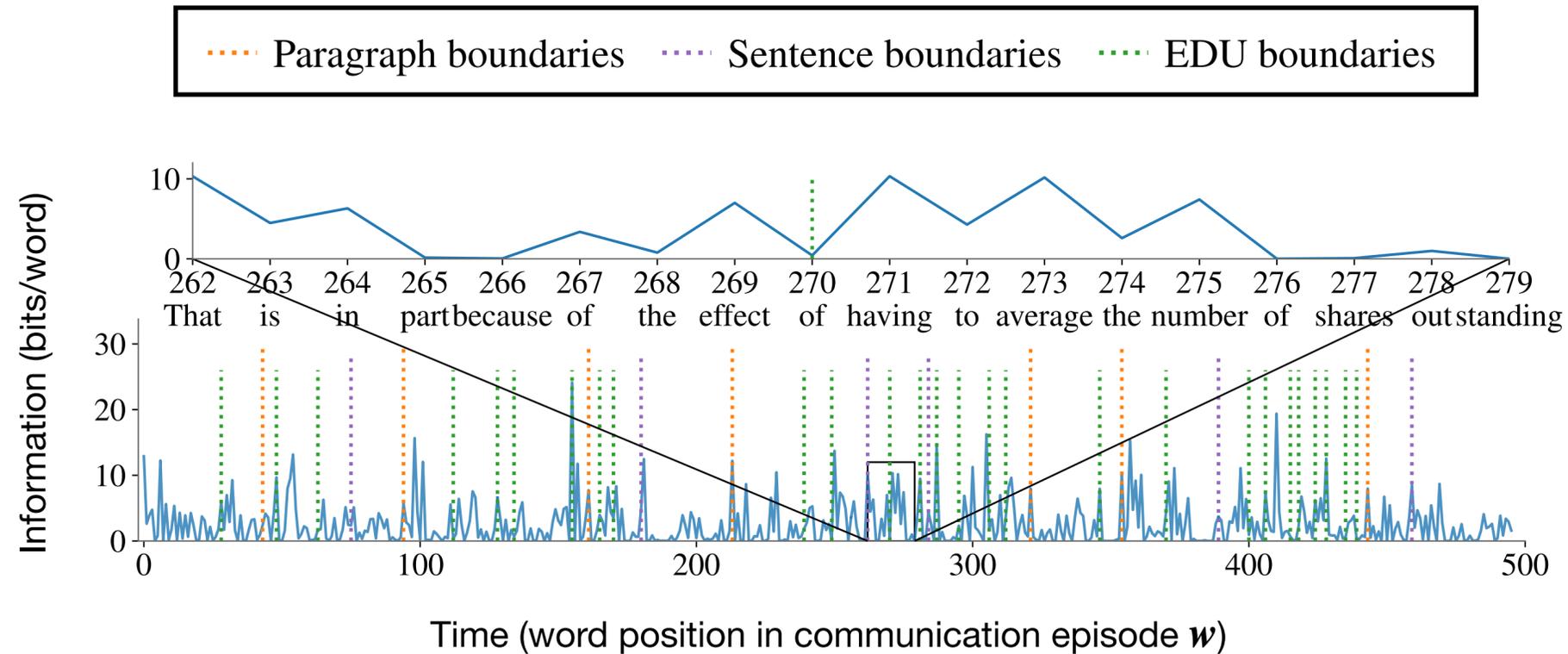
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Giulianelli, Sinclair, Fernández. EMNLP 2021.  
Tsipidi, Nowak, Cotterell, Wilcox, Giulianelli, Warstadt. EMNLP 2024.

# Information contours in texts and dialogues



## Hypothesis 1: Uniform Information Density

Subject to the constraints of the grammar, speakers optimise their linguistic signals such that the surprisals  $l_w$  are distributed as uniformly as possible throughout a communication episode  $w$ .

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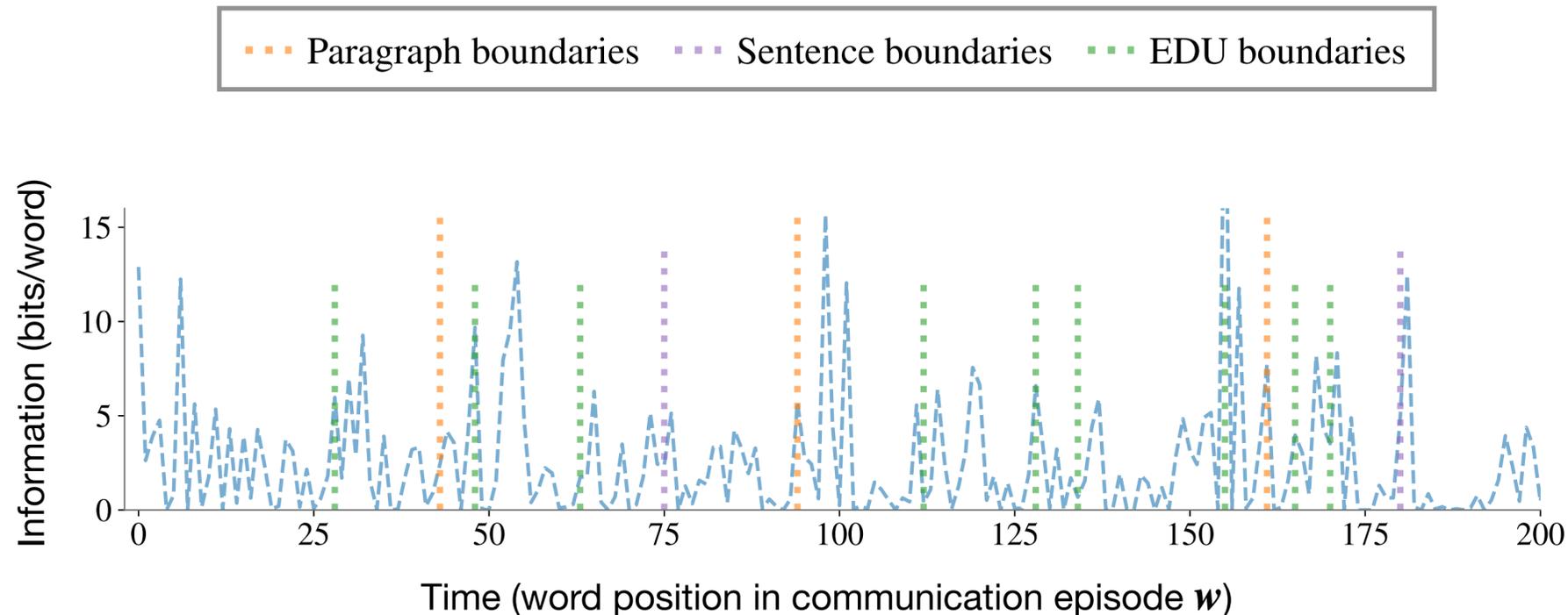
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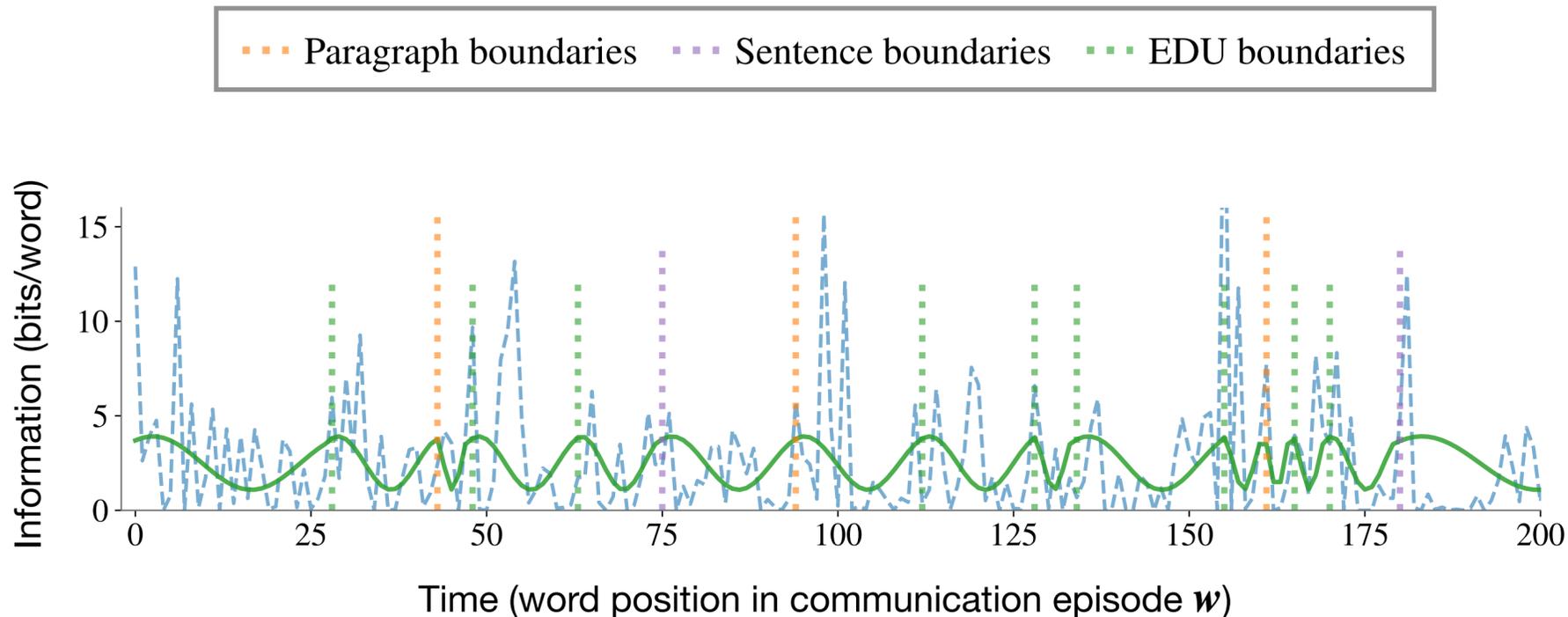
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ACL 2025.

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— EDU-scaled sinusoid

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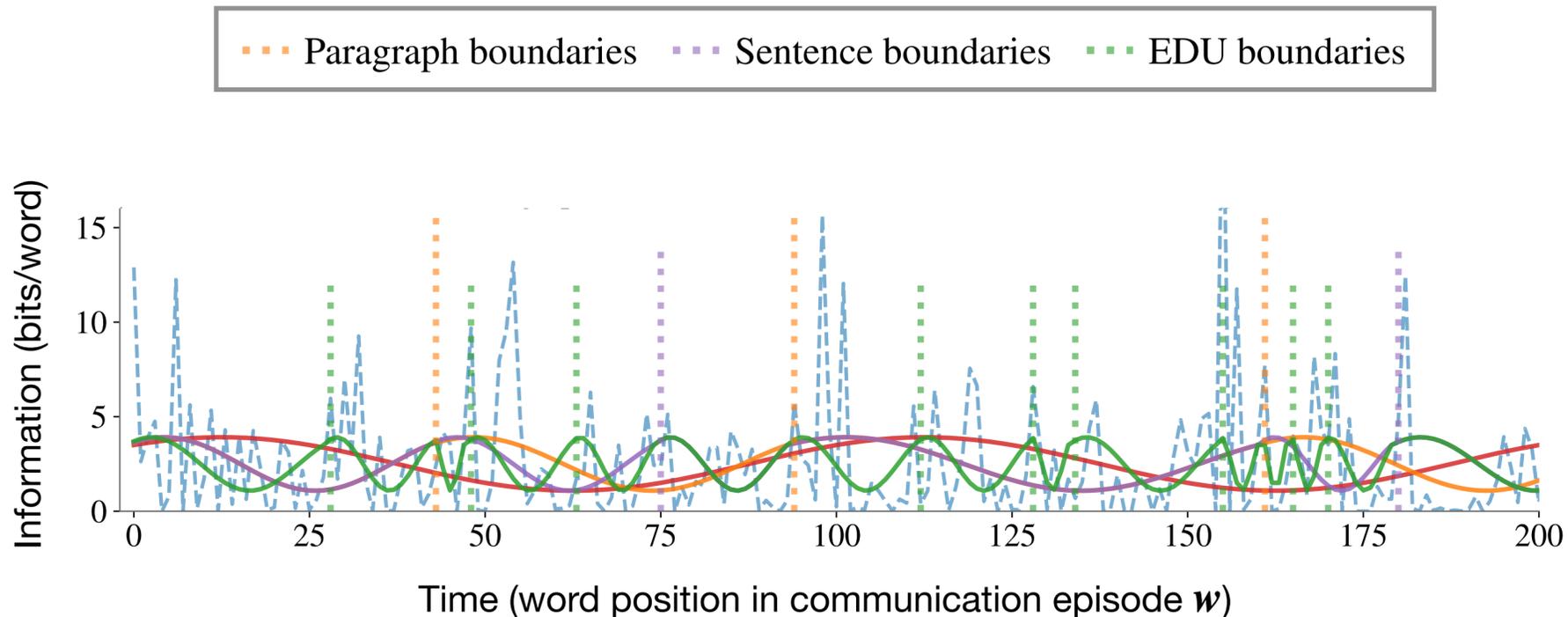
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ACL 2025.

# Information contours in texts and dialogues

## Producers' communicative strategies through the lens of information rate modulation

- facilitating production (e.g., repetitions)

Giulianelli, Sinclair, Fernández. ACL 2022.

- enhancing coordination in dialogue

Yee, Giulianelli, Sinclair. LREC-COLING 2024.

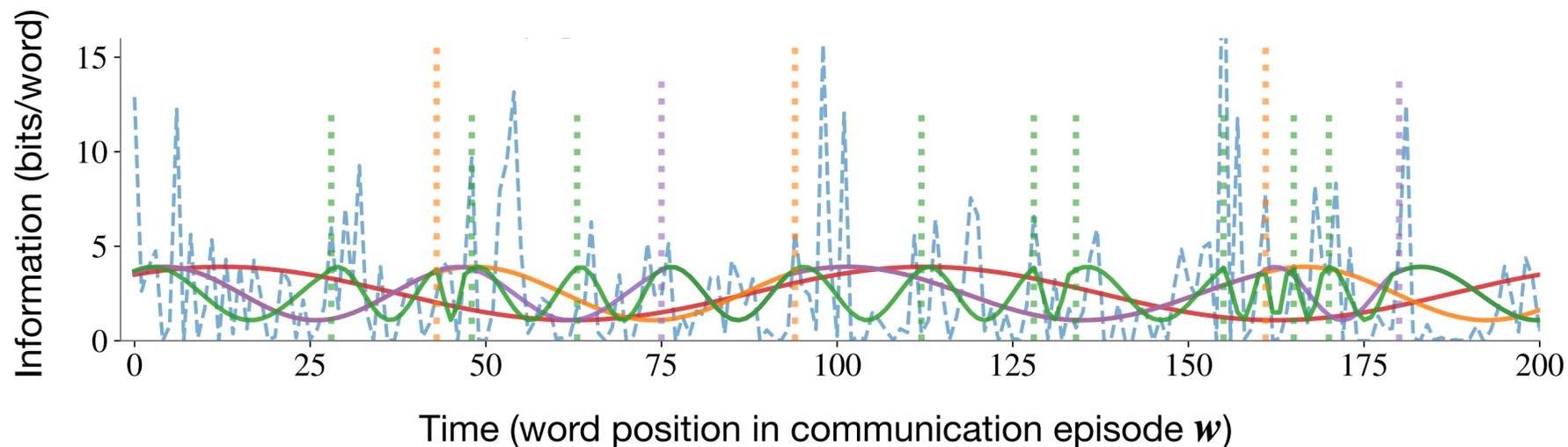
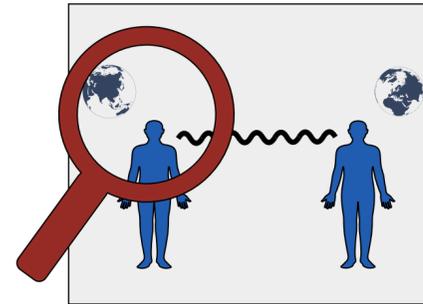
- style, genre, and writing quality

- human-generated vs. model-generated texts

- facilitating comprehension

- in multimodal contexts

Gay, Haley, Giulianelli, Ponto. EACL 2026.



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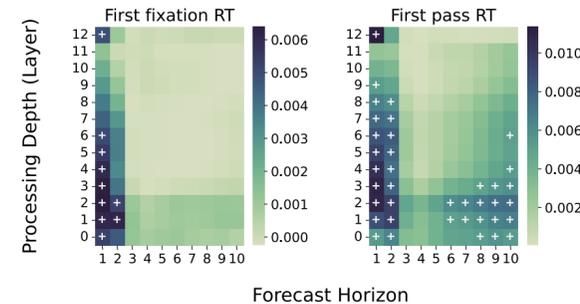
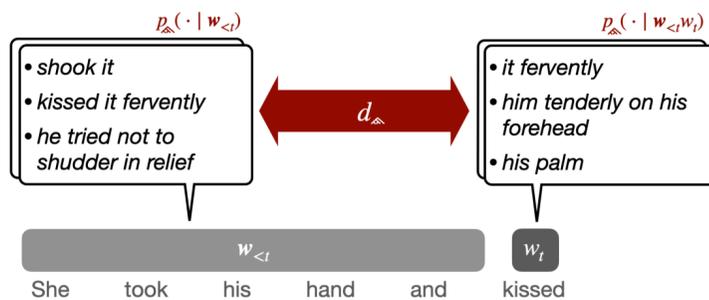
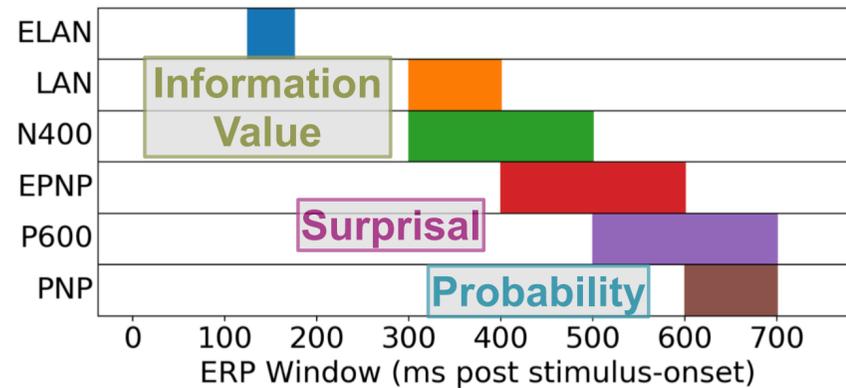
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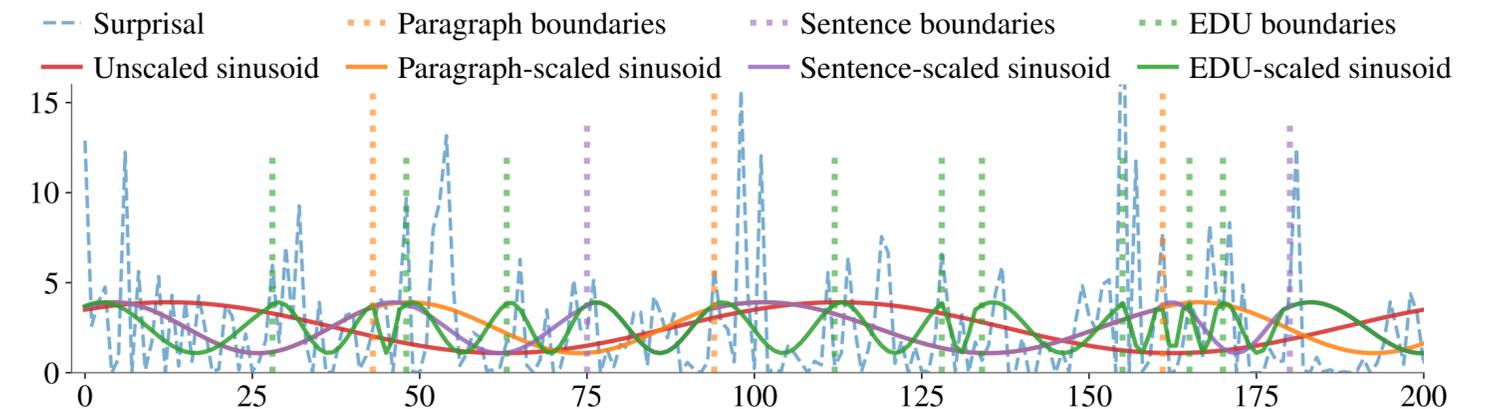
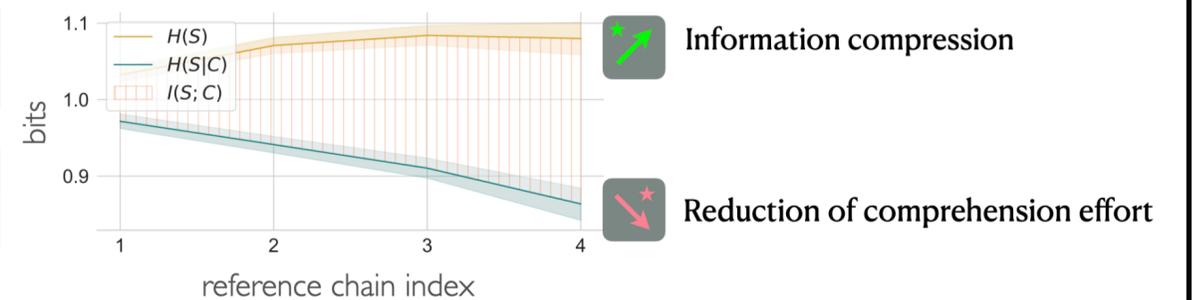
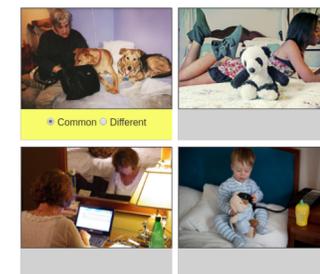
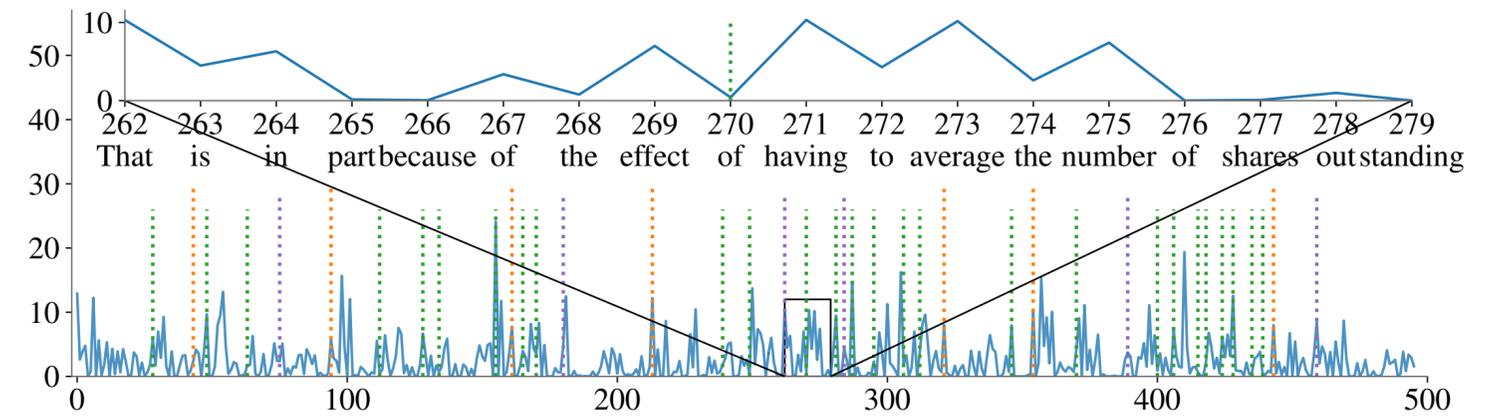
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ACL 2025.

# Comprehension

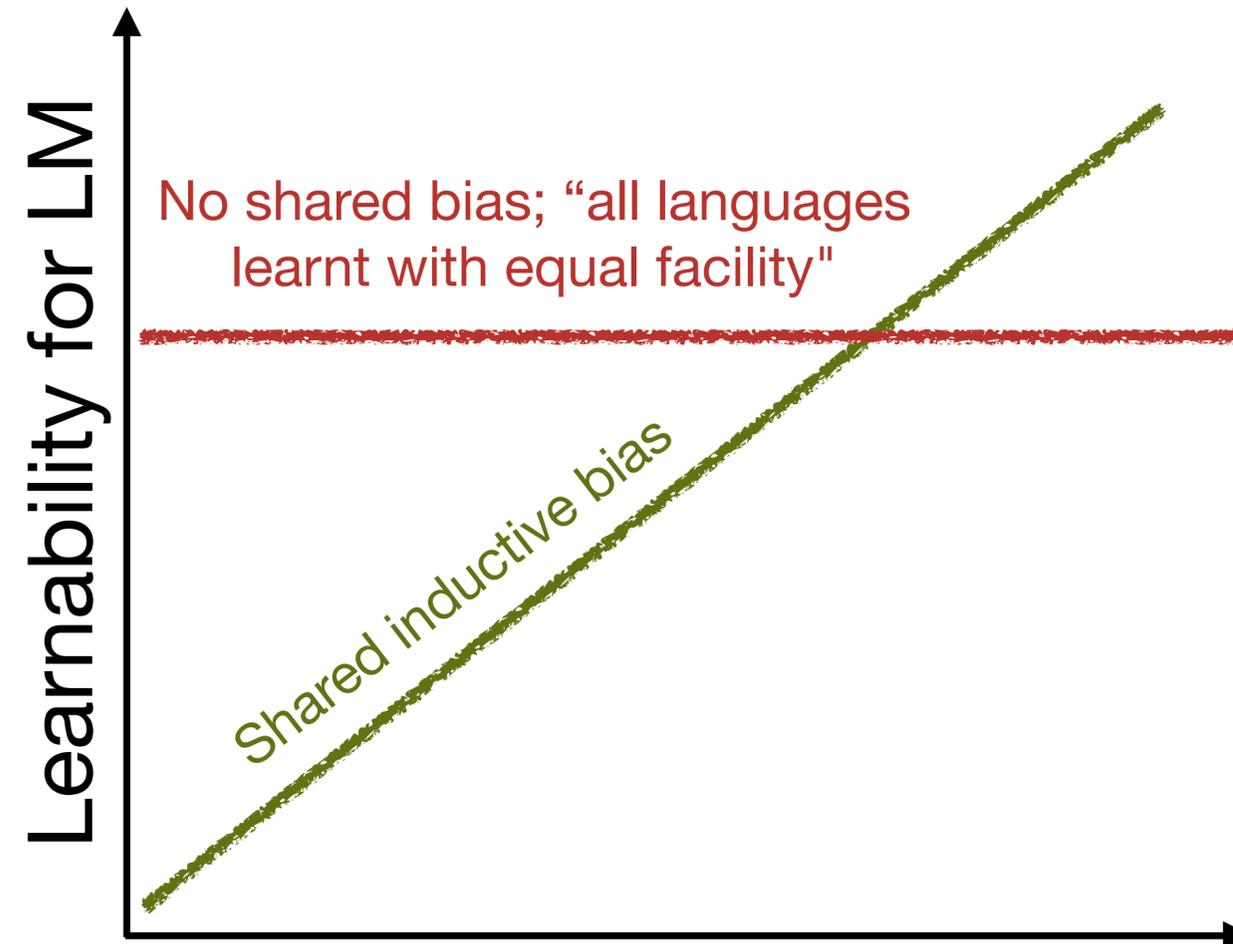
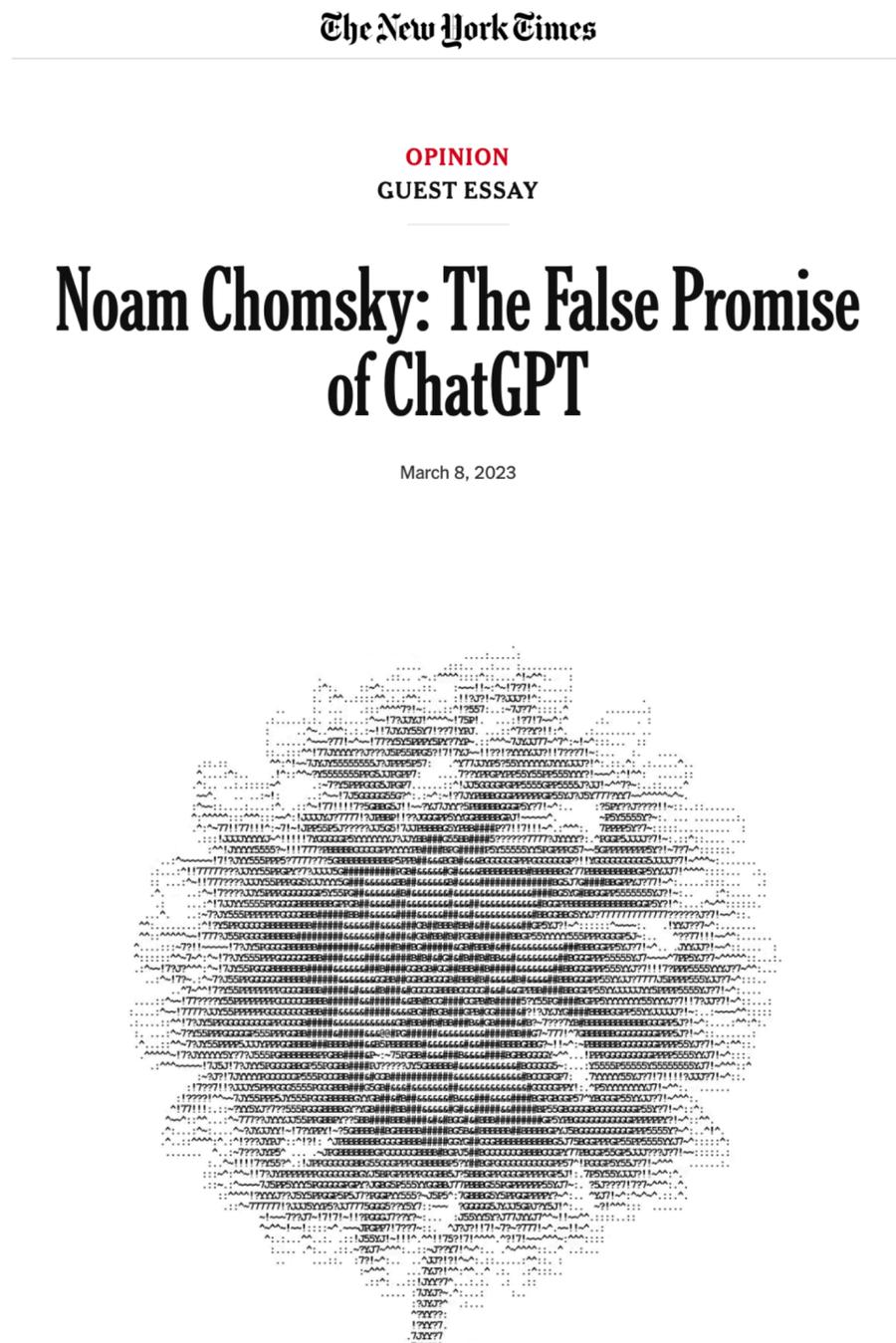


# Production



# Information-theoretic predictors of language learnability

Do neural networks and humans share similar inductive biases?

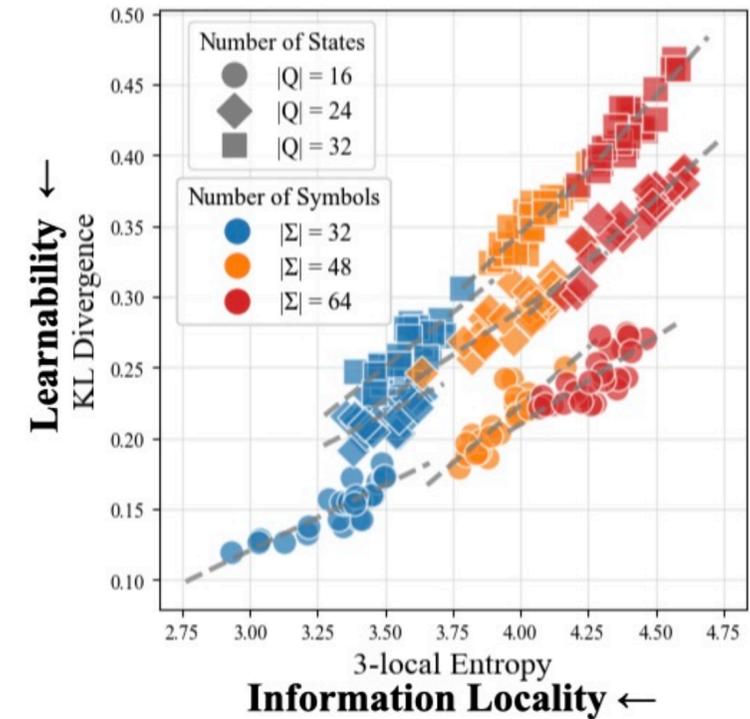


Measurable property which makes a language harder for humans



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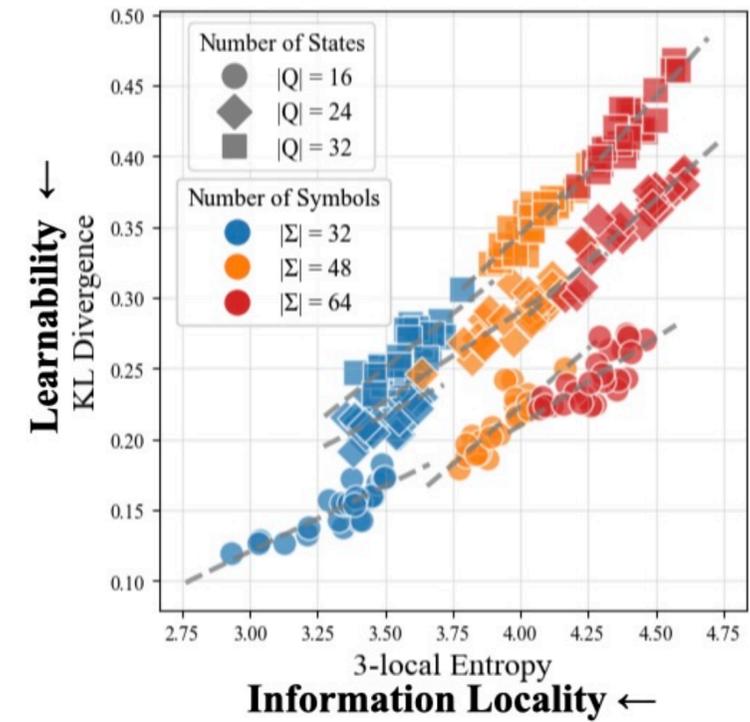
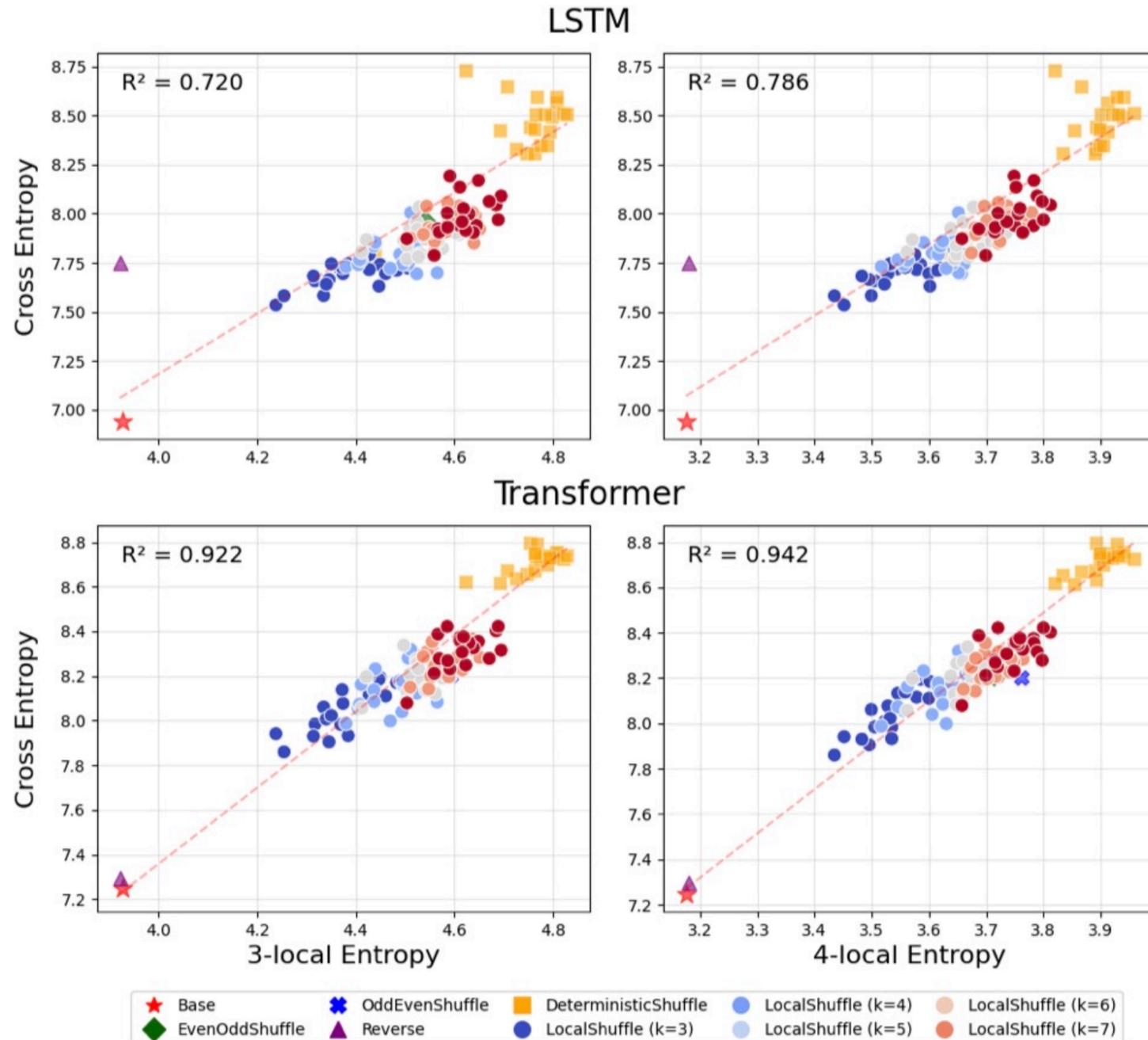
## First empirical findings

Learnability in LSTMs and Transformers  
is predicted by **information locality**  
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# A case for optimism



LMs as tools to run **computational simulations** of language processing in humans.

**Information theory as an interlingua** to express theoretical constructs and formulate *executable hypotheses*.

LMs **enable and accelerate the refinement of scientific hypotheses** concerning language comprehension, production, and learning.

## Beyond Language

Richer contextualisation, multi-modality, and the ability to act and interact in *AI agents* open new avenues for psychology, neuroscience, and the broader social sciences.